# BaseX Documentation Version 7.5

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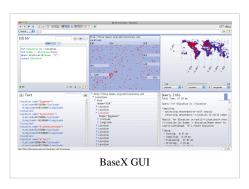
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Main Page

# **Main Page**

#### Welcome to the documentation of BaseX!

BaseX <sup>[1]</sup> is both a light-weight, high-performance and scalable XML Database and an XQuery 3.0 Processor with full support for the W3C Update and Full Text extensions. It focuses on storing, querying, and visualizing large XML and JSON documents and collections. A visual frontend allows users to interactively explore data and evaluate queries in realtime (i.e., with each key click). BaseX is platform-independent and distributed under the free BSD License (find more in Wikipedia <sup>[2]</sup>).



This documentation is based on **BaseX 7.5**. It can also be downloaded as PDF.

Features that have recently been changed are flagged in another color (e.g.: Version 7.5.1).

## **Getting Started**

The Getting Started Section gives you a quick introduction to BaseX. We suggest you to start with the Graphical User Interface as this is the easiest way to access your XML data, and to get an idea of how XQuery and BaseX works.

Categories: Beginners

## **XQuery Portal**

More information on using the wide range of XQuery functions and performing XPath and XQuery requests with BaseX can be found in our XQuery Portal.

Categories: XQuery

## **Developer Section**

The Developer Section provides useful information for developers. Here you can find information on our supported client APIs and HTTP services, and we present different ways how you can integrate BaseX into your own project.

Categories: Developer, HTTP, API

## Advanced User's Guide

Information for advanced users can be found in our Advanced User's Guide, which contains details on the BaseX storage, the Client/Server architecture, and some querying features.

Categories: Internals

You are invited to contribute to our Wiki: it's easy to get a new account.

If you have questions and are looking for direct contact to developers and users, please write to our basex-talk [3] mailing list.

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## References

- [1] http://basex.org
- [2] http://en.wikipedia.org/wiki/BaseX
- [3] http://basex.org/open-source/

# Getting Started

# **Getting Started**

This page is one of the Main Sections of the documentation. It gives a quick introduction on how to start, run, and use BaseX.

#### **Getting Started**

- Startup: How to get BaseX running
- Startup Options

#### **User Interfaces**

- Graphical User Interface (see available Shortcuts)
- Database Server: The client/server architecture
- Standalone Mode: The comand-line interface
- Web Application: The HTTP server

#### **Tutorials**

- Neven Jovanović, BaseX Adventures <sup>[1]</sup>
- W3 Schools, XQuery Tutorial <sup>[2]</sup>

#### **General Info**

- Databases: How databases are created, populated and deleted
- Parsers: How different input formats can be converted to XML
- · Commands: Full overview of all database commands
- Options: Listing of all database options

#### **Integration**

- Integrating oXygen
- Integrating Eclipse

#### References

- [1] http://www.ffzg.unizg.hr/klafil/dokuwiki/doku.php/z:basex-adv
- [2] http://w3schools.com/xquery/default.asp

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# Startup

This article is part of the Getting Started Guide. It tells you how to get BaseX running. The application can be run and used in various ways:

- as a standalone application, using the Graphical User Interface or the Command-Line Interface
- as a client/server application
- as a Web Application, both embedded or client/server-based

**Important:** If you plan to concurrently read and write your data, the client/server architecture is mandatory. This is because the standalone applications and the client/server architecture of BaseX all work in different JVMs (Java virtual machines) and are not synchronized among each other. You should be aware that, in the worst case, databases might get corrupt if you use BaseX in different modes and try to enforce updates.

## Requirements

#### **BaseX**

Please download <sup>[1]</sup> the latest BaseX version from our homepage. The official releases include the BaseX JAR file, libraries and optional Start Scripts. If you do not use an installer, we recommend to manually add the project's bin directory to your path environment; this way, you will be able to run BaseX from everywhere in your shell/terminal.

#### Java

A Runtime Environment of Java 1.6 <sup>[2]</sup> (JRE) is needed to run BaseX. BaseX is platform independent and runs on any system that provides a Java Virtual Machine. BaseX has been tested on Windows (2000, XP, Vista, 7), Max OS X (10.x), Linux(SuSE xxx, Debian, Ubuntu) and OpenBSD (4.x).

#### **BaseX GUI**

The GUI is the visual interface to the features of BaseX. It can be used to create new databases, perform queries or interactively explore your XML data.

The GUI can be started as follows (get more information on all Startup Options):

- Double click on the BaseX.jar file.
- Run one of the basexqui or basexqui.bat scripts.
- Execute the following command: java -cp BaseX.jar org.basex.BaseXGUI
- On Windows: Double click on the BaseX GUI icon.
- For Maven users: type in mvn exec: java in the main directory of the basex project.

Note that the GUI does *not* interact with the client/server architecture.

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#### **BaseX Standalone**

The Standalone Mode can be used to execute XQuery expressions or run database commands on command line. It can also be used both for scripting and batch processing your XML data.

The standalone version can be started as follows (get more information on all Startup Options):

- Run one of the basex or basex.bat scripts.
- Execute the following command: java -cp BaseX.jar org.basex.BaseX
- On Windows: Double click on the BaseX icon.

Note that the standalone mode does *not* interact with the client/server architecture.

#### **BaseX Server**

The Database Server comes into play if BaseX is to be used by more than one user (client). It handles concurrent read and write transactions, provides user management and logs all user interactions.

By default, the server listens to the port 1984. There are several ways of starting and stopping the server (get more information on all Startup Options):

- Run one of the basexserver or basexserver.bat scripts. Add the stop keyword to gracefully shut down the server.
- Execute the following command: java -cp BaseX.jar org.basex.BaseXServer. Again, the stop keyword will ensure a graceful shutdown.
- On Windows: Double click on the BaseX Server icon, which will also start the HTTP Server, or the BaseX Server (stop) icon.

Pressing Ctrl+c will close all connections and databases and shut down the server process.

#### **BaseX Client**

The BaseX Client interface can be used to send commands and queries to the server instance on command line.

It can be started as follows (get more information on all Startup Options):

- Run one of the basexclient or basexclient.bat scripts.
- Execute the following command: java -cp BaseX.jar org.basex.BaseXClient
- On Windows: Double click on the BaseX Client icon.

The default admin user can be used to connect to the server:

- Username: admin
- Password: admin

The password should be changed with the PASSWORD command after the first login.

Please check out the article on the Database Server for more details.

#### **BaseX HTTP Server**

The HTTP Server gives access to the REST, RESTXQ and WebDAV Services of BaseX. By default, it starts an instance of the Jetty Web Server <sup>[3]</sup>, which by default listens to the port 8984, and the BaseX Server, which listens to 1984.

To run the HTTP Server, you need to download <sup>[4]</sup> one of the full distributions of BaseX (exe, zip, war), as the JAR version does not include any additionally required libraries. It can then be started as follows (get more information on all Startup Options):

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• Run one of the basexhttp or basexhttp.bat scripts. Call the script with the stop keyword to gracefully shut down the server.

- On Windows: Double click on the BaseX Server or BaseX Server (stop) icon.
- You can also deploy BaseX as a Web Application
- For Maven users: type in mvn jetty: run in the main directory of the basex-api project, and press Ctrl+c to shut down the process (see Web Application: Maven for more details).

## Changelog

Version 7.0

• Updated: BaseXJAXRX has been replaced with BaseXHTTP

#### References

- [1] http://basex.org/download
- [2] http://www.java.com
- [3] http://jetty.codehaus.org/jetty/
- [4] http://basex.org/products/download/

# **Startup Options**

This article is part of the Getting Started Guide. It gives more details on the command-line options of all BaseX Startup modes.

Options can be specified multiple times. All options are evaluated in the given order (in earlier versions, the sequential evaluation was limited to the specified inputs, query files, queries and commands, while all other options were initially set). The standard input can be parsed by specifying a single dash (–) as argument.

#### **BaseX GUI**

Launch the GUI

```
$ basexgui [file]
```

One or more XML and XQuery files can be passed on as parameters. If an XML file is specified, a database instance is created from this file, or an existing database is opened. XQuery files are opened in the XQuery editor.

## **BaseX Standalone**

Launch the console mode

```
$ basex
BaseX [Standalone]
Try "help" to get more information.
> _
```

Available command-line flags can be listed with -h:

```
$ basex -h
BaseX [Standalone]
Usage: basex [-bcdiLosuvVwxz] [input]
```

[input]	Execute input file or expression
-b <pars></pars>	Bind external query variables
-c <input/>	Execute commands from file or string
-d	Activate debugging mode
-i <input/>	Open initial file or database
-L	Append newlines to query results
-o <output></output>	Write output to file
-s <pars></pars>	Set serialization parameter(s)
-u	Write updates back to original files
-v/V	Show (all) process info
-M	Preserve whitespaces from input files
-X	Show query execution plan
-z	Skip output of results

The meaning of all flags is listed in the following. If an equivalent database option exists (which can be specified via the SET command), it is listed as well:

Flag	Description	Option	Examples
[input]	<ul> <li>Updated with Version 7.5. Evaluates the specified input:</li> <li>The input string may point to an existing file. If the file suffix is .bxs, the file content will be evaluated as Command Script; otherwise, it will be evaluated as XQuery expression.</li> <li>Otherwise, the input string itself is evaluated as XQuery expression.</li> </ul>		• "doc('X')//head" • query.xq • commands.bxs
-b <pars></pars>	Binds external variables to XQuery expressions. This flag may be specified multiple times. Variables names and their values are delimited by equality signs (=). The names may be optionally prefixed with dollar signs. If a variable uses a namespace different to the default namespace, it can be specified with the Clark Notation <sup>[1]</sup> .	BINDINGS	• -b\$v=example -q\$v • -b{URL}ln=value -q"declare namespace ns='URL'; \$ns:ln"
-c <input/>	Executes Commands:     Several commands in the input can be separated by semicolons.     If the specified input is a valid file reference or URL, its content will be executed instead. Empty lines and lines starting with the number sign # will be ignored.		•-c"list;info" •-ccommands.txt •-c" <info></info> "
-d	Toggles the debugging mode. Debugging information is output to <i>standard error</i> .	DEBUG	
-i <input/>	Opens a database or XML document specified by the argument. The opened input may be further processed by an XQuery expression.		-iitems.xml -q"//item"
-L	Separates returned query items by newlines (instead of spaces) and appends a newline to the end of a result.		
-o <file></file>	All command and query output is written to the specified file.		-o output.txt
-s <pars></pars>	Specifies parameters for serializing XQuery results; see Serialization for more details. This flag may be specified multiple times. Key and values are separated by the equality sign (=).	SERIALIZER	-smethod=text
-u	Modifies original files after evaluating XQuery Update expressions.	WRITEBACK	
-A	Prints process and timing information to the standard output.		
-V	Prints detailed query information to the <i>standard output</i> , including details on the compilation and profiling steps.	QUERYINFO	
-w	By default, whitespaces around text nodes are chopped when a database is created. This flag can be specified to preserve whitespaces.	СНОР	

-x	This flags turn on the output of the query execution plan, formatted in XML.	XMLPLAN	
-z	Skips the serialization of XQuery results. This flag is useful if the query is profiled or analyzed.	SERIALIZE	

## **BaseX Server**

#### Launch the server

```
$ basexserver
BaseX [Server]
Server was started.
```

Available command-line flags can be listed with -h:

The flags have the following meaning (equivalent database options are shown in the table as well):

Flag	Description	Option	Default	Examples
stop	Stops an existing server instance and quits.			
-c <cmd></cmd>	Launches database commands before the server itself is started. Several commands can be separated by semicolons.			-c"open database; info"
-d	Turns on the debugging mode. Debugging information is output to <i>standard error</i> .	DEBUG		
-e <num></num>	Specifies the port on which the server will send events to clients.	EVENTPORT	1985	-e9998
-i	Starts the interactive console mode, which can be used to enter database commands. This mode is similar to the default standalone and client mode.			
-p <num></num>	Specifies the port on which the server will be addressable.	SERVERPORT	1984	-p9999
-S	Starts the server as service (i.e., in the background).			
-z	Does not generate any log files.	LOG		

Multiple -c and -i flags can be specified. All other options will be set before any other operation takes place. The specified inputs, query files, queries and commands will be subsequently evaluated after that in the given order. The standard input can be parsed by specifying a single dash (-) as argument.

## **BaseX Client**

Launch the console mode communicating with the server

The user name and password will be requested. The default user/password combination is admin/admin:

```
$ basexclient
Username: admin
Password: ****
BaseX [Client]
Try "help" to get more information.
> _
```

Available command-line flags can be listed with -h:

```
$ basexclient -h
BaseX [Client]
Usage: basexclient [-bcdiLnopPsUvVwxz] [input]
  [input] Execute input file or expression
 -b<pars>
            Bind external query variables
 -c<input> Execute commands from file or string
             Activate debugging mode
 -d
 -i<input> Open initial file or database
             Append newlines to query results
 -T.
 -n<name> Set server (host) name
 -o<output> Write output to file
 -p<port>
            Set server port
 -P<pass> Specify user password
 -s<pars>
            Set serialization parameter(s)
 -U<name>
             Specify user name
 -v/V
             Show (all) process info
             Preserve whitespaces from input files
 -w
             Show query execution plan
  -x
             Skip output of results
```

The flags have the following meaning (equivalent database options are shown in the table as well):

Flag	Description	Option	Default	Examples
[input]	<ul> <li>Updated with Version 7.5. Evaluates the specified input:</li> <li>The input string may point to an existing file. If the file suffix is .bxs, the file content will be evaluated as Command Script; otherwise, it will be evaluated as XQuery expression.</li> <li>Otherwise, the input string itself is evaluated as XQuery expression.</li> </ul>			• "doc('X')//head" • query.xq • commands.bxs
-b <pars></pars>	Binds external variables to XQuery expressions. This flag may be specified multiple times. Variables names and their values are delimited by equality signs (=). The names may be optionally prefixed with dollar signs. If a variable uses a namespace different to the default namespace, it can be specified with the Clark Notation <sup>[1]</sup> or Expanded QName Notation <sup>[2]</sup> .	BINDINGS		• -b\$v=example -q\$v • -b{URL}ln=value -q"declare namespace ns='URL'; \$ns:ln"

-c <input/>	Executes Commands:			•-c"list;info"
	Several commands in the input can be separated by semicolons.			• -ccommands.txt
	If the specified input is a valid file reference or URL, its			•-c" <info></info> "
	content will be executed instead. Empty lines and lines starting			
	with the number sign # will be ignored.			
-d	Toggles the debugging mode. Debugging information is output to	DEBUG		
	standard error.			
-i <input/>	Opens a database or XML document specified by the argument.			-iitems.xml
	The opened input may be further processed by an XQuery			-q"//item"
	expression.			
-L	Separates returned query items by newlines (instead of spaces) and			
	appends a newline to the end of a result.			
-n <name></name>	Specifies the host name on which the server is running.	HOST	localhost	-nserver.basex.org
-o <file></file>	All command and query output is written to the specified file.			
-p <num></num>	Specifies the port on which the server is running.	PORT	1984	-p9999
-P <pass></pass>	Specifies the user password. If this flag is omitted, the password	PASSWORD		-Uadmin -Padmin
	will be requested on command line. Warning: when the password			
	is specified via this flag, it may be visible to others.			
-s <pars></pars>	Specifies parameters for serializing XQuery results; see	SERIALIZER		-smethod=text
	Serialization for more details. This flag may be specified multiple			
	times. Key and values are separated by the equality sign (=).			
-U <name></name>	Specifies the user name. If this flag is omitted, the user name will	USER		-Uadmin
	be requested on command line.			
-v	Prints process and timing information to the <i>standard output</i> .			
-V	Prints detailed query information to the standard output, including	QUERYINFO		
	details on the compilation and profiling steps.			
-w	By default, whitespaces around text nodes are chopped when a	СНОР		
	database is created. This flag can be specified to preserve			
	whitespaces.			
-x	This flags turn on the output of the query execution plan, formatted	XMLPLAN		
	in XML.			
-z	Skips the serialization of XQuery results. This flag is useful if the	SERIALIZE		
	query is profiled or analyzed.			

## **BaseX HTTP Server**

#### Launch the HTTP server

```
$ basexhttp
BaseX [Server]
Server was started.
2011-01-02 03:04:05.600:INFO::Logging to STDERR via org.mortbay.log.StdErrLog
2011-01-02 03:04:05.700:INFO::jetty-6.1.26
2011-01-02 03:04:05.800:INFO::Started SocketConnector@0.0.0.0:8984
```

#### Available command-line flags can be listed with -h:

```
$ basexhttp -h
BaseX [HTTP]
```

```
Usage: basexhttp [-dehlnpPRUWz] [stop]
        Stop running server
 -d
          Activate debugging mode
  -e<port> Set event port
 -h<port> Set port of HTTP server
 -1
      Start in local mode
 -n<name> Set host name of database server
  -p<port> Set port of database server
 -P<pass> Specify user password
 -s<port> Specify port to stop HTTP server
 -S
     Start as service
 -U<name> Specify user name
           Suppress logging
  -z
```

The flags have the following meaning (equivalent database options are shown in the table as well):

Flag	Description	Option	Default	Examples
stop	Stops a running HTTP server. By default, the database server will be stopped as well, unless -1 has been specified.	pom.xml		
-d	Turns on the debugging mode. Debugging information is output to <i>standard error</i> .	DEBUG		
-e <num></num>	Specifies the port on which the server will send events to clients.	EVENTPORT	1985	-e9998
-h <num></num>	Specifies the port on which the HTTP server will be addressable.	jetty.xml	8984	-h9999
-1	Starts the server in <i>local mode</i> , and executes all commands in the embedded database context.	HTTPLOCAL		
-n <name></name>	Specifies the host name on which the server is running.	HOST	localhost	-nserver.basex.org
-p <num></num>	Specifies the port on which the database Server will be addressable.	SERVERPORT	1984	-p9998
-P <pass></pass>	Specifies a user password, which will be used by the HTTP services to open a new session. If this flag is omitted, and if -U was specified, the password will be requested on command line. <i>Warning</i> : when the password is specified on command-line, it may be visible to others.	PASSWORD		-Uadmin -Padmin
-R	This flag has been removed in Version 7.5, as all servlets are now specified in the web.xml configuration file. It was used to deactivate the REST service.	web.xml		
-s <num></num>	Specifies the port that will be used to stop the HTTP server.	STOPPORT or pom.xml	8983	
-S	Starts the server as service (i.e., in the background).			
-U <name></name>	Specifies a user name, which will be used by the HTTP services for opening a new session.	USER		-Uadmin
−W	This flag has been removed in Version 7.5, as all servlets are now specified in the web.xml configuration file. It was used to deactivate the WebDAV service.	web.xml		
-X	This flag has been removed in Version 7.5, as all servlets are now specified in the web.xml configuration file. It was used to deactivate the RESTXQ service.	web.xml		
-z	Does not generate any log files.	LOG		

## Changelog

Version 7.5

- · Added: detection of Command Scripts.
- Removed: HTTP server flags -R, -W, and -X.

Version 7.3

- Updated: all options are now evaluated in the given order
- Updated: -i creates main-memory representations for specified sources
- Updated: Options -C/-c and -q/[input] merged
- Updated: Option -L also separates serialized items with newlines (instead of spaces)

Version 7.2

• Added: RESTXQ Service

Version 7.1.1

• Added: Options -C and -L in standalone and client mode

Version 7.1

• Updated: Multiple query files and -c/-i/-q flags can be specified.

#### References

- [1] http://www.jclark.com/xml/xmlns.htm
- [2] http://www.w3.org/TR/xquery-30/#id-basics

# **Start Scripts**

The following scripts, which are referenced in the Startup and Startup Options articles, are also included in the Windows and ZIP release files <sup>[4]</sup>.

- We recommend you to manually add the BaseX bin directory to the PATH variable [1] of your environment.
- The Windows installer automatically adds the project's bin directory to your path environment.
- If you work with maven or the GitHub source, you can directly run the scripts from the etc directory of our GitHub basex <sup>[2]</sup> and basex-api <sup>[3]</sup> repositories.

## Main Package

The following scripts launch the standalone version of BaseX. Please replace the class name in org.basex.BaseX with either BaseXClient, BaseXServer, or BaseXGUI to run the client, server or GUI version.

#### Windows: basex.bat

```
@echo off
setLocal EnableDelayedExpansion

REM Path to this script
set PWD=%~dp0

REM Core and library classes
```

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```
set CP=%PWD%/../BaseX.jar
set LIB=%PWD%/../lib
for /R "%LIB%" %%a in (*.jar) do set CP=!CP!;%%a

REM Options for virtual machine
set VM=-Xmx512m

REM Run code
java -cp "%CP%" %VM% org.basex.BaseX %*
```

#### Linux/Mac: basex

```
#!/bin/bash
# Path to this script
FILE="${BASH_SOURCE[0]}"
while [ -h "$FILE" ] ; do
 SRC="$(readlink "$FILE")"
 FILE="$( cd -P "$(dirname "$FILE")" && \
          cd -P "$(dirname "$SRC")" && pwd )/$(basename "$SRC")"
done
BX="$( cd -P "$(dirname "$FILE")/.." && pwd )"
# Core and library classes
CP="$BX/BaseX.jar"
CP="$CP$(for JAR in "$BX"/lib/*.jar; do echo -n ":$JAR"; done)"
# Options for virtual machine
VM=-Xmx512m
# Run code
java -cp "$CP" $VM org.basex.BaseX "$@"
```

#### **HTTP Server**

The scripts for starting the HTTP server, which gives access to the REST, RESTXQ and WebDAV services, can be found below.

## Windows: basexhttp.bat

```
@echo off
setLocal EnableDelayedExpansion

REM Path to this script
set PWD=%~dp0

REM Core and library classes
set CP=%PWD%/../BaseX.jar
```

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```
set LIB=%PWD%/../lib
for /R "%LIB%" %%a in (*.jar) do set CP=!CP!;%%a
for /R "%LIB%" %%a in (*.jar) do set CP=!CP!;%%a

REM Options for virtual machine
set VM=-Xmx512m

REM Run code
java -cp "%CP%;." %VM% org.basex.BaseXHTTP %*
```

## Linux/Mac: basexhttp

## Changelog

Version 7.5

• Updated: Static dependencies removed from Windows batch scripts.

Version 7.2

• Updated: The BaseXHTTP start class moved from org.basex.api to org.basex.

Version 7.0

• Updated: The basexjaxrx scripts have been replaced with the basexhttp scripts.

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## References

- [1] http://en.wikipedia.org/wiki/PATH\_(variable)
- [2] https://github.com/BaseXdb/basex/tree/master/etc
- $[3] \ https://github.com/BaseXdb/basex-api/tree/master/etc$

# User Interfaces

# **Graphical User Interface**

This page is part of the Getting Started Section. The BaseX homepage gives you a visual impression <sup>[1]</sup> of the graphical user interface (GUI) of BaseX, and the introductory video <sup>[2]</sup> presents some of the interactive features that the BaseX GUI provides.

## **Startup**

First of all, please launch a GUI instance of BaseX. Depending on your operating system, double click on the BaseX GUI start icon or run the basexgui script. Beside that, some more startup options are available.

#### **Create Database**

Select  $Database \rightarrow New$  and browse to an XML document of your choice. As an example, you can start with the factbook.xml document, which contains statistical information on the worlds' countries. It is included in our official releases and can also be downloaded <sup>[3]</sup> (1.3 MB). If you type nothing in the input field, an empty database will be created. Next, choose the OK button, and BaseX will create a database that you can visually explore and query.

If no XML document is available, the Text Editor can also be used to create an initial XML document. After saving the entered XML document to harddisk, it can be specified in the above dialog.

## **Realtime Options**

Via the Options menu, you can change the way how queries are executed and visualized:

- Realtime Execution: If realtime execution is enabled, your searches and queries will be executed with each key
  click and the results will be instantly shown.
- **Realtime Filtering**: If enabled, all visualizations will be limited to the actual results in realtime. If this feature is disabled, the query results are highlighted in the visualizations and can be explicitly filtered with the 'Filter' button.

## Querying

#### **Keyword Search**

The Keyword Search can be executed in the **Search** mode in the combo box of the main window. This options allows for a simple, keyword-based search in the opened database.

The following syntax is supported:

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Query	Description
world	Find tags and texts containing world
=world	Find exact matching text nodes
~world	Find text nodes similar to world
@world	Find attributes and attribute values
@=world	Find exact attribute values
"united world"	Find tags and texts containing the phrase "united world"

#### XPath/XQuery

Apart from the basic search facilities, BaseX offers far more sophisticated processing options to query your documents. Below are some examples you might give a try. This guide is far from being a comprehensive XQuery reference, but might point you in the right direction.

To execute the following queries, enter them in the XQuery Panel and press ENTER or click on the START button.

XPath provides an easy facility to query your documents in a navigational manner. It is the basic tool of all node-related operations that you encounter when using XQuery. We will start with a trivial example and extend it to our needs.

#### **Example: Find Countries**

```
//country
```

tells BaseX to look for all country elements in the document. The query is introduced by two slashes //, which trigger the traversal of all document nodes. The queries //country andd /descendant::country will return the same results.

#### **Example: Find Cities in Switzerland**

The following query uses a **predicate** [...] to filter all country nodes which have a name child, the string value of which is "Switzerland":

```
//country[name = "Switzerland"]
```

To return all cities of the resulting element node, the query can be extended by a trailing //city path:

```
//country[name = "Switzerland"]//city
```

#### **Text Editor**

The text editor can be used to type in XQuery expressions, Command Scripts, XML documents, or any other text files. Query files and XML documents can be started by clicking on the green triangle. They will automatically parsed with each key click, and errors will be highlighted. Various keyboard shortcuts are available to speed up editing and debugging.

#### **Visualizations**

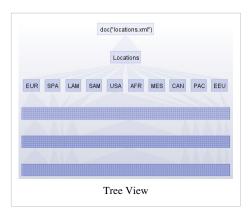
The BaseX GUI offers various visualizations, which help you to explore your XML data instances from different perspectives:

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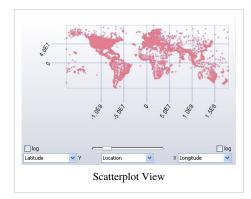
#### Text

Displays query results and other textual output. Query results can be saved in a file.



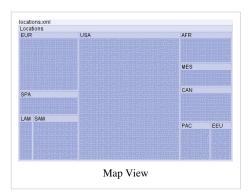
#### Tree

This visualization displays all XML nodes in a top down tree with edges and nodes. You can change some settings of the Tree in the Menu  $Options \rightarrow Tree\ Options$ .



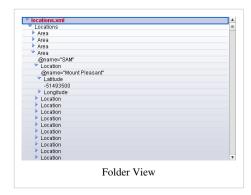
#### Plot

This visualization displays all nodes in a scatterplot, which is particularly helpful if you want to explore analyze your data. Three drop down menus allow custom axis assignments.



#### Map

This visualization represents all data in a TreeMap  $^{[4]}$ . All nodes of the XML document are represented as rectangles, filling the complete area. You can choose different layout algorithms in the Menu  $Options \rightarrow Map\ Layout$ .



#### Folder

This visualization displays all nodes in an Explorer-like folder view. Nodes can be expanded or closed by clicking on the arrows.

name	Longitude	Latitude	
			х
Narsarsuaq	-45252600	61093400	A
Bomoen Ab	006300000	60380000	-
Eggemoen	010192600	60130200	
Flatval	008453800	63420200	
Fyresdal	008050000	59120000	
Rinas	019432400	41244200	
Ploce	017255000	43023900	
Udbina	015465500	44332000	
Ampuriabrava	003064200	42154200	
Castellon De La Plana	000013600	40000600	
Luqa	014284100	35513100	
Gibraltar Ab	-5205400	36090900	
Vrsac	021185500	45085200	
Aasiaat	-52470509	68431865	,

#### Table

This visualization comes in handy if your data is highly regular. It displays all nodes in a table with rows and columns. Different assignments can be chosen by clicking on the arrow in the right upper corner.

Graphical User Interface



#### Explorer

With this visualization you can explore the contents of your database via drop-down menus, search fields and double sliders.



#### Info

This view is helpful for analyzing the query plans of your XQuery expressions. It also displays information on the compilation and evaluation of queries.

#### What's Next?

Various tutorials on XPath are available in the internet. We invite you to e.g. have a look at the XQuery Tutorial at W3Schools <sup>[5]</sup>.

#### References

- [1] http://basex.org/products/gui
- [2] http://www.youtube.com/watch?v=xILHKGPGaJ4&hd=1
- [3] http://files.basex.org/xml/factbook.xml
- [4] http://en.wikipedia.org/wiki/Treemap
- [5] http://www.w3schools.com/xquery/

# **Shortcuts**

This page is part of the Getting Started Section. It gives you an overview of the hotkeys available in the GUI of BaseX.

## **Global Shortcuts**

The following shortcuts are available from most GUI components:

Description	Win/Linux	Mac
Jump to input bar	F6	□ F6
Jump to next/previous panel	Ctrl (Shift) Tab	Ctrl (Shift) Tab
Increase/Decrease font size	Ctrl +/-	□ +/-
Reset font size	Ctrl 0	Оо

Description	Win/Linux	Mac
Browse back/forward	Alt ←/→ Backspace	$0 \leftarrow /\!$
Browse one level up	Alt↑	□↑
Browse to the root node	Alt Home	Home

## **Editor Shortcuts**

The text editor can be used to create, edit, save and execute XQuery expressions, XML documents and any other textual files.

#### **Processing**

Description	Win/Linux	Mac
Execute query	Ctrl Enter	☐ Enter
(Un)comment selection/line	Ctrl K	□к
Delete complete line	Ctrl Shift D	☐ Shift D
Jump to highlighted error	Ctrl.	0.

## **Finding**

Description	Win/Linux	Mac
Find text	Ctrl F	□ F
Find next instance of text	Ctrl G F3	□ G □ F3
Find previous instance of text	Ctrl Shift G Shift F3	Shift G Shift F3
Go to line (Version 7.5)	Ctrl L	□L

## Navigating

Description	Win/Linux	Mac
Move one character to the left/right	←/→	←/→
Move one word to the left/right	$Ctrl \leftarrow / \rightarrow$	$\mathrm{Alt} \leftarrow \!\!/\!\!\rightarrow$
Move to beginning/end of line	Home/End	□ ←/→
Move one line up/down	1/↓	↑/↓
Move one screen-full up/down	Page ↑/↓	Page $\uparrow / \downarrow$ (fn $\uparrow / \downarrow$ )
Move to top/bottom	Ctrl Home/End	<u> </u>
Scroll one line up/down	Ctrl ↑/↓	Alt ↑/↓

## **Editing**

Description	Win/Linux	Mac
Undo recent changes	Ctrl Z	Δz
Redo recent changes	Ctrl Y	☐ Shift Z
Cut selection	Ctrl X	Пх
	Ctrl Delete	
Copy selection to clipboard	Ctrl C	Пс
	Ctrl Insert	
Paste from clipboard	Ctrl V	0 v
	Shift Insert	
Select All	Ctrl A	ПА
Delete character left of cursor	Backspace	Backspace
Delete character right of cursor	Delete	Delete (fn Backspace)
Delete word left of cursor	Ctrl Backspace	Alt Backspace
Delete word right of cursor	Ctrl Delete	Alt Delete
Delete text left of cursor	Ctrl Shift Backspace	Backspace
Delete text right of cursor	Ctrl Shift Delete	☐ Delete

## **Menu Shortcuts**

The following commands and options are also linked from the main menu:

## **Database**

Description	Win/Linux	Mac
Create new database	Ctrl N	Πn
Open/manage existing databases	Ctrl O	Оо
View/edit database properties	Ctrl D	□ D
Close opened database	Ctrl W	□ w
Exit application	Ctrl Q	□Q

# Query

Description	Win/Linux	Mac
Toggle query/text editor	Ctrl E	□ E
Toggle query info view	Ctrl I	
Create new text file	Ctrl Shift N	Shift N
Open existing text file	Ctrl R	□R
Save text file	Ctrl S	□ s
Save copy of text file	Ctrl Shift S	Shift S
Close opened text file	Ctrl Shift W	Shift W

## Help

Description	Win/Linux	Mac
Show Help	F1	F1

## View

Description	Win/Linux	Mac
Toggle text view	Ctrl 1	□ 1
Toggle map view	Ctrl 2	□ 2
Toggle tree view	Ctrl 3	□ 3
Toggle folder view	Ctrl 4	□ 4
Toggle plot view	Ctrl 5	□ 5
Toggle table view	Ctrl 5	□ 5
Toggle explorer view	Ctrl 7	<b>1</b> 7

#### **Nodes**

Description	Win/Linux	Mac
Copy path to current node	Ctrl Shift C	☐ Shift C

#### **Options**

Description	Win/Linux	Mac
Open preference dialog	Ctrl P	, (comma)

## Changelog

Version 7.5

• Added: go to line (Ctrl F)

Version 7.3

• Added: delete complete line (Ctrl Shift D), jump to highlighted error (Ctrl .)

## **Database Server**

This step by step tutorial is part of the Getting Started Guide. It shows you how to run BaseX in client-server mode from a terminal. You can copy and paste all commands to get them running on your machine. After you finished this tutorial, you will be familiar with the basic administration of BaseX. Visit the commands section for a complete list of database commands.

## Startup

First of all, please launch a **Server** and **Client** instance of BaseX: double click on the **BaseX Server/Client** icons, or run the basexserver and basexclient scripts. Follow this link for more information (or check out the additional command-line options).

#### Create a database

- To create a database you need an XML document, e.g. factbook.xml [3].
- Save this document to the directory you are working in.
- In the client terminal, type in:
  - > CREATE DB factbook factbook.xml

factbook is the name of the database

factbook.xml is the xml file, which is used to create the database

If everything works you see the following lines:

```
Database 'factbook' created in 950.83 ms.
```

Where is the database stored?

By default, databases are stored in the BaseXData directory, which is located in your home folder. Depending on your Configuration, the location of your home folder varies. For example, on a Mac it's /Users/John, if your name is John. If you have used the Windows Installer, the directory will be named data, and reside in the

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application directory.

#### Execute a query

The XQUERY command lets you run a query.

• For example, this query returns all country nodes in the currently opened database.

```
> XQUERY //country
```

- You can also run queries in files:
- > RUN /Users/John/query.xq

#### Create a new database

Now we will create another database from the xmark.xml <sup>[1]</sup> document.

- · Create the new database, named 'xmark'.
- > CREATE DB xmark xmark.xml
- Set the new database xmark as the context:
- > OPEN xmark
- Now you can easily execute queries on your new database:
- > XQUERY //people/person/name

#### Switch the database

- You can explicitly query the factbook database with the doc(...) funtion, no matter what the current context is.
- > XQUERY doc("factbook")//country
- Otherwise, to set factbook as the current context, execute the following:
  - > OPEN factbook
- To list the current context, type:
  - > SHOW DATABASES

That yields the following lines:

```
1 opened database(s):
- factbook (1x)
```

#### Close or delete a database

- To close the current context database, please type:
- > CLOSE
- Use the DROP command to delete the xmark database:
- > DROP DB xmark

#### Create a collection

What is a collection? With BaseX you can group documents into one logical collection. A collection is a database that contains two or more documents. Collections accept any type of XML documents, regardless of their structure.

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Let's add the xmark.xml document to the factbook database to create a collection. The name of the original factbook database remains.

- First make sure factbook is opened:
- > OPEN factbook
- Now add the xmark.xml document:
- > ADD xmark.xml

#### Delete a document

• Deleting a document from a collection is easy:

```
> DELETE xmark.xml
```

Make sure that the collection, which contains the **xmark.xml** document, is opened.

#### **Delete a collection**

Deleting a collection is the same as deleting a database.

- To delete the collection factbook, type:
- > DROP DB factbook

#### Get server information

Several commands help to explore the state of a server. For a complete list, please visit the Commands Section.

- To see all databases on the server, type:
- > LIST
- To see which database is currently opened:
- > SHOW DATABASES
- To see the general information of the opened database, type:
  - > INFO
- To see the users in BaseX, type:
- > SHOW USERS

#### **Backup and restore**

- To backup your database, type:
- > CREATE BACKUP factbook
- To restore your database, type:
- > RESTORE factbook

#### Where is the backup-file stored?

The backup-file is stored in the database directory. The file is named factbook-timestamp.zip (db\_name-timestamp.zip). To restore the database the file with the newest timestamp is taken.

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#### References

[1] http://files.basex.org/xml/xmark.xml

## **Standalone Mode**

This page is part of the Getting Started Section. BaseX offers a standalone (embedded) console mode from which all database commands can be executed. The article on the Database Server provides numerous examples for running commands in the console mode (note that the GUI does *not* interact with the client/server architecture).

#### Startup

First of all, please launch a **standalone** version of BaseX: double click on the **BaseX** icon, or run the basex script. Follow this link for more information (or check out the additional command-line options).

## **Working with the BaseX Console**

After the BaseX Console has been started, the HELP command can be used to to list all database commands. Multiple commands can be separated by semicolons.

To evaluate commands without entering the console mode, you can use the -c option on the command line:

```
basex -Vc "CREATE DB input <example/>; XQUERY /"

Database 'input' created in 124.95 ms.
<example/>
Query: /

Compiling:

Result: root()

Parsing: 0.42 ms
Compiling: 9.3 ms
Evaluating: 0.35 ms
Printing: 5.53 ms
Total Time: 15.62 ms

Hit(s): 1 Item
Updated: 0 Items
Printed: 10 Bytes

Query executed in 15.62 ms.
```

All available command-line options can be found here.

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# Web Application

BaseX provides access to stored database resources and to the XQuery engine via REST, RESTXQ and WebDAV services. This article describes different ways of deploying and configuring these services. The services can be deployed in three different ways:

- as web servlets in a J2EE Servlet Container,
- · for development purposes, using Maven, and
- as standalone application by running the BaseX HTTP Server.

## **Servlet Container**

In order to deploy BaseX HTTP Services in a servlet container, you may download the WAR distribution of BaseX from the download site <sup>[1]</sup>. The WAR file can then be deployed following the instructions of the corresponding servlet container (jetty <sup>[1]</sup>, tomcat <sup>[2]</sup>).

Configuring port, context path, etc. can be done by following the corresponding instructions of the used servlet container. This is needed if you want to replace the default URL path (e.g. http://localhost:8080/rest) with a custom one (e.g. http://localhost:8080/BaseX711/rest).

If run on a Jetty server you may use a jetty.xml file for detailed server configuration. You can e.g. enable SSL connections or Jetty logging. Place the jetty.xml right next to the web.xml. For detailed configuration refer to the Jetty Documentation [3]. A sample jetty.xml [4] is placed in the basex-api package.

## Configuration

All database options can be specified in the web.xml file by prefixing the key with org.basex.. The most important options for the web application context are as follows:

Option	Default	Description
USER	admin	User name. By default, the admin user is specified. If no user is specified, the credentials must be passed on by the client. Please check by yourself if it is safe to store your credentials in plain text.
PASSWORD	admin	Login data. By default, the admin password is specified. If no password is specified, it must be passed on by the client. Please check by yourself if it is safe to store your credentials in plain text.
HTTPLOCAL	false	Version 7.5: Operation mode. By default, the servlets will work in client/server mode, and a database server instance will be started along with the web server, which can also be addressed from other BaseX clients. If the flag is set to true, all servlets will communicate with a local database context which is not accessible from outside.
RESTXQPATH	•	Version 7.5: RESTXQ directory. By default, all RESTXQ modules are located in the standard web application directory.

Path options may contain an absolute or relative path. If a relative path is specified, its root will be the servlet (webapp) path:

```
<context-param>
  <param-name>org.basex.dbpath</param-name>
  <!-- will be rewritten to ..../webapp/WEB-INF/data -->
  <param-value>WEB-INF/data</param-value>
  </context-param>
  <context-param>
  <param-name>org.basex.repopath</param-name>
  <!-- will be kept as is -->
```

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```
<param-value>f:/basex/repository</param-value>
</context-param>
```

How to set these options in the web.xml of the BaseX web application is specific to the servlet container. For example, in Jetty it is done by overriding the web.xml <sup>[5]</sup> file. Another option is to directly edit the WEB-INF/web.xml file in the WAR archive (WAR files are simple ZIP files). Refer to the sample web.xml <sup>[6]</sup> of the basex-api package.

#### **Available Services**

To enable or disable one of the provided services, the corresponding servlet entry in the web.xml file needs to be removed/commented. The default URL paths are listed in the following table:

Service	URL	Usage
REST	http://[host]:[port]/[servlet_context_path]/rest	Access XML database and its resources.
RESTXQ	http://[host]:[port]/[servlet_context_path]/restxq	Create XQuery web services and applications.
WebDAV	<pre>http://[host]:[port]/[servlet_context_path]/webdav or webdav://[host]:[port]/[servlet_context_path]/webdav (depending on client)</pre>	Access databases via the filesystem.
Web Server	http://[host]:[port]/[servlet_context_path]	Access your standard web files (e.g. HTML, JavaScript or CSS).

#### Maven

Checkout the sources of basex and basex-api via Maven or Eclipse. Execute mvn install in the basex project folder and then mvn install jetty:run in the basex-api project folder. This will start a Jetty instance in which the servlets will be deployed.

## Configuration

The same options as in the case of deployment in a servlet container apply. In this case, however, there is no WAR archive. Instead, Jetty looks up all files in the directory basex-api/src/main/webapp. Jetty and servlet options can be configured in the jetty.xml and web.xml files as described above in the Servlet Container Configuration. The Jetty stop port can be changed in the Maven Jetty Plugin [7] sesion in the pom.xml file.

## **User Management**

If the HTTP server is started with no pre-defined credentials, users and passwords can be sent via HTTP basic authentication  $^{[8]}$  with each HTTP request. Login data can be stored server-side in the web.xml file, or specified as command-line arguments.

For multi-user access, or a changed admin password, you may place the .basexperm configuration file in the server root. More details are found in the User Management article.

With cURL, and most browsers, you can specify the user name and password with each HTTP request within the request string as plain text, using the format USER: PASSWORD@URL. An example:

http://admin:admin@localhost:8984/

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## Changelog

#### Version 7.5

• Added: jetty.xml: configuration for Jetty Server

• Updated: server replaced with httplocal mode

#### Version 7.3

• Updated: client mode replaced with server mode

#### Version 7.2

• Web Application concept revised

#### References

- [1] http://docs.codehaus.org/display/JETTY/WebAppDeployer
- [2] http://tomcat.apache.org/tomcat-6.0-doc/deployer-howto.html
- [3] http://wiki.eclipse.org/Jetty/Reference/jetty.xml
- [4] https://github.com/BaseXdb/basex-api/blob/master/src/main/webapp/WEB-INF/jetty.xml
- [5] http://docs.codehaus.org/display/JETTY/override+web.xml
- $[6] \ https://github.com/BaseXdb/basex-api/blob/master/src/main/webapp/WEB-INF/web.xml$
- $[7] \ http://docs.code haus.org/display/JETTY/Maven+Jetty+Plugin$
- [8] http://en.wikipedia.org/wiki/Basic\_access\_authentication

# General Info

## **Databases**

This page is part of the Getting Started Section.

In BaseX, a database is a pretty light-weight structure and can be compared with a *collection*. It contains an arbitrary number of **resources**, addressed by their unique database path. Resources can either be **XML documents** or **raw files** (binaries). Some information on binary data can be found on an extra page.

#### **Create Databases**

New databases can be created via commands, in the GUI, or with any of our APIs. If some input is specified along with the create operation, it will be added to the database in a bulk operation:

- Console: CREATE DB db /path/to/resources will add initial documents to a database
- GUI: Go to *Database*  $\rightarrow$  *New*, press *Browse* to choose an initial file or directory, and press *OK*

Database must follow the valid names constraints. Various parsers can be chosen to influence the database creation, or to convert different formats to XML.

#### **Access Resources**

Stored resources and external documents can be accessed in different ways:

#### **XML Documents**

Various XQuery functions exist to access XML documents in databases and from other locations:

Function	Example	Description
db:open()	db:open("db", "path/to/tocs")	Returns all documents that are found in the database db at the (optional) path path/to/docs.
<pre>fn:collection() [1]</pre>	collection("db/path/to/docs")	Returns all documents at the location path/to/docs in the database db.  If no path is specified after the database, all documents in the database will be returned.  If no argument is specified, all documents of the currently opened database will be returned.
fn:doc() [2]	<pre>doc("db/path/to/doc.xml")</pre>	Returns the document at the location path/to/docs in the database db.  An error is raised if the specified addresses does not address exactly one document.

The fn:document-uri() and fn:base-uri() functions return URIs that can be reused as arguments for the fn:doc() and fn:collection() functions. As a result of this, as an example, the following query will always return true:

```
every $c in collection('anyDB')
satisfies doc-available(document-uri($c))
```

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If the argument of fn:doc() or fn:collection() does not start with a valid database name, or if the addressed database does not exist, the string is interpreted as URI reference, and the documents found at this location will be returned. Examples:

- doc ("http://web.de"): retrieves the addressed URI and returns it as a main-memory document node.
- collection ("/path/to/docs"): returns a main-memory collection with all XML documents found at the addressed file path.

#### **Raw Files**

• XQuery: db:retrieve("dbname", "path/to/docs") returns raw files in their Base64 representation. By choosing "method=raw" as Serialization Option, the data is returned in its original byte representation:

```
declare option output:method "raw";
db:retrieve('multimedia', 'sample.avi')
```

• Commands: RETRIEVE returns raw files without modifications.

#### **HTTP Services**

 With REST and WebDAV, all database resources can be requested in a uniform way, no matter if they are well-formed XML documents or binary files.

## **Update Resources**

Once you have created a database, additional commands exist to modify its contents:

- XML documents can be added with the ADD command.
- Raw files are added with STORE.
- Resource can be replaced with other ones with the REPLACE command.
- Resources can be deleted via DELETE.

The AUTOFLUSH option can be turned off before *bulk operations* (i.e. before a large number of new resources is added to the database).

The following commands create an empty database, add two resources, explicitly flush data structures to disk, and finally delete all inserted data:

```
CREATE DB example
SET AUTOFLUSH false
ADD example.xml
ADD ...
STORE TO images/ 123.jpg
FLUSH
DELETE /
```

You may as well use the BaseX-specific XQuery Database Functions to add, replace and delete XML documents:

```
let $root := "/path/to/xml/documents/"
for $file in file:list($root)
return db:add("database", $root || $file)
```

Last but not least, XML documents can also be added via the GUI and the Database menu.

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# **Export Data**

All resources stored in a database can be exported, i.e., written back to disk. This can be done in several ways:

- Commands: EXPORT writes all resources to the specified target directory
- GUI: Go to  $Database \rightarrow Export$ , choose the target directory and press OK
- WebDAV: Locate the database directory (or a sub-directory of it) and copy all contents to another location

# Changelog

Version 7.2.1

• Updated: fn:document-uri() and fn:base-uri() now return strings that can be reused with fn:doc() or fn:collection() to reopen the original document.

#### References

- [1] http://www.xqueryfunctions.com/xq/fn\_collection.html
- [2] http://www.xqueryfunctions.com/xq/fn\_doc.html

# **Binary Data**

This page is linked from the Database page.

The BaseX store also provides support for *raw files* (binary data). A database may contain both XML documents and raw files. XML and binary data is handled in a uniform way: a unique database path serves as key, and the contents can be retrieved via database commands, XQuery, or the various APIs.

# **Storage**

XML documents are stored in a proprietary format to speed up XPath axis traversals and update operations, and raw data is stored in its original format in a dedicated sub-directory (called "raw"). Several reasons exist why we did not extend our existing storage to binary data:

- Good Performance: the file system generally performs very well when it comes to the retrieval and update of binary files.
- **Key/Value Stores**: we do not want to compete with existing key/value database solutions. Again, this is not what we are after.
- Our Focus: our main focus is the efficient storage of hierarchical data structures and file formats such as XML or (more and more) JSON. The efficient storage of arbitrary binary resources would introduce many new challenges that would distract us from more pressing tasks.

For some use cases, the chosen database design may bring along certain limitations:

- **Performance Limits**: most file system are not capable of handling thousands or millions of binary resources in a single directory in an efficient way. The same problem happens if you have a large number of XML documents that need to imported in or exported from a BaseX database. The general solution to avoid this bottleneck is to distribute the relevant binaries in additional sub-directories.
- **Keys**: if you want to use arbitrary keys for XML and binary resources, which are not supported by the underlying file system, you may either add an XML document in your database that contains all key/path mappings.

In the latter case, a key/value store might be the better option anyway.

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# **Usage**

More information on how to store, retrieve, update and export binary data is found in the general Database documentation.

# **Parsers**

This article is part of the Getting Started Section. It presents different parsers for importing various data source into BaseX databases. For export see Serialization.

# **XML Parsers**

BaseX provides two parsers to import XML data:

- By default, the internal, built-in XML parser is used, which is more fault-tolerant than Java's XML parser. It
  supports standard HTML entities out-of-the-box, and is faster in most cases. In turn, it does not support all
  oddities specified by DTDs, and cannot resolve catalogs.
- Java's SAXParser <sup>[1]</sup> can also be selected for parsing XML documents. This parser is stricter than the built-in parser, but it refuses to process some large documents.

#### **GUI**

Go to Menu  $Database \rightarrow New$ , then choose the Parsing tab and (de)activate  $Use\ internal\ XML\ parser$ . The parsing of DTDs can be turned on/off by selecting the checkbox below.

#### **Command Line**

To turn the internal XML parser and DTD parsing on/off, modfify the INTPARSE and DTD options:

```
SET INTPARSE true
SET DTD true
```

#### **XQuery**

The db:add() or db:replace() function can be used as well to add new XML documents to the database. The following example query uses the internal parser and adds all files to the database DB that are found in the directory 2Bimported:

```
declare option db:intparse "yes";
for $file in file:list("2Bimported")
return db:add('DB', $file)
```

# **HTML Parser**

With TagSoup <sup>[2]</sup>, HTML can be imported in BaseX without any problems. TagSoup ensures that only well-formed HTML arrives at the XML parser (correct opening and closing tags, etc.). Hence, if TagSoup is not available on a system, there will be a lot of cases where importing HTML fails, no matter whether you use the GUI or the standalone mode.

#### Installation

#### In your own project (built with Maven)

An easy way to add TagSoupe to your own project is to follow this steps:

- 1. visit MVN TagSoup Repository [3]
- 2. click on the version you want
- 3. you can see on the first tab called Maven a XML like this:

- 4. copy that in your own maven project's pom.xml under the <dependencies> tag.
- 5. don't forget to run mvn jetty:run again

#### In BaseX distributions

TagSoup is also included in the complete BaseX distributions (BaseX.zip, BaseX.exe, etc.) or can be manually downloaded and embedded on the appropriate platforms.

#### On Debian

Using Debian, TagSoup will be automatically included after it has been installed via:

```
apt-get install libtagsoup-java
```

# **Options**

TagSoup offers a variety of options to customize the import of HTML. For the complete list please visit the TagSoup <sup>[2]</sup> website. BaseX supports most of these options with a few exceptions:

- encoding: BaseX tries to guess the input encoding but this can be overwritten by the user if necessary.
- files: Not supported as input documents are piped directly to the XML parser.
- method: Set to 'xml' as default. If this is set to 'html' ending tags may be missing for instance.
- version: Dismissed, as TagSoup always falls back to 'version 1.0', no matter what the input is.
- standalone: Deactivated.
- pyx, pyxin: Not supported as the XML parser can't handle this kind of input.
- output-encoding: Not supported, BaseX already takes care of that.
- reuse, help: Not supported.

#### **GUI**

Go to Menu  $Database \rightarrow New$  and select "HTML" in the input format combo box. There's an info in the "Parsing" tab about whether TagSoup is available or not. The same applies to the "Resources" tab in the "Database Properties" dialog.

These two dialogs come with an input field 'Parameters' where TagSoup options can be entered.

#### **Command Line**

Turn on the HTML Parser before parsing documents, and set a file filter:

```
SET PARSER html

SET HTMLOPT method=xml,nons=true,ncdata=true,nodefaults=true,nobogons=true,nocolons=true,ignorable=true

SET CREATEFILTER *.html
```

# **XQuery**

```
declare option db:parser "html";
declare option db:htmlopt "html=false";
doc("index.html")
```

# **JSON Parser**

BaseX can also import JSON documents:

#### **GUI**

Go to Menu  $Database \rightarrow New$  and select "JSON" in the input format combo box. You can set the following options for parsing JSON documents in the "Parsing" tab:

- **Encoding**: Choose the appropriate encoding of the JSON file.
- **JsonML**: Activate this option if the incoming file is a JsonML file.

#### **Command Line**

Turn on the JSON Parser before parsing documents, and set some optional, parser-specific options and a file filter:

```
SET PARSER json
SET PARSEROPT encoding=utf-8, jsonml=true
SET CREATEFILTER *.json
```

# **CSV Parser**

BaseX can be used to import CSV documents. Different alternatives how to proceed are shown in the following:

#### **GUI**

Go to Menu  $Database \rightarrow New$  and select "CSV" in the input format combo box. You can set the following options for parsing CSV documents in the "Parsing" tab:

- **Encoding**: Choose the appropriate encoding of the CSV file.
- Separator: Choose the column separator of the CSV file. Possible: comma, semicolon, tab or space or an arbitrary character.
- XML format: Choose the XML format. Possible: verbose, simple.
- Header: Activate this option if the incoming CSV files have a header line.

#### **Command Line**

Turn on the CSV Parser before parsing documents, and set some optional, parser-specific options and a file filter. Unicode code points can be specified as separators; 32 is the code point for spaces:

```
SET PARSER csv

SET PARSEROPT encoding=utf-8, lines=true, format=verbose, header=false, separator=32

SET CREATEFILTER *.csv
```

# **XQuery**

The CSV parser can also be specified in the prolog of an XQuery expression. The db:add() or db:replace() function can be used to add the specified source files into the database. The following example query adds all CSV files to the database DB that are found in the directory 2Bimported, and interprets the first lines as column headers:

```
declare option db:parser "csv";
declare option db:parseropt "header=yes";
for $file in file:list("2Bimported", false(), "*.csv")
return db:add('DB', $file)
```

#### **Text Parser**

Plain text can be imported as well:

#### **GUI**

Go to Menu  $Database \rightarrow New$  and select "TEXT" in the input format combobox. You can set the following option for parsing text documents in the "Parsing" tab:

- **Encoding**: Choose the appropriate encoding of the text file.
- Lines: Activate this option to create a

# **Command Line**

Turn on the CSV Parser before parsing documents and set some optional, parser-specific options and a file filter:

```
SET PARSER text
SET PARSEROPT lines=yes
SET CREATEFILTER *
```

# **XQuery**

Again, the text parser can also be specified in the prolog of an XQuery expression, and the db:add() or db:replace() function can be used to add the specified source files into the database. The following example query adds all text files to the database DB that are found in the directory 2Bimported and its sub-directories:

```
declare option db:parser "text";
for $file in file:list("2Bimported", true(), "*.txt")
return db:add('DB', $file)
```

# Changelog

Version 7.3

• Updated: SEPARATOR option of CSV parser may now contain arbitrary code points.

Version 7.2

• Updated: Enhanced support for TagSoup options.

# References

- $[1] \ http://download.oracle.com/javase/6/docs/api/javax/xml/parsers/SAXParser.html \\$
- [2] http://home.ccil.org/~cowan/XML/tagsoup/
- [3] http://mvnrepository.com/artifact/org.ccil.cowan.tagsoup/tagsoup/

# **Commands**

This article is part of the Getting Started Section. It lists all database commands supported by BaseX. Commands can e.g. be executed from the Command Line, Scripts, the Clients, REST, the input field in the GUI and other ways. If the GUI is used, all commands that are triggered by the GUI itself will show up in the Info View. The Permission fields indicate which rights are required by a user to perform a command in the client/server architecture.

# **Basics**

# **Command Scripts**

Introduced with Version 7.5:

Database commands in both the string and XML syntax can be placed in a text file and stored on disk. The default extension for BaseX command scripts is .bxs. If the path to a command script is passed on to BaseX, it will automatically be recognized and evaluated as such.

# **String Syntax**

Multiple commands can be written in a single line and separated by semicolons, or stored as command script. The following script creates a database, adds two documents to it and performs a query:

```
CREATE DB test

ADD input.xml

ADD TO embedded.xml <root>embedded</root>

XQUERY count(//text())
```

# **XML Syntax**

The string syntax is limited when XML snippets need to be embedded in a command, or when complex queries are to be specified.

This is why database commands can also be specified in XML. Multiple commands can be enclosed by a <commands/> root element:

```
<commands>
  <create-db name='test'/>
  <add>input.xml</add>
  <add path='embedded.xml'><root>embedded</root></add>
  <xquery>count(//text())</xquery>
</commands>
```

# **Glob Syntax**

Some commands support the glob syntax to address more than one database or user. Question marks and asterisks can be used to match one or more characters, and commas can be used to separate multiple patterns. Some examples:

- AB? addresses all names with the characters AB and one more character.
- \*AB addresses all names ending with the characters AB.
- X\*, Y\*, Z\* addresses all names starting with the characters X, Y, or Z.

#### Valid Names

Both database and user names must follow the same naming constraints. Valid names may contain letters, numbers, underscores and dashes. Names must have at least one character; they also should not be longer than 128 characters, although this is not enforced. A regular expression matching valid names is [-\_a-zA-Z0-9] {1,128}.

#### Aliases

In all commands, the DB keyword can be replaced by DATABASE.

# **Database Operations**

# **CREATE DB**

Summary Cr

Creates the database [name] with an optional [input] and opens it.

The input may either be a reference to a single XML document, a directory, a remote URL, or a string containing XML:

- [name] must be a valid database name
- several additional Create Options can be set to influence the creation process.

Errors

The command fails if a database with the specified name is currently used by another process, if one of the documents to be added is not well-formed or if it cannot be parsed for some other reason.

Examples

- CREATE DB input creates an empty database input.
- CREATE DB xmark [1]

creates the database xmark, containing a single initial document called xmark.xml.

- CREATE DATABASE coll /path/to/input creates the database coll with all documents found in the input directory.
- SET INTPARSE false; CREATE DB input input.xml
   creates a database input with input.xml as initial document, which will be parsed with Java's default XML parser.
- <create-db name='simple'><hello>Universe</hello></create-db>
  creates a database named simple with an initial document <hello>Universe</hello>.

# **OPEN**

Updated in Version 7.5: path argument removed.

Syntax OPEN [name]

XML Syntax <open name='...'/>

**Permission** READ

**Summary** Opens the database specified by [name].

Errors The command fails if the specified database does not exist, is currently being updated by another process or cannot be opened for

some other reason.

# **CHECK**

Syntax CHECK [input]

XML Syntax <check input='...'/>

**Permission** READ/CREATE

Summary This convenience command combines OPEN and CREATE DB: if a database with the name [input] exists, it is opened.

Otherwise, a new database is created; if the specified input points to an existing resource, it is stored as initial content.

**Errors** The command fails if the addressed database could neither be opened nor created.

# **CLOSE**

Syntax CLOSE

XML Syntax <close/>

Permission READ

**Summary** Closes the currently opened database.

**Errors** The command fails if the database files could not be closed for some reason.

# **EXPORT**

Syntax EXPORT [path]

XML Syntax <export path='...'/>

**Permission** CREATE

Summary Exports all documents in the database to the specified [path], using the serializer options specified by the EXPORTER option.

**Errors** The command fails if no database is opened, if the target path points to a file or is invalid, if the serialization parameters are invalid,

or if the documents cannot be serialized for some other reason.

# **CREATE INDEX**

Syntax CREATE INDEX [TEXT|ATTRIBUTE|FULLTEXT]

XML Syntax <create-index type='text|attribute|fulltext'/>

**Permission** WRITE

Summary Creates the specified database index.

Errors The command fails if no database is opened, if the specified index is unknown, or if indexing fails for some other reason.

# **DROP INDEX**

Syntax DROP INDEX [TEXT|ATTRIBUTE|FULLTEXT]

XML Syntax <drop-index type='text&#x7c; attribute&#x7c; fulltext'/>

Permission WRITE

Summary Drops the specified database index.

Errors The command fails if no database is opened, if the specified index is unknown, or if it could not be deleted for some other reason.

# Administration

# **ALTER DB**

Syntax ALTER DB [name] [newname]

XML Syntax <alter-db name='...' newname='...'/>

Permission CREATE

**Summary** Renames the database specified by [name] to [newname]. [newname] must be a valid database name.

Errors The command fails if the target database already exists, if the source database does not exist or is currently locked, or if it could not

be renamed for some other reason.

Examples • ALTER DB db tempdb

 $renames \ the \ database \ db \ \ into \ \text{tempdb}.$ 

# **DROP DB**

Syntax DROP DB [name]

XML Syntax <drop-db name='...'/>

Permission CREATE

Summary Drops the database with the specified [name]. The Glob Syntax can be used to address more than one database.

Errors The command fails if the specified database does not exist or is currently locked, or if the database could not be deleted for some

other reason.

# **CREATE BACKUP**

Syntax CREATE BACKUP [name]

XML Syntax <create-backup name='...'/>

**Permission** CREATE

Summary Creates a zipped backup of the database specified by [name]. The backup file will be suffixed with the current timestamp and

stored in the database directory. The Glob Syntax can be used to address more than one database.

**Errors** The command fails if the specified database does not exist, or if it could not be zipped for some other reason.

Examples • BACKUP db

creates a zip archive of the database db (e.g. db-2011-04-01-12-27-28. zip) in the database directory.

# **RESTORE**

Syntax RESTORE [name]

XML Syntax <restore name='...'/>

**Permission** CREATE

Summary Restores a database with the specified [name]. The name may include the timestamp of the backup file.

Errors The command fails if the specified backup does not exist, if the database to be restored is currently locked, or if it could not be

restored for some other reason.

# **INSPECT**

Introduced with Version 7.5:

Syntax INSPECT

XML Syntax <inspect/>

Permission WRITE

**Summary** Performs some integrity checks on the opened database and returns a brief summary.

# **DROP BACKUP**

Syntax DROP BACKUP [name]

XML Syntax <drop-backup name='...'/>

**Permission** CREATE

Summary Drops all backups of the database with the specified [name]. The Glob Syntax can be used to address more than one database.

Examples • DROP BACKUP abc\*

deletes the backups of all databases starting with the characters abc.

# **SHOW BACKUPS**

Syntax SHOW BACKUPS

XML Syntax <show-backups/>

**Permission** CREATE

Summary Shows all database backups.

# **COPY**

Syntax COPY [name] [newname]

XML Syntax <copy name='...' newname='...'/>

**Permission** CREATE

Summary Creates a copy of the database specified by [name]. [newname] must be a valid database name.

Errors The command fails if the target database already exists, or if the source database does not exist.

# **INFO DB**

Syntax INFO DB

XML Syntax <info-db/>

Permission READ

**Summary** Shows information on the currently opened database.

**Errors** The command fails if no database is opened.

# **INFO INDEX**

Syntax INFO INDEX ([TAG|ATTNAME|PATH|TEXT|ATTRIBUTE|FULLTEXT])

XML Syntax <info-index type='tag&#x7c;attname&#x7c;path&#x7c;text&#x7c;attribute&#x7c;fulltext'/>

Permission READ

**Summary** Shows information on the existing index structures. The output can be optionally limited to the specified index.

**Errors** The command fails if no database is opened, or if the specified index is unknown.

# **INFO STORAGE**

Syntax INFO STORAGE [start end] | [query]

XML Syntax <info-storage>([query])</info-storage>

Permission READ

Summary Shows the internal main table of the currently opened database. An integer range or a query may be specified as argument.

Errors The command fails if no database is opened, or if one of the specified arguments is invalid.

# Querying

# LIST

Syntax LIST ([name] ([path]))

XML Syntax <list (name='...' (path='...'))/>

Permission NONE

Summary Lists all available databases. If [name] is specified, the resources of a database are listed. The output can be further restricted to

the resources matching the specified [path].

Errors The command fails if the optional database cannot be opened, or if the existing databases cannot be listed for some other reason.

# **XQUERY**

Syntax XQUERY [query]

XML Syntax

**Permission** depends on query

**Summary** Runs the specified [query] and prints the result.

**Errors** The command fails if the specified query is invalid.

**Examples** • XQUERY 1 to 10

returns the sequence (1, 2, 3, 4, 5, 6, 7, 8, 9, 10).

• SET RUNS 10; XQUERY 1 to 10

runs the query 10 times, returns the result and prints the average execution time.

 SET XMLPLAN true; XQUERY 1 to 10 returns the result and prints the query plan as XML.

# **RETRIEVE**

Syntax RETRIEVE [path]

XML Syntax <retrieve path='...'/>

**Permission** READ

**Summary** Retrieves a raw file from the opened database at the specified [path].

Errors The command fails if no database is opened, if the source path is invalid or if the data cannot not be retrieved for some other reason.

# **FIND**

Syntax FIND [query]

XML Syntax <find>[query]</find>

Permission READ

Summary Builds and runs a query for the specified [query] terms. Keywords can be enclosed in quotes to look for phrases. The following

modifiers can be used to further limit search: = looks for exact text nodes

looks for approximate hits
 looks for exact attribute values

@ looks for attributes

**Errors** The command fails if no database is opened.

#### CS

Syntax CS [query]

XML Syntax <cs>[query]</cs>

Permission depends on query

Summary Evaluates the specified [query] and declares the resulting nodes as new context set. In subsequent queries, the context set will be

available via the context item expression of XQuery (.).

Errors The command fails if no database is opened, if the specified query is invalid or if it does not return nodes of the currently opened

database.

# **REPO INSTALL**

Syntax REPO INSTALL [path]

XML Syntax <repo-install path='...'/>

**Permission** CREATE

Summary Installs the package with path [path].

**Errors** The command fails in the following cases:

- The package to be installed is not a xar file.
- The package to be installed does not exist or is already installed.
- The package descriptor is with invalid syntax.
- The package to be installed depends on a package which is not installed.
- The package is not supported by the current version of BaseX.
- A component of the package is already installed as part of another package.

# **REPO LIST**

Syntax REPO LIST

XML Syntax <repo-list/>

Permission READ

Summary Lists all installed packages.

# **REPO DELETE**

Syntax REPO DELETE [name]

XML Syntax <repo-delete name='...'/>

**Permission** CREATE

Summary Deletes the package with name [name], optionally followed by a version.

**Errors** The command fails if the package to be deleted participates in a dependency.

# **Updates**

# **ADD**

Syntax ADD (TO [path]) [input]

XML Syntax <add (path='...')>[input]</add>

Permission WRITE

Summary Adds the files, directory or XML string specified by [input] to the currently opened database at the specified [path].

 $[\verb"input"] \quad may \ either \ be \ a \ single \ XML \ document, \ a \ directory, \ a \ remote \ URL \ or \ a \ plain \ XML \ string. \ If \ the \ path \ denotes \ a \ directory,$ 

it needs to be suffixed with a slash (/).

Errors The command fails if no database is opened, if one of the documents to be added is not well-formed, or if it could not be parsed for

some other reason.

Examples • ADD input.xml

adds the file input.xml to the database.

• ADD TO temp/one.xml input.xml

adds input.xml to the database and moves it to temp/one.xml.

ullet ADD TO target/ xmldir

adds all files from the xmldir directory to the database in the target path.

# **DELETE**

Syntax DELETE [path]

XML Syntax <delete path='...'/>

Permission WRITE

**Summary** Deletes all documents from the currently opened database that start with the specified [path].

**Errors** The command fails if no database is opened.

# **RENAME**

Syntax RENAME [path] [newpath]

XML Syntax <rename path='...' newpath='...'/>

Permission WRITE

Summary Renames all document paths in the currently opened database that start with the specified [path]. The command may be used to

either rename single documents or directories.

**Errors** The command fails if no database is opened, or if the target path is empty.

Examples • RENAME one.xml two.xml

renames the document one.xml to two.xml.

• RENAME / TOP

moves all documents to a TOP root directory.

# **REPLACE**

Syntax REPLACE [path] [input]

XML Syntax <replace path='...'>[input]</replace>

Permission WRITE

Summary Replaces a document in the currently opened database, addressed by [path], with the file or XML string specified by [input],

or adds it as a new document.

Errors The command fails if no database is opened, if the specified path points to a database directory, or if the input is not found.

Examples • REPLACE one.xml input.xml

replaces the document one.xml with the contents of the file input.xml.

• REPLACE top.xml <xml/>

replaces the document top.xml  $% \left( 1\right) =\left( 1$ 

# **STORE**

Syntax STORE (TO [path]) [input]

XML Syntax <store (path='...')>[input]</store>

Permission WRITE

Summary Stores a raw file in the opened database to the specified [path]. [input] may either be a file reference, a remote URL, or a

plain string. If the path denotes a directory, it needs to be suffixed with a slash (/).

Errors The command fails if no database is opened, if the specified resource is not found, if the target path is invalid or if the data cannot

not be written for some other reason.

# **OPTIMIZE**

Syntax OPTIMIZE (ALL)

XML Syntax <optimize/>

<optimize-all/>

Permission WRITE

Summary Optimizes the index structures, meta data and statistics of the currently opened database. If the ALL flag is specified, the internal

database structures are completely rebuilt; this often leads to a reduction of the total database size.

Errors The command fails if no database is opened, or if the currently opened database is a main-memory instance.

# **FLUSH**

Syntax FLUSH

XML Syntax <flush/>

Permission WRITE

Summary Explicitly flushes the buffers of the currently opened database to disk. This command is applied if AUTOFLUSH has been set to

false.

**Errors** The command fails if no database is opened.

# **Server Administration**

# **SHOW DATABASES**

Removed in Version 7.5:

Syntax SHOW DATABASES

XML Syntax <show-databases/>

Permission ADMIN

**Summary** Shows all databases that are opened in the current server instance.

# **SHOW SESSIONS**

Syntax SHOW SESSIONS

XML Syntax <show-sessions/>

Permission ADMIN

**Summary** Shows all sessions that are connected to the current server instance.

# **SHOW USERS**

Syntax SHOW USERS (ON [database])

XML Syntax <show-users (database='...')/>

Permission ADMIN

Summary Shows all users that are registered in the database. If a [database] is specified, local users are shown.

**Errors** The command fails if the optional database could not be opened.

# **KILL**

Syntax KILL [target]

XML Syntax <kill target='...'/>

Permission ADMIN

Summary Kills sessions of a user or an IP:port combination, specified by [target]. The Glob Syntax can be used to address more than one

user.

**Errors** The command fails if a user tried to kill his/her own session.

# **CREATE EVENT**

Syntax CREATE EVENT [NAME]

XML Syntax <create-event name='...'/>

Permission ADMIN

**Summary** Creates the specified event.

**Errors** The command fails if event already exists.

# **SHOW EVENTS**

Syntax SHOW EVENTS

XML Syntax <show-events/>

Permission ADMIN

**Summary** Shows all events that have been registered in the database.

# **DROP EVENT**

Syntax DROP EVENT [NAME]

XML Syntax <drop-event name='...'/>

Permission ADMIN

Summary Drops the specified event.

**Errors** The command fails if the event doesn't exist.

# **User Management**

# **CREATE USER**

Syntax CREATE USER [name] ([password])

 $XML\ Syntax \ \ \verb|<create-user| \ \verb|name=|...| > ([password]) < / create-user>$ 

Permission ADMIN

Summary Creates a user with the specified [name] and [password]. [name] must be a valid user name. The password must be a valid

MD5 hash value. If no password is specified in the console mode, it is requested via standard input.

**Errors** The command fails if the specified user already exists, or if the password is no valid MD5 hash value.

# **ALTER USER**

Syntax ALTER USER [name] ([password])

XML Syntax <alter-user name='...'>([password])</alter-user>

Permission ADMIN

Summary Alters the [password] of the user specified by [name]. The password must be a valid MD5 hash value. If no password is

specified in the console mode, it is requested via standard input.

Errors The command fails if the specified user does not exist, or if the password is no valid MD5 hash value.

# **DROP USER**

Syntax DROP USER [name] (ON [database]):

XML Syntax <drop-user name='...' (database='...') />

Permission ADMIN

Summary Drops the user with the specified [name]. If a [database] is specified, the user is only dropped locally. The Glob Syntax can

be used to address more than one database or user.

Errors The command fails if admin is specified as user name, if the specified user does not exist or is logged in, or if the optional

database could not be opened for modification.

# **GRANT**

Syntax GRANT [NONE|READ|WRITE|CREATE|ADMIN] (ON [database]) TO [user]

XML Syntax <grant name='...' permission='none&#x7c;read&#x7c;write&#x7c;create&#x7c;admin'

(database='...')/>

Permission ADMIN

Summary Grants the specified permission to the specified [user]. If a [database] is specified, the permissions are only granted locally.

The Glob Syntax can be used to address more than one database or user.

Errors The command fails if admin is specified as user name, if the specified user does not exist, or if the optional database could not be

opened for modification.

Examples • GRANT READ TO JoeWinson

grants READ permission to the user JoeWinson.

• GRANT WRITE ON Wiki TO editor\*

grants WRITE permissions on the Wiki database to all users starting with the characters editor\*.

# **PASSWORD**

Syntax PASSWORD ([password])

XML Syntax <password>([password])</password>

Permission NONE

Summary Changes the [password] of the current user. The password must be a valid MD5 hash value. If no password is specified in the

console mode, it is requested via standard input.

**Errors** The command fails if the password is no valid MD5 hash value.

# **General Commands**

#### **RUN**

Syntax RUN [file]

XML Syntax <run file='...'/>

Permission depends on input

Summary Evaluates the contents of [file] as XQuery expression. Since Version 7.5, if the file ends with the suffix .bxs, the file content

will be evaluated as command script. This command can be used to run several commands in a single transaction.

Errors The command fails if the specified file does not exist, or if the retrieved input is invalid. It will be canceled as soon as one of the

executed commands fails.

Examples • RUN query.xq

will evaluated the specified file as XQuery expression

• RUN commands.bxs

will evaluated the specified file as command script

# **EXECUTE**

#### Introduced with Version 7.5:

Syntax EXECUTE [input]

XML Syntax <execute>[input]</execute>

Permission depends on input

Summary Evaluates the specified [input] as command script. This command can be used to run several commands in a single transaction.

Errors The command fails if the syntax of the specified input is invalid. It will be canceled as soon as one of the executed commands fails.

Examples • EXECUTE "create db db1; create db db2"

• EXECUTE "<commands><create-db name='db1'/><create-db name='db2'/></commands>" both commands will create two databases db1 and db2 in a single transaction.

# **GET**

Syntax GET [option]

XML Syntax <get option='...'/>

Permission NONE

**Summary** Returns the current value of the Option specified via [option]. Global options can only be requested by users with ADMIN

permissions.

**Errors** The command fails if the specified option is unknown.

# **SET**

Syntax SET [option] ([value])

XML Syntax <set option='...'>([value])</set>

Permission NONE

Summary Sets the Option specified by [option] to a new [value]. Only local options can be modified. If no value is specified, and if

the value is boolean, it will be inverted.

**Errors** The command fails if the specified option is unknown or if the specified value is invalid.

# **INFO**

Syntax INFO

XML Syntax <info/>
Permission READ

**Summary** Shows global information.

# **HELP**

Syntax HELP ([command])

XML Syntax <help>([command])</help>

Permission NONE

Summary If [command] is specified, information on the specific command is printed; otherwise, all commands are listed.

**Errors** The command fails if the specified command is unknown.

# **EXIT**

Syntax EXIT

XML Syntax <exit/>
Permission NONE

**Summary** Exits the console mode.

# Changelog

#### Version 7.5

- Added: EXECUTE executes a command script.
- Added: INSPECT performs integrity checks.
- Added: automatic detection of Command Scripts.
- Removed: SHOW DATABASES; information is also returned by SHOW SESSIONS.
- Removed: OPEN: path argument.

#### Version 7.3

- Added: XML Syntax added
- Updated: CHECK can now be used to create empty databases.
- Updated: Names and paths in OPEN and LIST are now specified as separate arguments.

#### Version 7.2.1

• Updated: permissions for GET and SET changed from READ to NONE

#### Version 7.2

- Updated: CREATE INDEX, DROP INDEX (PATH argument removed. Path summary is always available now and updated with OPTIMIZE)
- Updated: permissions for REPO DELETE, REPO INSTALL and REPO LIST

#### Version 7.1

• Updated: KILL (killing sessions by specifying IP:port)

#### Version 7.0

- Added: FLUSH, RETRIEVE, STORE
- Updated: ADD: simplified arguments

# **Options**

This page is linked from the Getting Started Section.

The options listed on this page influence the way how database commands are executed and XQuery expressions are evaluated. Options are divided into global options, which are valid for all BaseX instances, and local options, which are specific to a client or session. Values of options are either *strings*, *numbers* or *booleans*.

Various ways exist to access and change options:

- The current value of an option can be requested with the GET and changed with the SET command. All values are *static*: they stay valid until they are changed once again by another operation. If an option is of type *boolean*, and if no value is specified, its existing value will be inverted.
- Version 7.5: Initial values for options can also be specified via system properties, which can e.g. be passed on with the -D flag <sup>[1]</sup> on command line, or using System.setProperty() <sup>[2]</sup> before creating a BaseX instance. The specified keys needs to be prefixed with org.basex.. An example:

```
java -Dorg.basex.CHOP=false -cp basex.jar org.basex.BaseX -c"get chop"
CHOP: false
```

• Options can also be set in the prolog of an XQuery expression. In the option declaration, options need to be bound to the Database Module namespace. All values will be reset after the evaluation of a query:

```
declare option db:chop 'false';
...
```

• Version 7.5: Options can be locally set in XQuery via pragma expressions:

```
(# db:chop false #) { parse-xml('<xml> hi </xml>') }
```

If options are implicitly changed by operations in the GUI, the underlying commands will be listed in the Info View.

# **Global Options**

All global options are stored in the configuration file .basex, which is parsed by every new local BaseX instance.

With Version 7.5, once they have been parsed, global options will be fixed; they can only be changed before a BaseX instance is started. This reduces the risk of side effects and security holes.

# General

#### **DBPATH**

Signature DBPATH [path]

**Default** {home}/BaseXData or {home}/data

Summary Points to the directory in which all databases are located.

Note: this option can only be changed if no database is currently opened.

# **REPOPATH**

Signature REPOPATH [path]

**Default** {home}/BaseXRepo

Summary Points to the Repository, in which all XQuery modules are located.

# **DEBUG**

Signature DEBUG [boolean]

Default false

Summary Sends internal debug info to STDERR. This option can be turned on to get additional information for development and debugging

purposes. It can also be triggered on command line via -d.

#### LANG

Signature LANG [language]

Default English

Summary Specifies the interface language. Currently, seven languages are available: 'English', 'German', 'French', 'Dutch', 'Italian', 'Japanese', and

'Vietnamese'.

# **LANGKEY**

Signature LANGKEY [boolean]

Default false

Summary Prefixes all texts with the internal language keys. This option is helpful if BaseX is translated into another language, and if you want to

see where particular texts are displayed.

# **Client/Server Architecture**

# **HOST**

Signature HOST [host]

Default localhost

Summary This host name is used by the client when connecting to a server. This option can also be changed when running the client on command

line via -n.

# **PORT**

Signature PORT [port]

Default 1984

Summary This port is used by the client when connecting to a server. This option can also be changed when running the client on command line

via -p.

# **SERVERPORT**

Signature SERVERPORT [port]

Default 1984

Summary This is the port the database server will be listening to. This option can also be changed when running the server on command line via

-p.

#### **EVENTPORT**

Signature EVENTPORT [port]

Default 1985

Summary This port is used by the client to listen for server events. It will only be bound if a client attaches itself to a database event. This option

can also be changed when running the server on command line via -e.

#### **USER**

Introduced with Version 7.5:

Signature USER [name]

Default empt

Summary Represents a user name, which is used for accessing the server or an HTTP service:

- The default value will be overwritten if a client specifies its own credentials.
- If the default value is empty, login will only be possible if the client specifies credentials.
- The option can also be changed on command line via -U.

#### **PASSWORD**

Introduced with Version 7.5:

Signature PASSWORD [password]

**Default** empty

Summary Represents a password, which is used for accessing the server or an HTTP service:

- The default value will be overwritten if a client specifies its own credentials.
- If the default value is empty, login will only be possible if the client specifies credentials.
- The option can also be changed on command line via -P.
- Please note that it is a security risk to specify your password in plain text.

#### **SERVERHOST**

Signature SERVERHOST [host|ip]

**Default** empty

Summary This is the host name or ip address the server is bound to. If the option is set to an empty string (which is the default), the server will be

open to all clients.

# **PROXYHOST**

 $\textbf{Signature} \quad \texttt{PROXYHOST} \quad [\texttt{host}]$ 

**Default** empty

Summary This is the host name of a proxy server.

# **PROXYPORT**

 ${\bf Signature} \ {\tt PROXYPORT} \ [{\tt port}]$ 

Default 80

**Summary** This is the port number of a proxy server.

# **NONPROXYHOSTS**

 ${\bf Signature} \ \ {\tt NONPROXYHOSTS} \ \ [{\tt hosts}]$ 

Default empty

Summary This is a list of hosts that should be directly accessed.

# **TIMEOUT**

Signature TIMEOUT [seconds]

Default 30

Summary Specifies the maximum time a read-only transaction may take. If an operation takes longer than the specified timeout, it will be aborted.

Write operations will not be affected by this timeout, as this would corrupt the integrity of the database. The timeout is deactivated if

the timeout is set to 0. It is ignored for  ${\tt ADMIN}\,$  operations.

#### **KEEPALIVE**

Signature KEEPALIVE [seconds]

Default 600

Summary Specifies the maximum time a client will be remembered by the server. If there has been no interaction with a client for a longer time

than specified by this timeout, it will be disconnected. Running operations will not be affected by this option. The keepalive check is

deactivated if the value is set to 0.

# **PARALLEL**

Signature PARALLEL [number]

Default 8

Summary Denotes the maximum allowed number of parallel read transactions.

#### LOG

#### Introduced with Version 7.5

Signature LOG [boolean]

Default true

Summary Turns Logging of server operations and HTTP requests on/off. This option can also be changed when running the server on command

line via -z.

# LOGMSGMAXLEN

#### Introduced with Version 7.5

Signature LOGMSGMAXLEN [length]

**Default** 1000

Summary Specifies the maximum length of a single log message.

# **HTTP Options**

If BaseX is run as Web Application, the HTTP options are either determined by the used web server or specified in the jetty.xml and web.xml configuration files in the webapp/WEB-INF directory.

#### **WEBPATH**

Introduced in Version 7.5: this value is replacing the HTTPPATH option.

Signature WEBPATH [path]

**Default** {home}/BaseXWeb or {home}/webapp

Summary Points to the directory in which all the Web Application contents are stored, including XQuery, Script, RESTXQ and configuration

files.

# RESTXQPATH

Introduced with Version 7.5:

Signature RESTXQPATH [path]

Default empty

Summary Points to the directory which contains the RESTXQ modules of a web application. Relative paths will be resolved against the

WEBPATH directory.

#### **HTTPLOCAL**

Introduced with Version 7.5:

Signature HTTPLOCAL [boolean]

Default false

Summary By default, a database server instance will be opened along with the web server. If the flag is set to true, all commands will be

executed in an embedded database context.

If BaseX is run as Web Application, and if the flag is false, the server will be started as soon as the first HTTP service is called.

#### **STOPPORT**

Signature STOPPORT [port]

Default 8985

Summary This is the port on which the HTTP Server can be locally closed:

- The listener for stopping the web server will only be started if the specified value is greater than 0.
- The option is ignored if BaseX is used as a Web Application or started via Maven.
- $\bullet$  This option can also be changed when running the HTTP server on command line via -s.

# **HTTPPATH**

Removed in Version 7.5: this value has been replaced with the WEBPATH and RESTXQPATH options.

Signature HTTPPATH [path]

**Default** {home}/BaseXHTTP or {home}/http

Summary Points to the HTTP root directory, in which HTML files may be stored, and query files that will be evaluated by REST. This option can

also be changed when running the HTTP server on command line via -1.

# **HTTPPORT**

Removed in Version 7.5: this value is now modified in the jetty.xml config file.

Signature HTTPPORT [port]

Default 8984

Summary This is the port the HTTP Server will be listening to.

# **Create Options**

# General

#### **MAINMEM**

Signature MAINMEM [boolean]

Default false

Summary If this option is turned on, new databases will be exclusively created in main memory. Most queries will be evaluated faster in main

memory mode, but all data is lost if BaseX is shut down. The value of this option will be assigned once to a new database, and cannot

be changed after that.

# **CREATEONLY**

Introduced with Version 7.5:

Signature CREATEONLY [boolean]

Default false

Summary By default, newly created databases will automatically be opened in order to be available for further processing steps. By setting the

flag to true, this will be prevented.

# **Parsing**

#### **CREATEFILTER**

Signature CREATEFILTER [filter]

**Default** \*.xml

Summary File filter in the Glob Syntax, which is applied whenever new databases are created, or resources are added to a database.

# **ADDARCHIVES**

Signature ADDARCHIVES [boolean]

Default

Summary If this option is set to true, files within archives (ZIP, GZIP, DOCX, etc.) are parsed whenever new database are created or resources

are added to a database.

#### **SKIPCORRUPT**

Signature SKIPCORRUPT [boolean]

Default false

Summary Skips corrupt (i.e., not well-formed) files while creating a database or adding new documents. If this option is activated, document

updates are slowed down, as all files will be parsed twice. Next, main memory consumption will be higher as parsed files will be

cached in main memory.

#### **ADDRAW**

Signature ADDRAW [boolean]

Default false

Summary If this option is activated, and if new resources are added to a database, all files that are not filtered by the CREATEFILTER option will

be added as raw files (i.e., in their binary representation).

# **PARSER**

Signature PARSER [type]

Default

Summary Defines a parser for importing new files to the database. Currently, 'XML', 'JSON', 'CSV', 'TEXT', 'HTML' are available as parsers. HTML will be parsed as normal XML files if Tagsoup [2] is not found in the classpath.

# **PARSEROPT**

Signature PARSEROPT [options]

**Default** empty

Summary Defines parser-specific options; see Parsers for more information.

# **HTMLOPT**

Signature HTMLOPT [options]

**Default** empty

Summary Allows to specify TagSoup options for HTML parsing; see HTML Parser for more information.

# **XML Parsing**

# **CHOP**

Signature CHOP [boolean]

Default true

Summary Chops all leading and trailing whitespaces from text nodes while building a database, and discards empty text nodes. By default, this

option is set to true, as it often reduces the database size by up to 50%. It can also be turned off on command line via -w.

#### **INTPARSE**

Signature INTPARSE [boolean]

Default true

Summary Uses the internal XML parser instead of the standard Java XML parser. The internal parser is faster, more fault tolerant and supports

common HTML entities out-of-the-box, but it does not support all features needed for parsing DTDs.

#### **STRIPNS**

Introduced with Version 7.5:

Signature STRIPNS [boolean]

Default false

Summary Strips all namespaces from an XML document before adding it to a database.

# **DTD**

Signature DTD [boolean]

Default false

Summary Parses referenced DTDs and resolves XML entities. By default, this option is switched to false, as many DTDs are located

externally, which may completely block the process of creating new databases. The CATFILE option can be changed to locally resolve

DTDs.

# **CATFILE**

Signature CATFILE [path]

**Default** empty

Summary Specifies a catalog file to locally resolve DTDs; see the entry on Catalog Resolvers for more details.

# **Indexing**

The current index and full-text index options will be stored in a new database, and take effect if indexes are rebuilt via the OPTIMIZE.

# **TEXTINDEX**

Signature TEXTINDEX [boolean]

Default true

Summary Creates a text index whenever a new database is created. A text index speeds up queries with equality comparisons on text nodes; see

Indexes for more details.

# **ATTRINDEX**

Signature ATTRINDEX [boolean]

Default true

Summary Creates an attribute index whenever a new database is created. An attribute index speeds up queries with equality comparisons on

attribute values; see Indexes for more details.

#### **FTINDEX**

Signature FTINDEX [boolean]

Default false

Summary Creates a full-text index whenever a new database is created. A full-text index speeds up queries with full-text expressions; see Indexes

for more details.

#### **MAXLEN**

Signature MAXLEN [int]

**Default** 96

Summary Specifies the maximum length of strings that are to be indexed by the name, path, value, and full-text index structures. The value of this

option will be assigned once to a new database, and cannot be changed after that.

#### **MAXCATS**

Signature MAXCATS [int]

Default 100

Summary Specifies the maximum number of distinct values (categories) that will be stored together with the element/attribute names or unique

paths in the Name Index or Path Index. The value of this option will be assigned once to a new database, and cannot be changed after

that.

#### **UPDINDEX**

Signature UPDINDEX [boolean]

Default false

Summary If turned on, incremental indexing will be activated: all update operations will also update the value index structures (texts and attribute

values). The value of this option will be assigned once to a new database, and cannot be changed after that. The advantage of incremental indexes is that the value index structures will always be up-to-date. The downside is that updates will take a little bit

longer.

# **Full-Text**

# **STEMMING**

Signature STEMMING [boolean]

Default false

Summary A new full-text index will stem all tokens and speed up queries on stemmed tokens. The same stemming normalization will be applied

to all query tokens that are checked against tokens in this index.

#### **CASESENS**

Signature CASESENS [boolean]

Default false

Summary A new full-text index will preserve the case of all tokens. The same case normalization will be applied to all query tokens that are

checked against tokens in this index.

# **DIACRITICS**

Signature DIACRITICS [boolean]

Default false

Summary A new full-text index will preserve the diacritics of all tokens. The same diacritics normalization will be applied to all query tokens that

are checked against tokens in this index.

# **LANGUAGE**

Signature LANGUAGE [lang]

**Default** en

Summary A new full-text index will use the given language to normalize all tokens. This option is mainly important if tokens are to be stemmed,

or if the tokenization of a language differs from Western languages.

#### **STOPWORDS**

Signature STOPWORDS [path]

**Default** empty

Summary A new full-text index will drop tokens that are listed in the specified stopword list. A stopword list may decrease the size of the full text

index. A standard stopword list for English texts is provided in the directory etc/stopwords.txt in the official releases.

# **Query Options**

# **QUERYINFO**

Signature QUERYINFO [boolean]

Default false

Summary Prints more information on internal query rewritings, optimizations, and performance. By default, this info is shown in the Info View in

the GUI. It can also be activated on command line via -V.

# **XQUERY3**

Signature XQUERY3

Default true

Summary Enables all XQuery 3.0 features supported by BaseX. If this option is set to false, the XQuery parser will only accept expressions of

the XQuery 1.0 specification.

#### **BINDINGS**

Signature BINDINGS [vars]

**Default** empty

Summary Contains external variables to be bound to a query:

Variable names and values are separated by equality signs, and multiple variables are delimited by commas.

- Variables may optionally be introduced with a leading dollar sign.
- Commas that occur in the value itself are encoded by duplication.
- If a variable uses a namespace different to the default namespace, it can be specified with the Clark Notation <sup>[1]</sup> or Expanded QName Notation <sup>[2]</sup>.
- This option can also be used on command line with the flag -b.

Examples \$a=1, \$b=2 binds the values 1 and 2 to the variables \$a and \$b

a=1,,2 binds the value 1,2 to the variable \$a

 $\{URI\}a=x$  binds the value x to the variable \$a\$ with the namespace URI.

# **QUERYPATH**

Signature QUERYPATH [path]

**Default** empty

Summary Contains the path (base URI) to the executed query (default: empty). This directory will be used to resolve relative paths to documents,

query modules, and other resources addressed in a query.

# **CACHEQUERY**

Signature CACHEQUERY [boolean]

Default false

Summary Caches the query results before returning them to the client. This option may be set to true if the whole result is needed for further

operations (such as is e.g. the case in the GUI of BaseX).

# **FORCECREATE**

Signature FORCECREATE [boolean]

Default false

Summary By activating this option, the XQuery doc() and collection() functions will create database instances for the addressed input

files.

#### **LSERROR**

Signature LSERROR [error]

**Default** 

Summary This option specifies the maximum Levenshtein error for the BaseX-specific fuzzy match option. See the page on Full-Texts for more

information on fuzzy querying.

#### **RUNS**

Signature RUNS [num]

Default 1

Summary Specify number of runs a query is executed by the XQUERY command. The result is only serialized once, and the measured times are

averages of all runs.

# **Serialization Options**

#### **SERIALIZE**

Signature SERIALIZE [boolean]

Default true

Summary Results of XQuery expressions will be serialized if this option is turned on. For debugging purposes and performance measurements,

this option can be set to false. It can also be turned off on command line via -z.

#### **SERIALIZER**

Signature SERIALIZER [params]

**Default** empty

Summary Contains parameters for serializing query results:

- Keys and values are separated by equality signs.
- Multiple parameters are delimited by commas.
- The option can also be used on command line with the flag -s.

Example encoding=US-ASCII, omit-xml-declaration=no : sets the encoding to US-ASCII and prints the XML declaration.

#### **EXPORTER**

Signature EXPORTER [params]

**Default** empty

Summary Contains parameters for exporting all resources of a database; see Serialization for more details. Keys and values are separated by

equality signs, multiple parameters are delimited by commas.

#### **XMLPLAN**

Signature XMLPLAN [boolean]

Default false

Summary Prints the execution plan of an XQuery expression in its XML representation. This option can also be activated on command line via

-x.

### **COMPPLAN**

Signature COMPPLAN [boolean]

Default true

Summary Creates the query plan before or after the compilation step. Query plans might change due to optimizations.

#### **DOTPLAN**

Signature DOTPLAN [boolean]

Default false

 $\textbf{Summary} \quad \text{Visualizes the execution plan of an XQuery expression with dotty} \ ^{\textbf{[3]}} \text{ and saves its dot file in the query directory}.$ 

#### **DOTCOMPACT**

Signature DOTCOMPACT [boolean]

Default false

Summary Chooses a compact dot representation.

#### **DOTDISPLAY**

Signature DOTDISPLAY [boolean]

Default true

Summary Visualizes the dot representation after the query execution.

#### **DOTTY**

Signature DOTTY [path]

Default dotty

Summary Location of the dotty executable.

# **Other Options**

#### **AUTOFLUSH**

Signature AUTOFLUSH [boolean]

Default true

Summary Flushes database buffers to disk after each update. If this option is set to false, bulk operations (multiple single updates) will be

evaluated faster. As a drawback, the chance of data loss increases if the database is not explicitly flushed via the FLUSH command.

#### WRITEBACK

 $\textbf{Signature} \quad \texttt{WRITEBACK} \quad \texttt{[boolean]}$ 

Default false

Summary Updates on XML nodes are written back to the input files. No backups of your original files will be created if this option is turned on.

This option can also be activated on command line via -u.

#### **MAXSTAT**

 $\textbf{Signature} \quad \texttt{MAXSTAT} \quad [\texttt{num}]$ 

Default 30

Summary Specifies the maximum number of index occurrences printed by the INFO INDEX command.

# Changelog

Version 7.5

- Added: options can now be set via system properties
- Added: a pragma expression can be used to locally change database options
- Added: USER, PASSWORD, LOG, LOGMSGMAXLEN, WEBPATH, RESTXQPATHHTTPLOCAL, CREATEONLY, STRIPNS
- Removed: HTTPPATH; HTTPPORT: jetty.xml configuration file is used instead
- Removed: global options cannot be changed anymore during the lifetime of a BaseX instance

Version 7.3

- Updated: KEEPALIVE, TIMEOUT: default values changed
- Removed: WILDCARDS; new index supports both fuzzy and wildcard queries
- Removed: SCORING; new scoring model will focus on lengths of text nodes and match options

#### Version 7.2

• Added: PROXYHOST, PROXYPORT, NONPROXYHOSTS, HTMLOPT

• Updated: TIMEOUT: ignore timeout for admin users

#### Version 7.1

• Added: ADDRAW, MAXLEN, MAXCATS, UPDINDEX

• Updated: BINDINGS

#### Version 7.0

• Added: SERVERHOST, KEEPALIVE, AUTOFLUSH, QUERYPATH

#### References

- [1] http://docs.oracle.com/javase/1.4.2/docs/tooldocs/windows/java.html#options
- [3] http://www.graphviz.org

# Integration

# **Integrating oXygen**

This tutorial is part of the Getting Started Section. It describes how to access BaseX from the oXygen XML Editor <sup>[1]</sup>. Currently, there are two variants how to use BaseX in oXygen:

- · Resources in databases can be opened and modified.
- XPath/XQuery expressions can be run by the query processor of BaseX.

#### Access Database Resources

#### **Preparations**

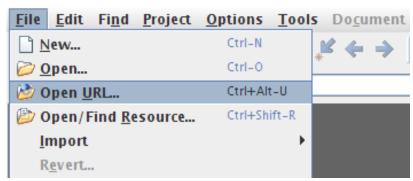
· Start the WebDAV service first, which will allow you to access all resources via the client/server architecture

#### Configuration

- 1. Go to menu  $Options \rightarrow Preferences \rightarrow Data Sources$
- 2. In the Connections panel, click the New button
- 3. Enter "BaseX-WebDAV" as connection name
- 4. Select "WebDAV" in the Data Source combo box
- 5. Fill in the appropriate connection details. Below, the default values are shown:
  - Set the URL to http://localhost:8984/webdav
  - Set the user name to admin
  - Set the password to admin
- 6. Now press OK, and your Data Source is ready for use

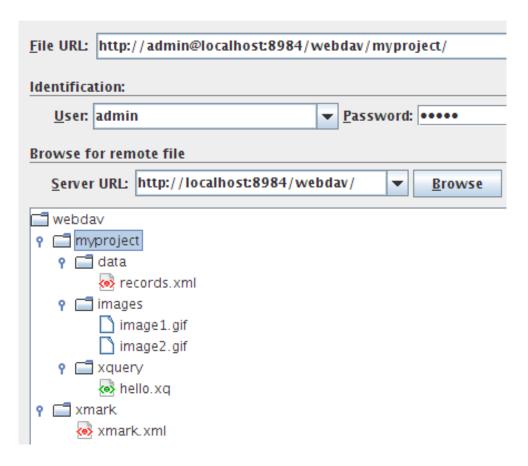
You can also directly open single files as follows:

• Choose  $File \rightarrow Open \ URL...$ 



• Enter the corresponding user name and password (if needed), the URL of the BaseX WebDAV Server, and then click "Browse".

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## **Perform Queries**

#### **Preparations**

- 1. Download one of the complete BaseX distributions <sup>[1]</sup> (ZIP, EXE)
- 2. Start a BaseX Server instance

### **Data Source**

- 1. Start oXygen and go to  $Options \rightarrow Preferences \rightarrow Data\ Sources$
- 2. Add a new Data Source with the New button
- 3. Enter "BaseX-XQJ" as connection name and choose XQuery API for Java (XQJ) as type
- 4. Add the following JAR files above with the *Add* Button: xqj-api-1.0.jar, xqj2-0.1.0.jar and basex-xqj-1.2.3.jar (the version names of the JAR file may differ)
- 5. Now press OK, and your Data Source is ready for use

#### **Connection**

- 1. Now press *New* in the Connection Panel below.
- 2. Enter Name "BaseX" and select "BaseX-XQJ" in the Data Source box.
- 3. Enter the following connection details (or modify them when necessary):
  - Port: 1984
  - serverName: localhost
  - user: admin
  - password: admin
- 4. Now press OK, and your connection is ready.

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#### Usage

The query execution works as follows:

- 1. Configure a new transformation scenario in  $Window \rightarrow Show\ View \rightarrow Transformation\ Scenarios$ .
- 2. Choose the *XQuery Transformation* tree entry.
- 3. Press the plus sign to add a new scenario.
- 4. Enter a Name and an optional XML and XQuery URL (e.g. your query document/file).
- 5. Choose "BaseX" as Transformer from the combo box.
- 6. Press *OK*, and your scenario is ready. Now you can start the transformation, e.g. by clicking on the red *Play* button
- 7. The results should immediately occur in the result panel.

#### References

[1] http://www.oxygenxml.com

# **Integrating Eclipse**

This tutorial is part of the Getting Started Section.

It demonstates how to perform XPath/XQuery expressions and access databases from the Eclipse IDE [1].

## **Required Software**

- 1. BaseX Java Archive: http://basex.org/download/
- 2. Eclipse 3.6 or higher: http://www.eclipse.org
- 3. XQuery Development Tools Plugin: http://www.xqdt.org/ Update Site: http://download.eclipse.org/webtools/incubator/repository/xquery/milestones/

## **Setting up**

You can set up the XQuery interpreter as standalone or client version.

#### **Setting up as Standalone**

- 1. Start Eclipse and go to *Preferences*  $\rightarrow$  *XQuery*  $\rightarrow$  *Interpreters*.
- 2. Add a new Interpreter with the "Add" button.
- 3. Enter "BaseX" as name and choose "Java XQuery Engine" as Interpreter type.
- 4. Point "Interpreter JAR/WAR" to the BaseX JAR archive
- 5. Choose "org.basex.BaseX" as "Main class"

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### Setting up as Client

- 1. Start Eclipse and go to *Preferences*  $\rightarrow$  *XQuery*  $\rightarrow$  *Interpreters*.
- 2. Add a new Interpreter with the "Add" button.
- 3. Enter "BaseX" as name and choose "Java XQuery Engine" as Interpreter type.
- 4. Point "Interpreter JAR/WAR" to the BaseX JAR archive
- 5. Choose "org.basex.BaseXClient" as "Main class"
- 6. Set interpreter arguments for your server, port, username and password, e.g. -Uadmin -Padmin -nlocalhost -p1984.

## **Usage**

The query execution works as follows:

- 1. Create a new XQuery Project with  $File \rightarrow New \rightarrow XQuery$  Project.
- 2. Add a new XQuery Module with  $File \rightarrow New \rightarrow XQuery Module$ .
- 3. Edit your XQuery Module and execute it with Run.
- 4. The results are displayed in the Console window of Eclipse.

### References

[1] http://www.eclipse.org

# **Query Features**

# **XQuery**

Welcome to the Query Portal, which is one of the Main Sections of this documentation. BaseX provides an implementation of the W3 XPath <sup>[1]</sup> and XQuery <sup>[2]</sup> languages, which are tightly coupled with the underlying database store. However, the processor is also a flexible general purpose processor, which can access local and remote sources. High conformance with the official specifications is one of our main objectives, as the results of the XQuery Test Suite <sup>[3]</sup> demonstrate. This section contains information on the query processor and its extensions:

### XQuery 3.0

Features of the upcoming XQuery 3.0 [4] Recommendation.

#### **Module Library**

Additional functions included in the internal modules.

#### Repository

Install and manage XQuery and Java modules.

### **Java Bindings**

Accessing and calling Java code from XQuery.

#### **Full-Text**

How to use BaseX as a full-fledged full-text processor.

#### **Updates**

Updating databases and local resources via XQuery Update.

#### **Serialization**

Serialization parameters supported by BaseX.

#### **Errors**

Errors raised by XQuery expressions.

#### References

- [1] http://www.w3.org/TR/xpath-30/
- [2] http://www.w3.org/TR/xquery-30
- $[3] \ http://dev.w3.org/2006/xquery-test-suite/PublicPagesStagingArea/XQTSReportSimple\_XQTS\_1\_0\_2.html$
- [4] http://www.w3.org/TR/xquery-30/

# XQuery 3.0

This article is part of the XQuery Portal. It summarizes features of the upcoming XQuery 3.0 <sup>[4]</sup> and XPath 3.0 <sup>[1]</sup> Recommendations that have already been implemented in BaseX.

## **Simple Map Operator**

The simple map <sup>[1]</sup> operator! provides a compact notation for applying the results of a first to a second expression: the resulting items of the first expression are bound to the context item one by one, and the second expression is evaluated for each item. The map operator may be used as replacement for FLWOR expressions:

#### **Example:**

```
(: Simple map notation :)
(1 to 10) ! element node { . },
(: FLWOR notation :)
for $i in 1 to 10
return element node { $i }
```

A map operator is defined to be part of a path expression, which may now be mixed of path and map operators. In contrast to the map operator, the results of the map operator will not be made duplicate-free and returned in document order.

## **Group By**

FLWOR expressions have been extended to include the group by <sup>[2]</sup> clause, which is well-established among relational database systems. group by can be used to apply value-based partitioning to query results:

#### **Example:**

This query is a rewrite of Query #20  $^{[3]}$  contained in the XMark Benchmark Suite  $^{[4]}$  to use group by. The query partitions the customers based on their income.

#### **Result:**

```
<challenge>4731</challenge>
<na>12677</na>
<prefered>314</prefered>
<standard>7778</standard>
```

In contrast to the relational GROUP BY statement, the XQuery counterpart concatenates the values of all non-grouping variables that belong to a specific group. In the context of our example, all nodes in //people/person that belong to the preferred partition are concatenated in \$ppl after grouping has finished. You can see this effect by changing the return statement to:

```
return element { $income } { $ppl }
```

#### **Result:**

## Try/Catch

The try/catch <sup>[5]</sup> construct can be used to handle errors at runtime:

#### **Example:**

```
try {
   1 + '2'
} catch err:XPTY0004 {
   'Typing error: ' || $err:description
} catch * {
   'Error [' || $err:code || ']: ' || $err:description
}
```

Result: Typing error: '+' operator: number expected, xs:string found.

## **Switch**

The switch <sup>[6]</sup> statement is available in many other programming languages. It chooses one of several expressions to evaluate based on its input value.

#### **Example:**

```
for $fruit in ("Apple", "Pear", "Peach")
return switch ($fruit)
  case "Apple" return "red"
  case "Pear" return "green"
  case "Peach" return "pink"
  default return "unknown"
```

Result: red green pink

## **Function Items**

One of the most distinguishing features added in *XQuery 3.0* are *function items*, also known as *lambdas* or *lambda functions*. They make it possible to abstract over functions and thus writing more modular code.

#### **Examples:**

Function items can be obtained in three different ways:

• Declaring a new *inline function*:

```
let f := function($x, $y) { $x + $y }
return f(17, 25)
```

#### Result: 42

• Getting the function item of an existing (built-in oder user-defined) XQuery function. The arity (number of arguments) has to be specified as there can be more than one function with the same name:

```
let $f := math:pow#2
return $f(5, 2)
```

#### Result: 25

• *Partially applying* another function or function item. This is done by supplying only some of the required arguments, writing the placeholder? in the positions of the arguments left out. The produced function item has one argument for every placeholder.

```
let $f := fn:substring(?, 1, 3)
return (
   $f('foo123'),
   $f('bar456')
)
```

Result: foo bar

Function items can also be passed as arguments to and returned as results from functions. These so-called Higher-Order Functions like fn:map and fn:fold-left are discussed in more depth on their own Wiki page.

# **Expanded QNames**

A *QName* can now be directly prefixed with the letter "Q" and a namespace URI in the Clark Notation [1].

#### **Examples:**

- Q{http://www.w3.org/2005/xpath-functions/math}pi() returns the number  $\pi$
- Q{java:java.io.FileOutputStream}new("output.txt") creates a new Java file output stream

The syntax differed in older versions of the XQuery 3.0 specification, in which the prefixed namespace URI was quoted:

- "http://www.w3.org/2005/xpath-functions/math":pi()
- "java:java.io.FileOutputStream":new("output")

## **Namespace Constructors**

New namespaces can now be created via so-called 'Computed Namespace Constructors'.

```
element node { namespace pref { 'http://url.org/' } }
```

## **String Concatenations**

Two vertical bars | | (also names *pipe characters*) can be used to concatenate strings. This operator is a shortcut for the fn:concat() function.

```
'Hello' || ' ' || 'Universe'
```

## **External Variables**

Default values can now be attached to external variable declarations. This way, an expression can also be evaluated if its external variables have not been bound to a new value.

```
declare variable $user external := "admin";
"User:", $user
```

#### Serialization

Serialization parameters can now be defined within XQuery expressions. Parameters are placed in the query prolog and need to be specified as option declarations, using the output prefix.

#### **Example:**

```
declare option output:omit-xml-declaration "no";
declare option output:method "xhtml";
<html/>
```

Result: <?xml version="1.0" encoding="UTF-8"?><html></html>

#### **Context Item**

The context item can now be specified in the prolog of an XQuery expressions:

#### **Example:**

Result: 5 5

## **Annotations**

XQuery 3.0 introduces annotations to declare properties associated with functions and variables. For instance, a function may be declared %public, %private, or %updating.

#### **Example:**

```
declare %private function local:max($x1, $x2) {
  if($x1 > $x2) then $x1 else $x2
};
local:max(2, 3)
```

#### **Functions**

BaseX supports all functions that have been added in Version 3.0 of the XQuery Functions and Operators [7] Working Draft. The new functions are listed below:

```
• math:pi(), math:sin(), and many others (see Math Module)
```

- fn:analyze-string()
- fn:available-environment-variables()
- fn:element-with-id()
- fn:environment-variable()
- fn:filter()
- fn:fold-left()
- fn:fold-right()
- fn:format-date()
- fn:format-dateTime()
- fn:format-integer()
- fn:format-number()
- fn:format-time()
- fn:function-arity()
- fn:function-lookup()
- fn:function-name()
- fn:generate-id()
- fn:has-children()
- fn:head()
- fn:innermost()
- fn:map()
- fn:map-pairs()
- fn:outermost()
- fn:parse-xml()
- fn:path()
- fn:serialize()
- fn:tail()
- fn:unparsed-text()
- fn:unparsed-text-available()
- fn:unparsed-text-lines()
- fn:uri-collection()

New signatures have been added for the following functions:

- fn:document-uri() with 0 arguments
- fn:string-join() with 1 argument
- fn:node-name() with 0 arguments
- fn:round() with 2 arguments
- fn:data() with 0 arguments

# Changelog

Version 7.3

• Added: Simple map operator

Version 7.2

• Added: Annotations

• Updated: EQName syntax

Version 7.1

• Added: Expanded QNames, Computed Namespace Constructor

Version 7.0

• String Concatenator

### References

- [1] http://www.w3.org/TR/xquery-30/#id-map-operator
- [2] http://www.w3.org/TR/xquery-30/#id-group-by
- [3] http://www.ins.cwi.nl/projects/xmark/Assets/xmlquery.txt
- [4] http://www.ins.cwi.nl/projects/xmark
- [5] http://www.w3.org/TR/xquery-30/#id-try-catch
- [6] http://www.w3.org/TR/xquery-30/#id-switch
- [7] http://www.w3.org/TR/xpath-functions-30/

# **Higher-Order Functions**

This page talks about *higher-order functions* introduced with XQuery 3.0. The BaseX-specific hof module containing some more very usful functions can be found at Higher-Order Functions Module.

## **Function Items**

Probably the most important new feature in XQuery 3.0 are *function items*, i. e. items that act as functions, but can also be passed to and from other functions and expressions, making functions *first-class citizens* of the language.

The XQuery 3.0 page goes into details on how function items can be obtained.

## **Function Types**

Like every XQuery item, function items have a *sequence type*. It can be used to specify the *arity* (number of arguments the function takes) and the argument and result types.

The most general function type is function (\*). It's the type of all function items. The following query for example goes through a list of XQuery items and, if it is a function item, prints its arity:

```
for $item in (1, 'foo', fn:concat#3, function($a) { 42 * $a })
where $item instance of function(*)
return fn:function-arity($item)
```

#### Result: 3 1

The notation for specifying argument and return types is quite intuitive, as it closely resembles the function declaration. The XQuery function

```
declare function local:char-at(
   $str as xs:string,
   $pos as xs:integer
) as xs:string {
   fn:substring($str, $pos, 1)
};
```

for example has the type function (xs:string, xs:integer) as xs:string. It isn't possible to specify only the argument and not the result type or the other way round. A good place-holder to use when no restriction is wanted is item()\*, as it matches any XQuery value.

Function types can also be nested. As an example we take local:on-sequences, which takes a function defined on single items and makes it work on sequences as well:

```
declare function local:on-sequences(
    $f as function(item()) as item()*
) as function(item()*) as item()* {
    fn:map($f, ?)
};
```

We'll see later how fn:map(...) works. The type of local:on-sequences(...) on the other hand is easily constructed, if a bit long:

```
function(function(item()) as item()*) as function(item()*) as item()*.
```

# **Higher-Order Functions**

A higher-order function is a function that takes other functions as arguments and/or returns them as results. fn:map and local:on-sequences from the last chapter are nice examples.

With the help of higher-order functions, one can extract common patterns of *behaviour* and abstract them into a library function.

## **Higher-Order Functions on Sequences**

Some usage patterns on sequences are so common that the higher-order functions describing them are in the XQuery standard libraries. They are listed here, together with their possible XQuery implementation and some motivating examples.

## fn:map(\$f, \$seq)

```
Signatures fn:map(\$f \text{ as function(item())} \text{ as item()*, } \$seq \text{ as item()*)} as item()*
```

**Summary** Applies the function item \$f to every element of the sequence \$seq and returns all of the results as a sequence.

Examples

• Squaring all numbers from 1 to 10:

```
fn:map(math:pow(?, 2), 1 to 10)
```

Result: 1 4 9 16 25 36 49 64 81 100

· Applying a list of functions to a string:

```
let $fs := (
  fn:upper-case#1,
  fn:substring(?, 4),
  fn:string-length#1
)
return fn:map(function($f) { $f('foobar') }, $fs)
```

Result: FOOBAR bar 6

## fn:filter(\$pred, \$seq)

fn:filter(\$pred as function(item()) as xs:boolean, \$seq as item()\*) as item()\*

Applies the boolean predicate \$pred to all elements of the sequence \$seq, returning those for which it returns true(). **Summary** 

Examples

• All even integers until 10:

```
fn:filter(function($x) { $x mod 2 eq 0 }, 1 to 10)
```

Result: 2 4 6 8 10

• Strings that start with an upper-case letter:

```
let $first-upper := function($str) {
  let $first := fn:substring($str, 1, 1)
  return $first eq fn:upper-case($first)
return fn:filter($first-upper, ('FooBar', 'foo', 'BAR'))
```

Result: FooBar BAR

• Inefficient prime number generator:

```
let $is-prime := function($x) {
  x gt 1 and (every y in 2 to (x - 1) satisfies x mod y ne 0
return filter($is-prime, 1 to 20)
```

Result: 2 3 5 7 11 13 17 19

Note

fn:filter can be easily implemented with fn:map:

```
declare function local:filter($pred, $seq) {
           map(
             function($x) {
              if($pred($x)) then $x else ()
             },
             $seq
         };
XQuery 1.0 declare function local:filter(
```

```
$pred as function(item()) as xs:boolean,
 $seq as item()*
) as item()* {
 $seq[$pred(.)]
};
```

### fn:map-pairs(\$f, \$seq1, \$seq2)

```
Signatures fn:map-pairs ($f as function(item(), item()) as item()*, $seq1 as item()*, $seq2 as
         item()*) as item()*
```

zips the elements from the two sequences \$seq1 and \$seq2 together with the function \$f. It stops after the shorter sequence ends. Summary

#### Examples

• Adding one to the numbers at odd positions:

```
fn:map-pairs(
  function($a, $b) { $a + $b },
  fn:map(function($x) { $x mod 2 }, 1 to 10),
    (1, 1, 1, 1)
)
```

#### Result: 2 1 2 1 2

• Line numbering:

```
let $number-lines := function($str) {
    fn:string-join(
        fn:map-pairs(
            concat(?, ': ', ?),
            1 to 1000,
            tokenize($str, '\r?\n|\r')
        ),
        '
'
    )
}
return $number-lines(
    'hello world,
    how are you?'
)
```

#### Result:

```
1: hello world,
2: how are you?
```

• Checking if a sequence is sorted:

```
let $is-sorted := function($seq) {
    every $b in
        fn:map-pairs(
            function($a, $b) { $a le $b },
            $seq,
            fn:tail($seq)
        )
        satisfies $b
}
return (
    $is-sorted(1 to 10),
    $is-sorted((1, 2, 42, 4, 5))
)
```

Result: true false

#### XQuery 1.0

```
declare function local:map-pairs(
    $f as function(item(), item()) as item()*,
    $seq1 as item()*,
    $seq2 as item()*
) as item()* {
    for $pos in 1 to min(length($seq1), length($seq2))
    return $f($seq1[$pos], $seq2[$pos])
};
```

## **Folds**

A *fold*, also called *reduce* or *accumulate* in other languages, is a very basic higher-order function on sequences. It starts from a seed value and incrementally builds up a result, consuming one element from the sequence at a time and combining it with the aggregate with a user-defined function.

Folds are one solution to the problem of not having *state* in functional programs. Solving a problem in *imperative* programming languages often means repeatedly updating the value of variables, which isn't allowed in functional languages.

Calculating the *product* of a sequence of integers for example is easy in Java:

```
public int product(int[] seq) {
  int result = 1;
  for(int i : seq) {
    result = result * i;
  }
  return result;
}
```

Nice and efficient implementations using folds will be given below.

The linear folds on sequences come in two flavours. They differ in the direction in which they traverse the sequence:

### fn:fold-left(\$f, \$seed, \$seq)

```
Signatures fn:fold-left($f as function(item()*, item()) as item()*, $seed as item()*, $seq as item()*) as item()*

Summary The left fold traverses the sequence from the left. The query fn:fold-left($f, 0, 1 to 5) for example would be evaluated as:

$f($f($f($f($f(0, 1), 2), 3), 4), 5)$
```

Examples

• Product of a sequence of integers:

```
let $product := fn:fold-left(
  function($result, $i) { $result * $i },
  1,
  ?
)
return $product(1 to 5)
```

Result: 120

• Illustrating the evaluation order:

```
fn:fold-left(
  concat('\(\frac{1}{5}\)(', ?, ', ', ?, ')'),
  '\(\frac{1}{5}\)
)
```

Result: \$f(\$f(\$f(\$f(\$seed, 1), 2), 3), 4), 5)

• Building a decimal number from digits:

```
let $from-digits := fold-left(
  function($n, $d) { 10 * $n + $d },
  0,
  ?
)
return (
  $from-digits(1 to 5),
  $from-digits((4, 2))
)
```

Result: 12345 42

XQuery 1.0 As folds are more general than FLWOR expressions, the implementation isn't as concise as the former ones:

```
declare function local:fold-left(
    $f as function(item()*, item()) as item()*,
    $seed as item()*,
    $seq as item()*
) as item()* {
    if(empty($seq)) then $seed
    else local:fold-left(
        $f,
        $f($seed, fn:head($seq)),
        fn:tail($seq)
    )
};
```

## fn:fold-right(\$f, \$seed, \$seq)

```
Signatures fn:fold-right($f as function(item(), item()*) as item()*, $seed as item()*, $seq as
   item()*) as item()*
```

Summary

The right fold fn:fold-right (f, f, f) traverses the from the right. The query fn:fold-right (f, 0, 1 to 5) for example would be evaluated as:

```
$f(1, $f(2, $f(3, $f(4, $f(5, 0)))))
```

Examples

• Product of a sequence of integers:

```
let $product := fn:fold-right(
  function($i, $result) { $result * $i },
  1,
  ?
)
return $product(1 to 5)
```

Result: 120

• Illustrating the evaluation order:

```
fn:fold-right(
  concat('$f(', ?, ', ', ?, ')'),
  '$seed',
  1 to 5
)
```

Result: \$f(1, \$f(2, \$f(3, \$f(4, \$f(5, \$seed)))))

• Reversing a sequence of items:

```
let $reverse := fn:fold-right(
  function($item, $rev) {
    $rev, $item
  },
  (),
  ?
}
return $reverse(1 to 10)
```

Result: 10 9 8 7 6 5 4 3 2 1

XQuery 1.0

```
declare function local:fold-right(
    $f as function(item(), item()*) as item()*,
    $seed as item()*,
    $seq as item()*
) as item()* {
    if(empty($seq)) then $seed
    else $f(
        fn:head($seq),
        local:fold-right($f, $seed, tail($seq))
    )
};
```

Note that the order of the arguments of f are inverted compared to that in fn:fold-left (...) .

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# **Module Library**

This article is part of the XQuery Portal.

Beside the standard XQuery Functions <sup>[7]</sup>, BaseX offers additional function modules, which are listed in the following table. All modules are statically bound, which means that they need not (but may) be explicitly declared in the query prolog.

Module	Description	Prefix	Namespace URI
Archive	Creating and processing ZIP archives.	archive	http://basex.org/modules/archive
Client	Executing commands and queries on remote BaseX servers.	client	http://basex.org/modules/client
Conversion	Converting data (binary, numeric) to other formats.	convert	http://basex.org/modules/convert
Cryptography	Cryptographic functions, based on the EXPath Cryptographic [1] module.	crypto	http://expath.org/ns/crypto
Database	Functions for accessing and updating databases.	db	http://basex.org/modules/db
File	File handling, based on the latest draft of the EXPath File <sup>[2]</sup> module.	file	http://expath.org/ns/file
Full-Text	Functions for performing full-text operations.	ft	http://basex.org/modules/ft
Hashing	Cryptographic hash functions.	hash	http://basex.org/modules/hash
Higher-Order	Additional higher-order functions that are not in the standard libraries.	hof	http://basex.org/modules/hof
НТТР	Sending HTTP requests, based on the EXPath HTTP [3] module.	http	http://expath.org/ns/http-client
Index	Functions for requesting details on database indexes.	index	http://basex.org/modules/index
JSON	Parsing and serializing JSON documents [4].	json	http://basex.org/modules/json
Map	Functions for handling maps (key/value pairs).	map	http://www.w3.org/2005/xpath-functions/map
Math	Mathematical operations, extending the W3C Working Draft <sup>[7]</sup> .	math	http://www.w3.org/2005/xpath-functions/math
Output	Functions for simplifying formatted output.	out	http://basex.org/modules/out
Process	Executing system commands from XQuery.	proc	http://basex.org/modules/proc
Profiling	Functions for profiling code snippets.	prof	http://basex.org/modules/prof
Random	Functions for creating random numbers. Version 7.5	random	http://basex.org/modules/random
Repository	Installing, deleting and listing packages.	repo	http://basex.org/modules/repo
Request	Server-side functions for handling HTTP Request data. Version 7.5	request	http://exquery.org/ns/request
Session	Functions for handling server-side HTTP Sessions. Version 7.5	session	http://basex.org/modules/session
Sessions	Functions for managing all server-side HTTP Sessions. Version 7.5	sessions	http://basex.org/modules/sessions
SQL	JDBC bridge to access relational databases.	sql	http://basex.org/modules/sql
Validation	Validating documents against DTDs or XML Schema files.	validate	http://basex.org/modules/validate

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XQuery	Evaluates new XQuery expressions at runtime.	xquery	http://basex.org/modules/xquery
XSLT	Stylesheet transformations, based on Java's and Saxon's XSLT processor.	xslt	http://basex.org/modules/xslt
ZIP	ZIP functionality, based on the EXPath ZIP <sup>[5]</sup> module (soon obsolete).	zip	http://expath.org/ns/zip

#### References

- [1] http://expath.org/spec/crypto
- [2] http://expath.org/spec/file
- [3] http://expath.org/spec/http-client
- [4] http://www.json.org
- [5] http://expath.org/spec/zip

# Repository

This article is part of the XQuery Portal. It describes how external XQuery modules and Java code can be installed in the XQuery module repository, and how new packages are built and deployed.

#### **Motivation**

One of the reasons why languages such as Java or Perl have been so successful is the vast amount of libraries that are available to developers. XQuery is a Turing complete language, but it just provides around 100 pre-defined functions, which cannot meet all requirements. This is why additional libraries arise – such as FunctX <sup>[1]</sup> – that extend the language with new features.

BaseX offers two mechanisms to make new packages accessible to the XQuery processor:

- 1. With the default packaging packaging mechanism, single XQuery and Java modules can directly be installed to the repository.
- 2. The EXPath Packaging system provides a generic mechanism for adding XQuery modules to query processors. A package is defined as a .xar archive, which encapsulates one or more extension libraries.

## **Usage**

All packages are stored in the *repository*. The repository is a directory named BaseXRepo or repo, which resides in your home directory. BaseX provides three commands for interaction with the package repository: REPO INSTALL, REPO DELETE, and REPO LIST. Packages can also be managed from within XQuery, using the Repository Module.

#### **Installation**

A module or package can be installed with the REPO INSTALL command. The path to the file has to be given as a parameter, as the following two examples demonstrate:

```
REPO INSTALL http://files.basex.org/modules/expath/functx-1.0.xar
REPO INSTALL hello-world.xqm
```

The installation will only succeed if the specified file conforms to the constraints described below. If you know that your input is valid, you may as well copy the files directly to the repository directory, or edit its contents in the repository without deleting and reinstalling them.

#### Querying

Installed packages can be addressed by importing them as *modules*. Since we have the package repository in which all packages are located, it is sufficient to just specify the namespace URI of a module:

```
import module namespace functx = "http://www.functx.com";
```

When this statement is parsed, the query processor will check if the namespace "http://www.functx.com" is used in any of the installed packages and, if yes, will load and parse the modules. In the remaining query, you can call the parsed module functions in the standard way, e.g.:

```
functx:capitalize-first("test")
```

Package encapsulating Java archives can be imported in the same way as pure XQuery modules (see below).

#### Listing

All currently installed packages can be listed with the REPO LIST command. It will return the names of all packages, their version, and the directory in which they are installed:

```
URI Version Directory
------
http://www.functx.com 1.0 http-www.functx.com-1.0

1 package(s).
```

#### Removal

A package can be deleted with the command REPO DELETE and an additional argument, containing its name or the name suffixed with a hyphen and the package version:

```
REPO DELETE http://www.functx.com ...or...
REPO DELETE http://www.functx.com-1.0
```

## **Packaging**

#### **XQuery**

If an XQuery file is specified as input for the install command, it will be parsed as XQuery module. If parsing was successful, the module URI will be rewritten to a file path and attached with the .xqm file suffix, and the original file will be renamed and copied to that path into the repository.

#### **Example:**

Contents of the file HelloWorld.xgm [2] (comments removed):

```
module namespace m = 'http://basex.org/modules/Hello';
declare function m:hello($world) {
   'Hello ' || $world
};
```

Installation (the original file will be copied to org/basex/modules/Hello.xqm):

```
REPO INSTALL HelloWorld.xqm
```

XQuery file HelloUniverse.xq [3] (comments removed):

```
import module namespace m = 'http://basex.org/modules/Hello';
m:hello("Universe")
```

#### Java

Suitable JAR archives may contain one or more class files. One of them will be chosen as main class, which must be specified in a Main-Class entry in the manifest file (META-INF/MANIFEST.MF). This fully qualified Java class name will be rewritten to a file path by replacing the dots with slashes and attaching with the .jar file suffix, and the original file will be renamed and copied to that path into the repository.

The public functions of this class can then be addressed from XQuery, using the class or file path as namespace URI, or an alternative writing that can be rewritten to the module file path. Moreover, a class may extend the QueryModule class to get access to the current query context and to be enriched by some helpful annotations (please consult Context Awareness of Java Bindings for more information).

#### **Example:**

Structure of the HelloWorld.jar [4] archive:

```
META-INF/
MANIFEST.MF
org/basex/modules/
Hello.class
```

Contents of the file MANIFEST.mf (the whitespaces are obligatory):

```
Manifest-Version: 1.0
Main-Class: org.basex.modules.Hello
```

Contents of the file (comments removed):

```
package org.basex.modules;
public class Hello {
  public String hello(final String world) {
    return "Hello " + world;
  }
}
```

Installation (the file will be copied to org/basex/modules/Hello.jar):

```
REPO INSTALL HelloWorld.jar
```

XQuery file HelloUniverse.xq [3] (same as above):

```
import module namespace m = 'http://basex.org/modules/Hello';
m:hello("Universe")
```

After installing the module, all of the following URIs can be used in XQuery to import this module or call its functions:

```
http://basex.org/modules/Hello
org/basex/modules/Hello
org.basex.modules.Hello
```

Please be aware that the execution of Java code can cause side effects that conflict with the functional nature of XQuery, or may introduce new security risks. The article on Java Bindings gives more insight on how Java code is

handled from the XQuery processor.

## **EXPath Packaging**

The EXPath specification <sup>[5]</sup> defines how the structure of a .xar archive shall look like. The package contains at its root a package descriptor named <code>expath-pkg.xml</code>. This descriptor presents some meta data about the package as well as the libraries which it contains and their dependencies on other libraries or processors.

## **XQuery**

Apart from the package descriptor, a .xar archive contains a directory which includes the actual XQuery modules. For example, the FunctX XQuery Library <sup>[6]</sup> is packaged as follows:

```
expath-pkg.xml
functx/
  functx.xql
  functx.xsl
```

#### Java

In case you want to extend BaseX with a Java archive, some additional requirements have to be fulfilled:

 Apart from the package descriptor expath-pkg.xml, the package has to contain a descriptor file at its root, defining the included jars and the binary names of their public classes. It must be named basex.xml and must conform to the following structure:

• The jar file itself along with an XQuery file defining wrapper functions around the java methods has to reside in the module directory. The following example illustrates how java methods are wrapped with XQuery functions:

#### **Example:**

Suppose we have a simple class Printer having just one public method print ():

```
package test;

public final class Printer {
  public String print(final String s) {
    return new Writer(s).write();
  }
}
```

We want to extend BaseX with this class and use its method. In order to make this possible we have to define an XQuery function which wraps the print method of our class. This can be done in the following way:

```
import module namespace j="http://basex.org/lib/testJar";
declare namespace p="java:test.Printer";
```

```
declare function j:print($str as xs:string) as xs:string {
  let $printer := p:new()
  return p:print($printer, $str)
};
```

As it can be seen, the class Printer is declared with its binary name as a namespace prefixed with "java" and the XQuery function is implemented using the Java Bindings <sup>[7]</sup> offered by BaseX.

On our file server <sup>[8]</sup>, you can find some example libraries packaged as XML archives (xar files). You can use them to try our packaging API or just as a reference for creating your own packages.

## **URI Rewriting**

If modules are looked up in the repository, their URIs are rewritten to a local file path. The URI transformation has been inspired by Zorba <sup>[9]</sup>:

- 1. If a URI authority exists, it is reversed, and its dots are replaced by slashes.
- 2. The URI path is appended. If no path exists, a single slash is appended instead.
- 3. If the resulting string ends with a slash, the index string is appended.

If the resulting path has no file suffix, it may point to either an XQuery module or a Java archive. The following examples show some rewritings:

- http://basex.org/modules/hello/World → org/basex/modules/hello/World
- http://www.example.com → com/example/www/index
- a/little/example.xq → a/little/example.xq

## Changelog

Version 7.2.1

- Updated: Installation: existing packages will be replaced without raising an error
- Updated: Removal: remove specific version of a package
- · Added: Packaging, URI Rewriting

Version 7.1

• Added: Repository Module

Version 7.0

• Added: EXPath Packaging

#### References

- [1] http://www.xqueryfunctions.com/
- $[2] \ http://files.basex.org/modules/org/basex/modules/Hello/HelloWorld.xqm$
- [3] http://files.basex.org/modules/org/basex/modules/Hello/HelloUniverse.xq
- [4] http://files.basex.org/modules/org/basex/modules/Hello/HelloWorld.jar
- [5] http://expath.org/spec/pkg
- [6] http://www.functx.com/
- [7] http://docs.basex.org/wiki/Java\_Bindings
- [8] http://files.basex.org/modules/
- [9] http://www.zorba-xquery.com/html/documentation/latest/zorba/uriresolvers

# **Java Bindings**

This article is part of the XQuery Portal. It demonstrates two ways to invoke Java code from XQuery and an extension to make Java code aware of the current context.

The Java Binding feature is an extensibility mechanism which enables developers to directly access Java variables and execute code from XQuery. Java classes are identified by namespaces. The namespace URI must simply contain the fully qualified class name. The URI can optionally be prefixed with the string <code>java:</code> to enforce that the addressed code is written in Java.

If the addressed Java code is not found in the classpath, it first needs to be installed in the Repository.

# **Namespace Declarations**

Java classes can be declared via namespaces. The namespace can then be used to call static functions contained in that class. Variables are represented as function with 0 parameters.

The following example uses Java's Math class to return the cosine of an angle by calling the static method  $\cos$  (), and the value of  $\pi$  by addressing the static variable via PI ():

```
declare namespace math = "java:java.lang.Math";
math:cos(xs:double(0)), math:PI()
```

The new Expanded QName notation of XQuery 3.0 can be applied as well to directly specify a namespace URI instead of the prefix:

```
Q{java:java.lang.Math}cos(xs:double(0))
```

The constructor of a class can be invoked by calling the virtual function <code>new()</code>. Instance methods can then called by passing on the resulting Java object as first argument.

In the following example, 256 bytes are written to the file output.txt. First, a new FileWriter instance is created, and its write() function is called in the next step. The java: prefix is omitted in the URI:

```
declare namespace fw = "java.io.FileWriter";
let $file := fw:new('output.txt')
return (
  for $i in 0 to 255
  return fw:write($file, xs:int($i)),
  fw:close($file)
)
```

Function names with dashes will be rewritten to Java's camel case notation:

```
XQuery: get-contents($x as xs:string)
Java : getContents(String x)
```

Note that Java code cannot be pre-compiled, and will often be evaluated slower than optimized XQuery code.

# **Module Imports**

Java code can also be integrated by *importing* classes as modules. A new instance of the addressed class is created, which can then be accessed in the query body.

An example (the boolean values returned by set:add() are ignored):

```
import module namespace set = "java.util.HashSet";
let $loop :=
  for $i in 1 to 10000
  return set:add($i)
return set:size()
```

Advantages of this approach are:

- imported code can be executed faster than instances created at runtime via new().
- the work on class instances ensures that queries run in parallel will not cause any concurrency issues (provided that the class contains no static variables or functions).

A drawback is that no arguments can be passed on to the class constructor. This is also why the class must provide a constructor without no arguments.

## **Context-Awareness**

Java classes can be coupled more closely to the BaseX core library. If an instantiated class inherits the abstract QueryModule <sup>[1]</sup> class of BaseX, it will get access to the context variable, which is an instance of the QueryContext <sup>[2]</sup> class. It provides access to all static and dynamic properties of the current query. Additionally, the default properties of functions can be changed via annotations:

- Java functions can only be executed by users with Admin permissions. You may annotate a function with @Requires (<Permission>) to also make it accessible to users with less privileges.
- Java code is treated as non-deterministic, as its behavior cannot be predicted by the XQuery processor. You may
  annotate a function as @Deterministic if you know that it will have no side-effects and will always yield the
  same result.
- Java code is treated as context-independent. If a function accesses the query context, it should be annotated as @ContextDependent
- Java code is treated as *focus-independent*. If a function accesses the current context item, position or size, it should be annotated as @FocusDependent

The following XQuery code invokes two Java methods. The first Java function retrieves information from the static query context, and the second one throws a query exception:

```
import module namespace context = 'org.basex.examples.query.ContextModule';

<context>{
    context:function-namespace()
}</context>,

<to-int>{
    try { context:to-int('abc') }
    catch * { 'Error in line', $err:line-number }
}</to-int>
```

The imported Java class is shown below:

```
package org.basex.examples.query;
import org.basex.query.*;
import org.basex.query.value.item.*;
import org.basex.util.*;
 * This example is inherited from the {@link QueryModule} class.
 * /
public class ContextModule extends QueryModule {
   * Returns the default function namespace.
   * @return default function namespace
   */
  @Requires(Permission.NONE)
  @Deterministic
  @ContextDependent
  public Str functionNS() {
    return Str.get(context.sc.nsFunc);
  /**
   * Converts the specified string to an integer.
   * @param value string representation
   * @return integer
   * @throws QueryException query exception
   */
  @Requires(Permission.NONE)
  @Deterministic
  public int toInt(final String value) throws QueryException {
    try {
      return Integer.parseInt(value);
    } catch(NumberFormatException ex) {
      throw new QueryException(ex.getMessage());
  }
```

The result will look as follows:

```
<context>http://www.w3.org/2005/xpath-functions</context>
<to-int>Error in line 6</to-int>
```

Please visit the XQuery 3.0 specification if you want to get more insight into function properties [3].

# Changelog

Version 7.2.1

• Added: import of Java modules, context awareness

#### References

- [1] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/query/QueryModule.java
- [2] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/query/QueryContext.java
- [3] http://www.w3.org/TR/xpath-functions-30/#properties-of-functions

# **Full-Text**

This article is part of the XQuery Portal. It summarizes the full-text features of BaseX.

Full-text retrieval is an essential query feature for working with XML documents, and BaseX was the first query processor that fully supported the W3C XQuery Full Text 1.0 <sup>[1]</sup> Recommendation. This page lists some singularities and extensions of the BaseX implementation.

## **Query Evaluation**

BaseX offers different evaluation strategies for XQFT queries, the choice of which depends on the input data and the existence of a full text index. The query compiler tries to optimize and speed up queries by applying a full text index structure whenever possible and useful. Three evaluation strategies are available: the standard sequential database scan, a full-text index based evaluation and a hybrid one, combining both strategies (see XQuery Full Text implementation in BaseX <sup>[2]</sup>). Query optimization and selection of the most efficient evaluation strategy is done in a full-fledged automatic manner. The output of the query optimizer indicates which evaluation plan is chosen for a specific query. It can be inspected by activating verbose querying (Command: SET VERBOSE ON) or opening the Query Info in the GUI. The message

```
Applying full-text index
```

suggests that the full-text index is applied to speed up query evaluation. A second message

```
Removing path with no index results
```

indicates that the index does not yield any results for the specified term and is thus skipped. If index optimizations are missing, it sometimes helps to give the compiler a second chance and try different rewritings of the same query.

## **Options**

The available full-text index can handle various combinations of the match options defined in the XQuery Full Text Recommendation. By default, most options are disabled. The GUI dialogs for creating new databases or displaying the database properties contain a tab for choosing between all available options. On the command-line, the SET command can be used to activate full-text indexing or creating a full-text index for existing databases:

- SET FTINDEX true; CREATE DB input.xml
- CREATE INDEX fulltext

The following indexing options are available:

- Language: see below for more details (SET LANGUAGE EN).
- Stemming: tokens are stemmed with the Porter Stemmer before being indexed (SET STEMMING true).
- Case Sensitive: tokens are indexed in case-sensitive mode (SET CASESENS true).
- **Diacritics**: diacritics are indexed as well (SET DIACRITICS true).

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• **Stopword List**: a stop word list can be defined to reduce the number of indexed tokens (SET STOPWORDS [filename]).

## Languages

The chosen language determines how the input text will be tokenized and stemmed. The basic code base and jar file of BaseX comes with built-in support for English and German. More languages are supported if the following libraries are found in the classpath:

- lucene-stemmers-3.4.0.jar <sup>[3]</sup>: includes Snowball and Lucene stemmers and extends language support to the following languages: Bulgarian, Catalan, Czech, Danish, Dutch, Finnish, French, Greek, Hindi, Hungarian, Indonesian, Italian, Latvian, Lithuanian, Norwegian, Portuguese, Romanian, Russian, Spanish, Swedish, Turkish.
- igo-0.4.3.jar <sup>[4]</sup>: An additional article explains how Igo can be integrated, and how Japanese texts are tokenized and stemmed.

The JAR files can also be found in the zip and exe distribution files of BaseX.

The following two queries, which both return true, demonstrate that stemming depends on the selected language:

```
"Indexing" contains text "index" using stemming,
"häuser" contains text "haus" using stemming using language "de"
```

## **Scoring**

The XQuery Full Text Recommendation allows for the usage of scoring models and values within queries, with scoring being completely implementation defined.

BaseX offers an internal scoring model which can be extended to different application scenarios. The score of a full-text result is calculated by taking the number of found terms and their frequency in a single text node into account. Terms will be ranked higher if they are found in short text nodes.

#### **Thesaurus**

BaseX supports full-text queries using thesauri, but it does not provide a default thesaurus. This is why query such as

```
'computers' contains text 'hardware' using thesaurus default
```

will return false. However, if the thesaurus is specified, then the result will be true

```
'computers' contains text 'hardware'
using thesaurus at 'XQFTTS_1_0_4/TestSources/usability2.xml'
```

The format of the thesaurus files must be the same as the format of the thesauri provided by the XQuery and XPath Full Text 1.0 Test Suite <sup>[5]</sup>. It is an XML with structure defined by an XSD Schema <sup>[6]</sup>.

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## **Fuzzy Querying**

In addition to the official recommendation, BaseX supports fuzzy querying. The XQFT grammar was enhanced by the FTMatchOption using fuzzy to allow for approximate searches in full texts. By default, the standard full-text index already supports the efficient execution of fuzzy searches.

#### Document 'doc.xml':

Command: CREATE DB doc.xml; CREATE INDEX fullext

#### Query:

```
//a[text() contains text 'house' using fuzzy]
```

#### **Result:**

```
<a>house</a>
<a>hous</a>
```

Fuzzy search is based on the Levenshtein distance. The maximum number of allowed errors is calculated by dividing the token length of a specified query term by 4, preserving a minimum of 1 errors. A static error distance can be set by adjusting the LSERROR property (default: SET LSERROR 0). The query above yields two results as there is no error between the query term "house" and the text node "house", and one error between "house" and "hous".

#### **Mixed Content**

When working with so-called narrative XML documents, such as HTML, TEI <sup>[7]</sup>, or DocBook <sup>[8]</sup> documents, you typically have *mixed content*, i.e., elements containing a mix of text and markup, such as:

```
This is only an illustrative \frac{\sinh^2\phi}{\sinh^2\phi}, not a \frac{\phi}{\phi} text.
```

Since the logical flow of the text is not interrupted by the child elements, you will typically want to search across elements, so that the above paragraph would match a search for "real text". For more examples, see XQuery and XPath Full Text 1.0 Use Cases <sup>[9]</sup>.

To enable this kind of searches, whitespace chopping must be turned off when importing XML documents by setting the option CHOP to OFF (default: SET CHOP ON). In the GUI, you find this option in Database  $\rightarrow$  New...  $\rightarrow$  Parsing  $\rightarrow$  Chop Whitespaces. A query such as //p[. contains text 'real text'] will then match the example paragraph above. However, the full-text index will **not** be used in this query, so it may take a long time. The full-text index would be used for the query //p[text() contains text 'real text'], but this query will not find the example paragraph, because the matching text is split over two text nodes.

Note that the node structure is completely ignored by the full-text tokenizer: The contains text expression applies all full-text operations to the *string value* of its left operand. As a consequence, the ft:mark and ft:extract functions (see Full-Text Functions) will only yield useful results if they are applied to single text nodes, as the following example demonstrates:

```
(: Structure is ignored; no highlighting: :)
ft:mark(//p[. contains text 'real'])
(: Single text nodes are addressed: results will be highlighted: :)
```

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```
ft:mark(//p[.//text() contains text 'real'])
```

Note that BaseX does **not** support the *ignore option* (without content) of the W3C XQuery Full Text  $1.0^{[10]}$  Recommendation. This means that it is not possible to ignore descendant element content, such as footnotes or other material that does not belong to the same logical text flow. Here is an example document:

```
This text is provided for illustrative<note>Serving as an example or explanation.</note> purposes only.
```

The ignore option would enable you to search for the string "illustrative purposes":

```
//p[. contains text 'illustrative purposes' without content note]
```

For more examples, see XQuery and XPath Full Text 1.0 Use Cases [11].

As BaseX does not support the ignore option, it raises error FTST0007 when it encounters without content in a full-text contains expression.

## **Functions**

Some additional Full-Text Functions have been added to BaseX to extend the official language recommendation with useful features, such as explicitly requesting the score value of an item, marking the hits of a full-text request, or directly accessing the full-text index with the default index options.

## Changelog

Version 7.3

- Removed: Trie index, which was specialized on wildcard queries. The fuzzy index now supports both wildcard and fuzzy queries.
- Removed: TF/IDF scoring was discarded in favor of the internal scoring model.

#### References

- [1] http://www.w3.org/TR/xpath-full-text-10/
- [2] http://www.inf.uni-konstanz.de/gk/pubsys/publishedFiles/GrGaHo09.pdf
- [3] http://files.basex.org/maven/org/apache/lucene-stemmers/3.4.0/lucene-stemmers-3.4.0.jar
- [4] http://en.sourceforge.jp/projects/igo/releases/
- [5] http://dev.w3.org/2007/xpath-full-text-10-test-suite
- [6] http://dev.w3.org/cvsweb/~checkout~/2007/xpath-full-text-10-test-suite/TestSuiteStagingArea/TestSources/thesaurus.xsd?rev=1.3;content-type=application%2Fxml
- [7] http://tei-c.org/
- [8] http://docbook.org
- [9] http://www.w3.org/TR/xpath-full-text-10-use-cases/#Across
- [10] http://www.w3.org/TR/xpath-full-text-10/#ftignoreoption
- [11] http://www.w3.org/TR/xpath-full-text-10-use-cases/#Ignore

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# **Full-Text: Japanese**

This article is linked from the Full-Text page. It gives some insight into the implementation of the full-text features for Japanese text corpora. The Japanese version is also available as PDF <sup>[1]</sup>. Thank you to Toshio HIRAI <sup>[2]</sup> for integrating the lexer in BaseX!

### Introduction

The lexical analysis of Japanese documents is performed by Igo <sup>[3]</sup>. Igo is a *morphological analyser*, and some of the advantages and reasons for using Igo are:

- compatible with the results of a prominent morphological analyzer "MeCab"
- it can use the dictionary distributed by the Project MeCab
- the morphological analyzer is implemented in Java and is relatively fast

Japanese tokenization will be activated in BaseX if Igo is found in the classpath. igo-0.4.3.jar <sup>[4]</sup> of Igo is currently included in all distributions of BaseX.

In addition to the library, one of the following dictionary files must either be unzipped into the current directory, or into the etc sub-directory of the project's Home Directory:

- IPA Dictionary: http://files.basex.org/etc/ipadic.zip
- NAIST Dictionary: http://files.basex.org/etc/naistdic.zip

## **Lexical Analysis**

The example sentence "私日本日書日日日日(I wrote a book.)" is analyzed as follows.

The element of the decomposed part is called "Surface", the content analysis is called "Morpheme". The Morpheme component is built as follows:

```
品詞,品詞細分類1,品詞細分類2,品詞細分類3,活用形,活用型,原形,読□,発音

(POS, subtyping POS 1, subtyping POS 2, subtyping POS 3, inflections, use type, prototype, reading, pronunciation)
```

Of these, the surface is used as a token. Also, The contents of analysis of a morpheme are used in indexing and stemming.

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# **Parsing**

During indexing and parsing, the input strings are split into single *tokens*. In order to reduce the index size and speed up search, the following word classes have been intentionally excluded:

- Mark
- Filler
- Postpositional particle
- · Auxiliary verb

Thus, in the example above, the "私", "本", "書□" will be passed to the indexer for each token.

### **Token Processing**

"Fullwidth" and "Halfwidth" (which is defined by East Asian Width Properties <sup>[4]</sup>) are not distinguished (this is the so-called ZENKAKU/HANKAKU problem). For example, [III] and XML will be treated as the same word. If documents are *hybrid*, i.e. written in multiple languages, this is also helpful for some other options of the XQuery Full Text Specification, such as the Case <sup>[5]</sup> or the Diacritics <sup>[6]</sup> Option.

## **Stemming**

Stemming in Japanese means to analyze the results of morphological analysis ("verbs" and "adjectives") that are processed using the "prototype".

If the stemming option is enabled, for example, the two statements "私□本□書□ (I wrote the book)" and "私□本□書□ (I write the book)" can be led back to the same prototype by analyzing their verb:

```
書□ 動詞,自立,*,*,五段□行□音便,基本形,[書□],□□,□□ 
書□ 動詞,自立,*,*,五段□行□音便,連用□接続,[書□],□□,□□ 
□ 助動詞,*,*,*,特殊□□,基本形,□,□,□
```

Because the "auxiliary verb" is always excluded from the tokens, there is no need to consider its use. Therefore, the same result (true) is returned for the following two types of queries:

```
'私『本『書』' contains text '書』' using stemming using language 'ja'
'私『本『書』' contains text '書』' using stemming using language 'ja'
```

#### Wildcards

The Wildcard option in XQuery Full-Text is available for Japanese as well. The following example is based on '芥川龍之介(AKUTAGAWA, Ryunosuke)', a prominent Japanese writer, the first name of whom is often spelled as "竜之介". The following two queries both return true:

```
'芥川龍之介' contains text '.之介' using wildcards using language 'ja'
'芥川竜之介' contains text '.之介' using wildcards using language 'ja'
```

However, there is a special case that requires attention. The following query will yield false:

```
'芥川龍之介' contains text '芥川.之介' using wildcards using language 'ja'
```

This is because the next word boundary metacharacters cannot be determined in the query. In this case, you may insert an additional whitespaces as word boundary:

```
'芥川龍之介' contains text '芥川D.之介' using wildcards using language 'ja'
```

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As an alternative, you may modify the query as follows:

```
'芥川龍之介' contains text '芥川' ftand '.之介' using wildcards using language 'ja'
```

#### References

- [1] http://files.basex.org/etc/ja-ft.pdf
- [2] http://blog.infinite.jp
- [3] http://igo.sourceforge.jp/
- [4] http://unicode.org/Public/UNIDATA/EastAsianWidth.txt
- [5] http://www.w3.org/TR/xpath-full-text-10/#ftcaseoption
- [6] http://www.w3.org/TR/xpath-full-text-10/#ftdiacriticsoption

# **XQuery Update**

This article is part of the XQuery Portal. It summarizes the update features of BaseX.

BaseX offers a complete implementation of the XQuery Update Facility (XQUF) <sup>[1]</sup>. This article aims to provide a very quick and basic introduction to the XQUF. First, some examples for update expressions are given. After that, a few problems are addressed that frequently arise due to the nature of the language. These are stated in the Concepts paragraph.

#### **Features**

#### **Updating Expressions**

There are five new expressions to modify data. While insert, delete, rename and replace are basically self-explanatory, the transform expression is different, as modified nodes are copied in advance and the original databases remain untouched.

An expression consists of a target node (the node we want to alter) and additional information like insertion nodes, a QName, etc. which depends on the type of expression. Optional modifiers are available for some of them. You can find a few examples and additional information below.

#### insert

```
insert node (attribute { 'a' } { 5 }, 'text', <e/>) into /n
```

Insert enables you to insert a sequence of nodes into a single target node. Several modifiers are available to specify the exact insert location: insert into as first/as last, insert before/after and insert into.

Note: in most cases, as last and after will be evaluated faster than as first and before!

#### delete

```
delete node //node
```

The example query deletes all <node> elements in your database. Note that, in contrast to other updating expressions, the delete expression allows multiple nodes as a target.

#### replace

```
replace node /n with <a/>
```

The target element is replaced by the DOM node <a/>. You can also replace the value of a node or its descendants by using the modifier **value of**.

```
replace value of node /n with 'newValue'
```

All descendants of /n are deleted and the given text is inserted as the only child. Note that the result of the insert sequence is either a single text node or an empty sequence. If the insert sequence is empty, all descendants of the target are deleted. Consequently, replacing the value of a node leaves the target with either a single text node or no descendants at all.

#### rename

```
for $n in //node
return rename node $n as 'renamedNode'
```

All node elements are renamed. An iterative approach helps to modify multiple nodes within a single statement. Nodes on the descendant- or attribute-axis of the target are not affected. This has to be done explicitly as well.

#### **Non-Updating Expressions**

#### transform

```
copy $c := doc('example.xml')//node[@id = 1]
modify rename node $c as 'copyOfNode'
return $c
```

The node element with @id=1 is copied and subsequently assigned a new QName using the rename expression. Note that the transform expression is the only expression which returns an actual XDM instance as a result. You can therefore use it to modify results and especially DOM nodes. This is an issue beginners are often confronted with. More on this topic can be found in the XQUF Concepts section.

The following example demonstrates a common use case:

#### Query:

#### Result:

```
<entry>
  <text>Copy of: Transform expression example</text>
  <author>BaseX</author>
```

```
<author>Joey</author>
</entry>
```

The <entry> element (here it is passed to the expression as a DOM node) can also be replaced by a database node, e.g.:

```
copy $c := (db:open('example')//entry)[1]
...
```

In this case, the original database node remains untouched as well, as all updates are performed on the node copy.

#### **Functions**

#### fn:put()

fn:put() is also part of the XQUF and enables the user to serialize XDM instances to secondary storage. It is executed at the end of a snapshot. Serialized documents therefore reflect all changes made effective during a query.

#### **Database Functions**

Some additional, updating database functions exist in order to perform updates on document and database level.

### **Concepts**

There are a few specialties around XQuery Update that you should know about. In addition to the **simple expression**, the XQUF adds the **updating expression** as a new type of expression. An updating expression returns only a Pending Update List (PUL) as a result which is subsequently applied to addressed databases and DOM nodes. A simple expression cannot perform any permanent changes and returns an empty or non-empty sequence.

#### **Pending Update List**

The most important thing to keep in mind when using XQuery Update is the Pending Update List (PUL). Updating statements are not executed immediately, but are first collected as update primitives within a set-like structure. At the end of a query, after some consistency checks and optimizations, the update primitives will be applied in the following order:

```
insert
insert into
insert into last
insert attribute
insert into first
replace value
rename
put
replace
delete
insert before
db:add()
db:store()
db:replace()
db:rename()
db:delete()
db:optimize()
```

```
db:flush()
db:drop()
db:create()
```

It may be surprising to see db:create on bottom of this list. This means that newly created database cannot be accessed by the same query, which can be explained by the semantics of updating queries: all expressions can only be evaluated on databases that already exist while compiling and evaluating the query. As a result, db:create is mainly useful in the context of Command Scripts, or Web Applications, in which a redirect to another page can be triggered after having created a database.

If an inconsistency is found, an error message is returned and all accessed databases remain untouched (atomicity). For the user, this means that updates are only visible **after** the end of a snapshot.

#### **Example**

The query...

```
insert node <b/>
for $n in /doc/child::node()
return rename node $n as 'justRenamed'
```

...applied on the document...

```
<doc> <a/> </doc>
```

...results in the following document:

```
<doc> <justRenamed/><b/> </doc>
```

Despite explicitly renaming all child nodes of < doc/>, the former < a/> element is the only one to be renamed. The element is inserted within the same snapshot and is therefore not yet visible to the user.

#### **Returning Results**

It is not possible to mix different types of expressions in a query result. The outermost expression of a query must either be a collection of updating or non-updating expressions. The only way to perform any updating queries and return a result at the same time is to use the BaseX-specific db:output() function, which caches the results of its arguments at runtime and returns them after all updates have been processed.

Example: Perform update and return success message.

```
db:output("Update successful."), insert node <c/> into doc('factbook')/mondial
```

If you want to modify temporary nodes in main memory without storing them in a database, you can use the transform expression.

#### **Function Declaration**

To use updating expressions within a function, the 'updating' flag has to be added to the function declaration. A correct declaration of a function that contains updating expressions (or one that calls updating functions) looks like this:

```
declare updating function { ... }
```

#### **Effects**

### **Original Files**

In BaseX, all updates are performed on database nodes or in main memory. Update operations thus never affect the original input file. You can, however, use the EXPORT command or the fn:put() function to create an updated XML file.

Moreover changes in your database are propagated back to the original input file if the database option WRITEBACK is turned on. This option can also be activated on command line via -u. Make sure you back up the original data before running your queries.

#### **Indexes**

By default, index structures are discarded after an update operation, and their maintenance is left to the user. See more in Indexes: Updates.

### **Error Messages**

Along with the Update Facility, a number of new error codes and messages have been added to the specification and BaseX. All errors are listed in the XQuery Errors overview.

#### References

[1] http://www.w3.org/TR/xquery-update-10/

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# **Serialization**

This page is part of the XQuery Portal. Serialization parameters define how XQuery items and XML nodes are textually output, i.e., *serialized*. (For input see Parsers.) They have been formalized in the W3C XQuery Serialization 3.0 <sup>[1]</sup> document. In BaseX, they can be specified in several ways:

- by including them in the prolog of the XQuery expression,
- by specifying them in the XQuery functions file:write() or fn:serialize(),
- by using the -s flag of the BaseX command-line clients,
- by setting the SERIALIZER option before running a query,
- by setting the EXPORTER option before exporting a database, or
- by setting them as REST query parameters

### **Parameters**

The following table gives a brief summary of all serialization parameters recognized by BaseX. For details, please refer to official specification.

Parameter	Description	Allowed	Default	Examples
method	<ul> <li>Specifies the serialization method:</li> <li>xml, xhtml, html, and text are adopted from the official specification.</li> <li>json and jsonml are specific to BaseX and can be used to output XML nodes in the JSON format (see the JSON Module for more details).</li> <li>raw is BaseX-specific as well: Binary data types are output in their <i>raw</i> form, i.e., without modifications. For all other types, the items' string values are returned. No indentation takes place, and and no characters are encoded via entities.</li> </ul>	xml, xhtml, html, text, json, jsonml, raw	xml	method=xml
version	Specifies the version of the serialization method. Added with Version 7.5.	xml/xhtml: 1.0,1.1 html:4.0, 4.01,5.0	1.0	version=1.0
html-version	Specifies the version of the HTML serialization method. Added with Version 7.5.	4.0,4.01, 5.0	4.0	html-version=5.0
item-separator	Determines a string to be used as item separator. If a separator is specified, the default separation of atomic values with single whitespaces will be skipped.	arbitrary strings, \n, \r\n, \r	empty	item-separator=&#a;
encoding	Encoding to be used for outputting the data.	all encodings supported by Java	UTF-8	encoding=US-ASCII
indent	Adjusts whitespaces to make the output better readable.	yes, no	yes	indent=no
cdata-section-elements	List of elements to be output as CDATA, separated by whitespaces.  Example: <text><!-- [CDATA[ <--> ]]&gt;</text>			cdata-section-elements=text
omit-xml-declaration	Omits the XML declaration, which is serialized before the actual query result  Example: xml version="1.0" encoding="UTF-8"?	yes, no	yes	omit-xml-declaration=no

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standalone	Prints or omits the "standalone" attribute in the XML declaration.	yes, no, omit	omit	standalone=yes
doctype-system	Introduces the output with a document type declaration and the given system identifier.  Example: x SYSTEM "entities.dtd"			doctype-system=entities.dtd
doctype-public	If doctype-system is specified, adds a public identifier.  Example: HTML PUBLIC "-//W3C//DTD HTML 4.01//EN" "http://www.w3.org/TR/html4/strict.dtd"			doctype-public=-//W3C//DTD HTML 4.01//EN, doctype-system=[2]
undeclare-prefixes	Undeclares prefixes in XML 1.1.	yes, no	no	undeclare-prefixes=yes
normalization-form	Specifies a normalization form. BaseX supports Form C (NFC).	NFC, none	NFC	normalization-form=none
media-type	Specifies the media type.		application/xml	media-type=text/plain
use-character-maps	Defines character mappings (not supported).			
byte-order-mark	Prints a byte-order-mark before starting serialization.	yes, no	no	byte-order-mark=yes
escape-uri-attributes	Escapes URI information in certain HTML attributes Example: <a href="%C3%A4%C3%B6%C3%BC">äöü<a></a></a>	yes, no	no	escape-uri-attributes=yes, method=html
include-content-type	<pre>Includes a meta content-type element if the result is output as HTML Example: <head><meta content="text/html; charset=utf-8" http-equiv="Content-Type"/></head></pre>	yes, no	no	<pre>include-content-type=yes, method=html</pre>

# BaseX provides some additional, implementation-specific serialization parameters:

Parameter	Description	Allowed	Default	Examples
format	Turns output formatting on/off, including the conversion of special characters to entities and insertion of item separators.	yes, no	yes	format=no
tabulator	Uses tab characters (\t) for indenting elements.	yes, no	no	tabulator=yes
indents	Specifies the number of characters to be indented.	positive number	2	indents=1, tabulator=yes
wrap-prefix, wrap-uri	Specifies a prefix and/or URI for wrapping the query results.			wrap-prefix=rest, wrap-uri=http://basex.org/rest
newline	Specifies the type of newline to be used as end-of-line marker.	\n, \r\n, \r	system dependent	newline=\r\n
separator	Determines the string to be used as item separator (deprecated, replaced with item-separator).	\n, \r\n, \r, arbitrary strings	single space	separator=\n

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# Changelog

Version 7.5

• Added: official item-separator and html-version parameter

• Updated: method=html5 removed; serializers updated with the latest version of the specification, using method=html and version=5.0.

Version 7.2

• Added: separator parameter

Version 7.1

• Added: newline parameter

Version 7.0

· Added: Serialization parameters added to REST API; JSON/JsonML/raw methods

#### References

- [1] http://www.w3.org/TR/xslt-xquery-serialization-30
- [2] http://www.w3.org/TR/html4/strict.dtd

# **XQuery Errors**

This article is part of the XQuery Portal. It summarizes all error codes that may be thrown by the BaseX XQuery processor.

As the original specifications are rather bulky and meticulous, we tried our best to make this overview comprehensible to a wider range of readers. The following tables list the error codes that are known to BaseX, a short description, and examples of queries raising that errors.

Original definitions of the error codes are found in the XQuery  $3.0^{[4]}$ , XQuery  $3.0^{[4]}$ , XQuery  $3.0^{[7]}$ , XQuery  $1.0^{[1]}$ , XQuery  $1.0^{[1]}$ , and EXPath HTTP [1] Specifications.

#### **BaseX Errors**

Error Codes: BASX

Code	Description	Examples
BASX0000	Generic error, which is used for exceptions in context-aware Java bindings.	
BASX0001	The current user has insufficient permissions to execute an expression.	file:delete('file.txt'): Create rights needed.
BASX0002	The specified database option is unknown.	declare option db:xyz "no"; 1
BASX0003	Errors related to RESTXQ.	%restxq:GET('x')

Additional, module-specific error codes are listed in the descriptions of the query modules.

# **Static Errors**

Error Codes: XPST, XQST

Code	Description	Examples
XPST0003	An error occurred while <i>parsing</i> the query string (i.e., before the query could be compiled and executed). This error is the most common one, and may be accompanied by a variety of different error messages.	l+for i in //* return \$i
XPST0005	An expression will never results, no matter what input will be processed.	doc('input')/
XPST0008	A variable or type name is used that has not been defined in the current scope.	\$aelement(*, x)
XPST0017	The specified function is unknown, or     it uses the wrong number of arguments.	unknown()count(1,2,3)
XPST0051	An unknown QName is used in a <i>sequence type</i> (e.g. in the target type of the cast expression).	<pre>1 instance of x"test" cast as xs:itr</pre>
XPST0080	${\tt xs:NOTATION}$ or ${\tt xs:anyAtomicType}$ is used as target type of cast or castable.	1 castable as xs:NOTATION
XPST0081	<ul> <li>A QName uses a prefix that has not been bound to any namespace, or</li> <li>a pragma or option declaration has not been prefixed.</li> </ul>	unknown:x(# pragma #) { 1 }
XQST0009	The query imports a schema (schema import is not supported by BaseX).	import schema "x"; ()
XQST0022	Namespace values must be constant strings.	<pre><elem xmlns="{ 'dynamic' }"></elem></pre>
XQST0031	The specified XQuery version is not specified.	xquery version "9.9"; ()
XQST0032	The base URI was declared more than once.	declare base-uri
XQST0033	A namespace prefix was declared more than once.	<pre>declare namespace a="a"; declare namespace a="b"; ()</pre>
XQST0034	A function was declared more than once.	<pre>declare function local:a() { 1 }; declare function local:a() { 2 }; local:a()</pre>
XQST0038	The default collation was declared more than once.	declare default collation
XQST0039	Two or more parameters in a user-defined function have the same name.	<pre>declare function local:fun(\$a, \$a) { \$a * \$a }; local:fun(1,2)</pre>
XQDY0040	Two or more attributes in an element have the same node name.	<elem a="12"></elem>
XQDY0045	A user-defined function uses a reserved namespace.	<pre>declare function fn:fun() { 1 }; ()</pre>
XQST0047	A module was defined more than once.	import module
XQST0048	A module declaration does not match the namespace of the specified module.	<pre>import module namespace invalid="uri"; 1</pre>
XQST0049	A global variable was declared more than once.	declare variable \$a := 1; declare variable \$a := 1; \$a
XQST0054	A global variable depends on itself. This may be triggered by a circular variable definition.	<pre>declare variable \$a := local:a(); declare function local:a() { \$a }; \$a</pre>
XQST0055	The mode for copying namespaces was declared more than once.	declare copy-namespaces
XQST0057	The namespace of a schema import may not be empty.	import schema ""; ()
XQST0059	The schema or module with the specified namespace cannot be found or processed.	import module "unknown"; ()

XQST0060 A user-defined function has no namespace.  declare default namespace ""; declare function	function
declare function	
	on x() { 1 }; 1
XQST0065 The ordering mode was declared more than once. declare ordering	ng
XQST0065 The default namespace mode for elements or functions was declared more than once. declare default namespace	t element
XQST0067 The construction mode was declared more than once. declare constru	action
XQST0068 The mode for handling boundary spaces was declared more than once. declare boundary	ry-space
XQST0069 The default order for empty sequences was declared more than once.  declare default	order empty
XQST0070 A namespace declaration overwrites a reserved namespace. declare namespa	ace xml=""; ()
XQST0071 A namespace is declared more than once in an element constructor.	" xmlns="uri2"/>
XQST0075 The query contains a validate expression (validation is not supported by BaseX). validate strict	<b>=</b> { () }
XQST0076 A group by or order by clause specifies an unknown collation.  for \$i in 1 to order by \$i col "unknown" return \$i	
XQST0079 A pragma was specified without the expression that is to be evaluated. (# xml:a #) {}	
XQST0085 An empty namespace URI was specified. <pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre>	ns:pref=""/>
XQST0087 An unknown encoding was specified. Note that the encoding declaration is currently ignored in BaseX. xquery version "a b"; ()	"1.0" encoding
XQST0088 An empty module namespace was specified. import module "	""; ()
XQST0089 Two variables in a for or let clause have the same name. for \$a at \$a ir	n 1 return \$i
XQST0090 A character reference specifies an invalid character.	
XQST0093 A module depends on itself. This may be triggered by a circular module definition. import module .	
XQST0094 group by references a variable that has not been declared before.  for \$a in 1 gro \$a	oup by \$b return
XQST0097 A decimal-format property is invalid. declare default digit = "xxx";	decimal-format
XQST0098 A single decimal-format character was assigned to multiple properties. declare default digit = "%"; 1	decimal-format
XQST0099 The context item was declared more than once. declare context	t item
XQST0106 An annotation has been declared twice in a variable or function declaration. declare %updati function	ing %updating
XQST0108 Output declarations may only be specified in the main module.  Module: declare of	output
XQST0109 The specified serialization parameter is unknown.  declare option ""; 1	output:unknown
XQST0110 A serialization parameter was specified more than once in the output declarations.  declare option "no"; declare option "no"; 1	-
XQST0111 A decimal format was declared more than once. declare decimal	l-format
XQST0113 Context item values may only be in the main module.  Module: declare of 1;	context item :=

XQST0114	A decimal-format property has been specified more than once.	declare	decimal-format EN
		NaN="!"	NaN="?"; ()

# **Type Errors**

Error Codes: XPTY, XQTY

Code	Description	Examples
XPTY0004	This error is raised if an expression has the wrong type, or cannot be cast into the specified type. It may be raised both statically (during query compilation) or dynamically (at runtime).	1 + "A"abs("a")1 cast as xs:gYear
XPTY0018	The result of the last step in a path expression contains both nodes and atomic values.	doc('input.xml')/(*, 1)
XPTY0019	The result of a step (other than the last step) in a path expression contains an atomic values.	(1 to 10)/*
XQTY0024	An attribute node cannot be bound to its parent element, as other nodes of a different type were specified before.	<pre><elem>text { attribute a { "val" } }</elem></pre>
XQTY0105	A function item has been specified as content of an element.	<x>{ false#0 }</x>

# **Dynamic Errors**

Error Codes: XPDY, XQDY

Code	Description	Examples
XPDY0002	No value has been defined for an external variable, or	declare variable \$x external;
	• no context item has been set before the query was executed.	<pre>\$xdescendant::*</pre>
XPDY0050	• The operand type of a treat expression does not match the	"string" treat as xs:int"string"[/]
	type of the argument, or	
	• the root of the context item must be a document node.	
XQDY0025	Two or more attributes in a constructed element have the same	element x { attribute a { "" } attribute a
	node name.	{ "" } }
XQDY0026	The content of a computed processing instruction contains "?>".	processing-instruction pi { "?>" }
XQDY0041	The name of a processing instruction is invalid.	processing-instruction { "1" } { "" }
XQDY0044	The node name of an attribute uses reserved prefixes or	attribute xmlns { "etc" }
	namespaces.	
XQDY0064	The name of a processing instruction equals "XML" (case	processing-instruction xml { "etc" }
	insensitive).	
XQDY0072	The content of a computed comment contains "" or ends with "-".	comment { "one two" }
XQDY0074	The name of a computed attribute or element is invalid, or uses an	element { "x y" } { "" }
	unbound prefix.	
XQDY0095	A sequence with more than one item was bound to a group by	let \$a := (1,2) group by \$a return \$a
	clause.	
XQDY0096	The node name of an element uses reserved prefixes or	element { QName("uri", "xml:n") } {}
	namespaces.	
XQDY0101	Invalid namespace declaration.	namespace xmlns { 'x' }
XQDY0102	Duplicate namespace declaration.	element x { namespace a {'b'}, namespace a
		{'c'} }

# **Functions Errors**

Error Codes: FOAR, FOCA, FOCH, FODC, FODF, FODT, FOER, FOFD, FONS, FORG, FORX, FOTY, FOUT

Code	Description	Examples
FOAR0001	A value was divided by zero.	1 div 0
FOAR0002	A numeric declaration or operation causes an over- or underflow.	12345678901234567890xs:double("-INF") idiv 1
FOCA0002	<ul> <li>A float number cannot be converted to a decimal or integer value, or</li> <li>a function argument cannot be converted to a valid QName.</li> </ul>	xs:int(xs:double("INF"))QName("", "el em")
FOCA0003	A value is too large to be represented as integer.	xs:integer(99e100)
FOCA0005	"Nan" is supplied to duration operations.	xs:yearMonthDuration("P1Y") * xs:double("NaN")
FOCH0001	A codepoint was specified that does not represent a valid XML character.	codepoints-to-string(0)
FOCH0002	A unsupported collation was specified in a function.	compare('a', 'a', 'unknown')
FOCH0003	A unsupported normalization form was specified in a function.	normalize-unicode('a', 'unknown')
FODC0001	The argument specified in fn:id() or fn:idref() must have a document node as root.	id("id0", <xml></xml> )
FODC0002	The specified document resource cannot be retrieved.	doc("unknown.xml")
FODC0004	The specified collection cannot be retrieved.	collection("unknown")
FODC0005	The specified URI to a document resource is invalid.	doc(" <xml></xml> ")
FODC0006	The string passed to fn:parse-xml() is not well-formed.	parse-xml(" <x ")<="" td=""></x>
FODC0007	The base URI passed to fn:parse-xml() is invalid.	parse-xml(" <x></x> ", ":")
FODF1280	The name of the decimal format passed to fn:format-number() is invalid.	<pre>format-number(1, "0", "invalid")</pre>
FODF1310	The picture string passed to fn:format-number() is invalid.	<pre>format-number(1, "invalid")</pre>
FODT0001	An arithmetic duration operation causes an over- or underflow.	xs:date('2000-01-01') + xs:duration('P99999Y')
FODT0002	A duration declaration or operation causes an over- or underflow.	<pre>implicit-timezone() div 0</pre>
FODT0003	An invalid timezone was specified.	<pre>adjust-time-to-timezone(xs:time("01:01:01"), xs:dayTimeDuration("PT20H"))</pre>
FOER0000	Error triggered by the fn:error() function.	error()
FOFD1340	The picture string passed to fn:format-date(), fn:format-time() or fn:format-dateTime() is invalid.	<pre>format-date(current-date(), "[]")</pre>
FOFD1350	The picture string passed to fn:format-date(), fn:format-time() or fn:format-dateTime() specifies an non-available component.	<pre>format-time(current-time(), "[Y2]")</pre>

FONS0004	A function has a QName as argument that specifies an unbound prefix.	resolve-QName("x:e", <e></e> )
FORG0001	A value cannot be cast to the required target type.	xs:integer("A")1 + <x>a</x>
FORG0002	The URI passed to fn:resolve-URI() is invalid.	resolve-URI(":")
FORG0003	fn:zero-or-one() was called with more than one item.	zero-or-one((1, 2))
FORG0004	fn:one-or-more() was called with zero items.	one-or-more(())
FORG0005	fn:exactly-one() was called with zero or more than one item.	exactly-one((1, 2))
FORG0006	A wrong argument type was specified in a function call.	sum((1, "string"))
FORG0008	The arguments passed to fn:dateTime() have	dateTime(xs:date("2001-01-01+01:01"),
	different timezones.	<pre>current-time())</pre>
FORX0001	A function specifies an invalid regular expression flag.	<pre>matches('input', 'query', 'invalid')</pre>
FORX0002	A function specifies an invalid regular expression.	matches('input', '[')
FORX0003	A regular expression matches an empty string.	tokenize('input', '.?')
FORX0004	The replacement string of a regular expression is invalid.	replace("input", "match", "\")
FOTY0012	An item has no typed value.	count#1
FOTY0013	Functions items cannot be atomized, have no defined equality, and have no string representation.	data(false#0)
FOTY0014	Function items have no string representation.	string(map {})
FOTY0015	Function items cannot be compared.	deep-equal(false#0, true#0)
FOUT1170	Function argument cannot be used to retrieve a text resource.	unparsed-text(':')
FOUT1190	Encoding to retrieve a text resource is invalid or not supported.	<pre>unparsed-text('file.txt', 'InvalidEncoding')</pre>

# **Serialization Errors**

Error Codes: SEPM, SERE, SESU

Code	Description	Examples
SESU0007	The specified encoding is not supported.	declare option output:encoding "xyz"; 1
SEPM0009	omit-xml-declaration is set to yes, and standalone has a value other than omit.	
SEPM0010	method is set to xml, undeclare-prefixes is set to yes, and version is set to 1.0.	
SERE0014	method is set to html, and an invalid HTML character is found.	
SERE0015	method is set to html, and a closing bracket (>) appears inside a processing instruction.	
SEPM0016	A specified parameter is unknown or has an invalid value.	declare option output:indent "nope"; 1

# **Update Errors**

Error Codes: FOUP, XUDY, XUST, XUTY

Code	Description	Examples
FOUP0001	The first argument of fn:put() must be a document node or element.	<pre>fn:put(text { 1 }, 'file.txt')</pre>
FOUP0002	The second argument of fn:put() is not a valid URI.	fn:put( <a></a> , '//')
XUDY0009	The target node of a replace expression needs a parent in order to be replaced.	replace node <target></target> with <new></new>
XUDY0014	The expression updated by the modify clause was not created by the copy clause.	<pre>let \$a := doc('a') return copy \$b := \$a modify delete node \$a/* return \$b</pre>
XUDY0015	In a rename expression, a target is renamed more than once.	<pre>let \$a := <xml></xml> return (rename node \$a as 'a', rename node \$a as 'b')</pre>
XUDY0016	In a replace expression, a target is replaced more than once.	let $a := \langle x \rangle x \langle /x \rangle / node()$ return (replace node $a \in A$ ), replace node $a \in A$
XUDY0017	In a replace value of expression, a target is replaced more than once.	let $a := \langle x/\rangle$ return (replace value of node $a = a = a = a = a = a = a = a = a = a $
XUDY0021	The resulting update expression contains duplicate attributes.	<pre>copy \$c := <x a="a"></x> modify insert node attribute a {""} into \$c return \$c</pre>
XUDY0023	The resulting update expression conflicts with existing namespaces.	rename node <a:ns xmlns:a="uri"></a:ns> as QName('URI', 'a:ns')
XUDY0024	New namespaces conflict with each other.	<pre>copy \$n := <x></x> modify (insert node attribute {   QName('uril', 'a') } { "" } into \$n, insert node   attribute { QName('uri2', 'a') } { "" } into \$n) return   \$n</pre>
XUDY0027	Target of an update expression is an empty sequence.	<pre>insert node <x></x> into ()</pre>
XUDY0029	The target of an update expression has no parent node.	<pre>insert node <new></new> before <target></target></pre>
XUDY0030	Attributes cannot be inserted before or after the child of a document node.	insert node <e a="a"></e> /@a after document { <e></e> }/*
XUDY0031	Multiple calls to fn:put() address the same URI.	for \$i in 1 to 3 return put( <a></a> , 'file.txt')
XUST0001	No updating expression is allowed here.	delete node /, "finished."
XUST0002	An updating expression is expected in the modify clause or an updating function.	copy \$a := <x></x> modify 1 return \$a
XUST0003	The revalidation mode was declared more than once.	declare revalidation
XUST0026	The query contains a revalidate expression (revalidation is not supported by BaseX).	declare revalidation
XUST0028	no return type may be specified in an updating function.	<pre>declare updating function local:x() as item() { () }; ()</pre>
XUTY0004	New attributes to be inserted must directly follow the root node.	<pre>insert node (<a></a>, attribute a {""}) into <a></a></pre>

XUTY0005	A single element or document node is expected as target of an insert expression.	<pre>insert node <new></new> into attribute a { "" }</pre>
XUTY0006	A single element, text, comment or processing instruction is expected as target of an insert before/after expression.	<pre>insert node <new></new> after attribute a { "" }</pre>
XUTY0007	Only nodes can be deleted.	delete node "string"
XUTY0008	A single element, text, attribute, comment or processing instruction is expected as target of a replace expression.	replace node document { <a></a> } with
XUTY0010	In a replace expression, in which no attributes are targeted, the replacing nodes must not be attributes as well.	replace node <a></a> /b with attribute size { 1 }
XUTY0011	In the replace expression, in which attributes are targeted, the replacing nodes must be attributes as well.	replace node <e a=""></e> /@a with <a></a>
XUTY0012	In a rename expression, the target nodes must be an element, attribute or processing instruction.	rename node text { 1 } as <x></x>
XUTY0013	An expression in the copy clause must return a single node.	copy \$c := ( <a></a> , ) modify () return \$c
XUTY0022	An attribute must not be inserted into a document node.	insert node <e a=""></e> /@a into document {'a'}

# **Full-Text Errors**

Error Codes: FTDY, FTST

Code	Description	Examples
FTDY0016	The specified weight value is out of range.	'a' contains text 'a' weight { 1001 }
FTDY0017	The not in operator contains a <i>string exclude</i> .	'a' contains text 'a' not in (ftnot 'a')
FTDY0020	The search term uses an invalid wildcard syntax.	'a' contains text '.{}' using wildcards
FTST0007	The full-text expression contains an ignore option (the ignore option is not supported by BaseX).	'a' contains text 'a' without content
FTST0008	The specified stop word file could not be opened or processed.	'a' contains text 'a' using stop words at 'unknown.txt'
FTST0009	The specified language is not supported.	'a' contains text 'a' using language 'aaa'
FTST0018	The specified thesaurus file could not be opened or processed.	'a' contains text 'a' using thesaurus at 'aaa'
FTST0019	A match option was specified more than once.	'a' contains text 'a' using stemming using stemming

# References

[1] http://www.expath.org/spec/http-client

# **XQuery Modules**

# **Admin Module**

This XQuery Module contains functions for performing operations that are restricted to users with Admin Permissions. Existing users can be listed, and soon more.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/admin namespace, which is statically bound to the admin prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

#### admin:users

```
Signatures admin:users() as element(user)*
admin:users($db as item()) as element(user)*

Summary Returns an element sequence, containing all registered users along with their access permissions.
```

If a database node  $\$ db \;\;$  is specified, users registered for a particular database will be returned.

The output of this function is similar to the SHOW USERS command.

 $\textbf{Examples} \quad \bullet \quad \texttt{admin:users()} \quad \textbf{returns} < \texttt{user permission} = \texttt{"admin"} > \texttt{admin'} / \texttt{user} > \text{ if no additional users have been created.}$ 

• admin:users("factbook") returns all users that have particularly registered for the specified database.

### admin:sessions

```
Signatures admin:sessions() as element(session) *
```

Summary Returns an element sequence with all currently opened sessions, including the user name, address (IP:port) and an optionally opened database

The output of this function is similar to the SHOW SESSIONS command.

## admin:logs

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```
Signatures admin:logs() as element(file)*
   admin:logs($date as xs:string) as element(entry)*

Summary Returns Logging data compiled by the database or HTTP server.

If no argument is specified, a list of all log files will be returned, including the file size and date.

If a $date is specified, the contents of a single log file will be returned. An empty sequence will be returned if no logging data exists for the specified date.

Examples • admin:logs() may return <file date="2013-01-23" size="834367"/> if a single log file exists.
```

• for \$i in admin:logs() return admin:logs(\$i/@date) lists the contents of all log files.

# Changelog

The Module was introduced with Version 7.5.

# **Archive Module**

This XQuery Module contains functions to handle archives (including ePub, Open Office, JAR, and many other formats). New ZIP and GZIP archives can be created, existing archives can be updated, and the archive entries can be listed and extracted. This module may soon replace the existing ZIP Module (more information <sup>[1]</sup>).

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/archive namespace, which is statically bound to the archive prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

#### archive:create

Summary

Creates a new archive from the specified entries and contents.

The Sentries argument contains meta information required to create new entries. All items may either be of type xs:string, representing the entry name, or element (archive:entry), containing the name as text node and additional, optional attributes:

- last-modified: timestamp, specified as xs:dateTime (default: current time)
- compression-level: 0-9, 0 = uncompressed (default: 8)
- encoding: for textual entries (default: UTF-8)

An example:

```
<archive:entry last-modified='2011-11-11T11:11:11'</pre>
               compression-level='8'
               encoding='US-ASCII'>hello.txt</archive:entry>
```

The actual \$contents must be xs:string or xs:base64Binary items.

The \$options parameter contains archiving options, which can either be specified

• as children of an <archive:options/> element:

```
<archive:options>
  <archive:format value="zip"/>
  <archive:algorithm value="deflate"/>
</archive:options>
```

• as map, which contains all key/value pairs:

```
map { "format" := "zip", "algorithm" := "deflate" }
```

Currently, the following combinations are supported (all others will be rejected):

- zip: algorithm may be stored or deflate
- gzip: algorithm may be deflate

Errors

ARCH0001: the number of entries and contents differs.

ARCH0002: the specified option or its value is invalid or not supported.

ARCH0003: entry descriptors contain invalid entry names, timestamps or compression levels.

ARCH0004: the specified encoding is invalid or not supported, or the string conversion failed.

ARCH0005: the chosen archive format only allows single entries.ARCH9999: archive creation failed for some other reason.

FORG0006: an argument has a wrong type.

**Examples** The following one-liner creates an archive archive.zip with one file file.txt:

```
archive:create(<archive:entry>file.txt</archive:entry>, 'Hello World')
```

The following function creates an archive  $\mathtt{mp3.zip},$  which contains all MP3 files of a local directory:

```
let $path := 'audio/'
let $files := file:list($path, true(), '*.mp3')
let $zip := archive:create(
  $files ! element archive:entry { . },
  $files ! file:read-binary($path || .))
return file:write-binary('mp3.zip', $zip)
```

### archive:entries

Signatures archive:entries(\$archive as xs:base64Binary) as element(archive:entry)\*

**Summary** Returns the entry descriptors of the given archive. A descriptor contains the following attributes, provided that they are available in the archive format:

- size: original file size
- last-modified: timestamp, formatted as xs:dateTime
- compressed-size: compressed file size

An example:

```
<archive:entry size="1840" last-modified="2009-03-20T03:30:32" compressed-size="672">
   doc/index.html
</archive:entry>
```

**Errors ARCH9999**: archive creation failed for some other reason.

**Examples** Sums up the file sizes of all entries of a JAR file:

```
sum(archive:entries(file:read-binary('zip.zip'))/@size)
```

# archive:options

Signatures archive:options(\$archive as xs:base64Binary) as element(archive:options)

**Summary** Returns the options of the given archive in the format specified by archive:create.

**Errors ARCH0002**: The packing format is not supported.

ARCH9999: archive creation failed for some other reason.

**Examples** A standard ZIP archive will return the following options:

```
<archive:options xmlns:archive="http://basex.org/modules/archive">
    <archive:format value="zip"/>
    <archive:algorithm value="deflate"/>
    </archive:options>
```

#### archive:extract-text

Signatures archive:extract-text(\$archive as xs:base64Binary) as xs:string\*

archive:extract-text(\$archive as xs:base64Binary, \$entries as item()\*) as xs:string\*

archive:extract-text(\$archive as xs:base64Binary, \$entries as item()\*, \$encoding as xs:string) as xs:string\*

**Summary** Extracts archive entries and returns them as texts.

The returned entries can be limited via \$entries. The format of the argument is the same as for archive:create (attributes will be ignored).

The encoding of the input files can be specified via  $\ensuremath{\texttt{\$encoding}}$ .

Errors ARCH0004: the specified encoding is invalid or not supported, or the string conversion failed.

ARCH9999: archive creation failed for some other reason.

Examples The following expression extracts all .txt files from an archive:

```
let $archive := file:read-binary("documents.zip")
for $entry in archive:entries($archive)[ends-with(., '.txt')]
return archive:extract-text($archive, $entry)
```

# archive:extract-binary

**Summary** Extracts archive entries and returns them as binaries.

The returned entries can be limited via \$entries. The format of the argument is the same as for archive:create (attributes will be ignored).

**Errors ARCH9999**: archive creation failed for some other reason.

**Examples** This example unzips all files of an archive to the current directory:

```
let $archive := file:read-binary('archive.zip')
let $entries := archive:entries($archive)
let $contents := archive:extract-binary($archive)
for $entry at $p in $entries
return file:write-binary($entry, $contents[$p])
```

# archive:update

Summary Adds new entries and replaces existing entries in an archive.

The format of \$entries and \$contents is the same as for archive:create.

**Errors ARCH0001**: the number of entries and contents differs.

ARCH0003: entry descriptors contain invalid entry names, timestamps, compression levels or encodings.

ARCH0004: the specified encoding is invalid or not supported, or the string conversion failed.

**ARCH0005**: the entries of the given archive cannot be modified.

ARCH9999: archive creation failed for some other reason.

FORG0006: (some of) the contents are not of type xs:string or xs:base64Binary.

Examples This example replaces texts in a Word document:

```
declare variable $input := "HelloWorld.docx";
declare variable $output := "HelloUniverse.docx";
declare variable $doc := "word/document.xml";

let $archive := file:read-binary($input)
let $entry :=
   copy $c := fn:parse-xml(archive:extract-text($archive, $doc))
   modify replace value of node $c//*[text() = "HELLO WORLD!"] with "HELLO UNIVERSE!"
   return fn:serialize($c)
let $updated := archive:update($archive, $doc, $entry)
return file:write-binary($output, $updated)
```

### archive:delete

Signatures archive:delete(\$archive as xs:base64Binary, \$entries as item()\*) as xs:base64Binary

Summary Deletes entries from an archive.

The format of \$entries is the same as for archive:create.

**Errors ARCH0005**: the entries of the given archive cannot be modified.

**ARCH9999**: archive creation failed for some other reason.

**Examples** This example deletes all HTML files in an archive and creates a new file:

```
let $zip := file:read-binary('old.zip')
let $entries := archive:entries($zip)[matches(., '\.x?html?$', 'i')]
return file:write-binary('new.zip', archive:delete($zip, $entries))
```

### **Errors**

Code	Description
ARCH0001	The number of specified entries and contents differs.
ARCH0002	The packing format or the specified option is invalid or not supported.
ARCH0003	Entry descriptors contain invalid entry names, timestamps or compression levels.
ARCH0004	The specified encoding is invalid or not supported, or the string conversion failed.
ARCH0005	The entries of the given archive cannot be modified.
ARCH0006	The chosen archive format only allows single entries.
ARCH9999	Archive processing failed for some other reason.

# Changelog

The module was introduced with Version 7.3.

### References

[1] http://spex.basex.org/index.php?title=ZIP\_Module

Client Module 127

# **Client Module**

This XQuery Module contains functions to access remote BaseX server instances from XQuery. With this module, you can on the one hand execute database commands and on the other hand evaluate queries, the results of which are returned as XDM sequences.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/client namespace, which is statically bound to the client prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

#### client:connect

```
Signatures client:connect ($host as xs:string, $port as xs:integer, $user as xs:string, $password as xs:string) as xs:anyURI

Summary This function establishes a connection to a remote BaseX server, creates a new client session, and returns a session id. The parameter $host is the name of the database server, $port specifies the server port, and $user and $password represent the login data.
```

**Errors** BXCL0001: an error occurs while creating the session (possible reasons: server not available, access denied).

#### client:execute

```
Signatures client:execute($id as xs:anyURI, $command as xs:string) as xs:string

Summary This function executes a command and returns the result as string. The parameter $id contains the session id returned by client:connect. The $command argument represents a single command, which will be executed by the server.

BXCL0003: an I/O error occurs while transferring data from or to the server.

BXCL0004: an error occurs while executing a command.

Examples The following query creates a new database TEST on a remote BaseX server:

client:connect('basex.server.org', 8080, 'admin', 'admin') !
client:execute(., 'create database TEST')
```

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### client:info

Introduced with Version 7.5:

```
Signatures client:info($id as xs:anyURI) as xs:string
```

Summary This function returns an information string, created by a previous call of client:execute. \$id specifies the session id.

# client:query

#### Summary

Evaluates a query and returns the result as sequence. The parameter \$id contains the session id returned by client:connect, and \$query represents the query string, which will be evaluated by the server.

Variables and the context item can be declared via \$bindings. The specified keys must be QNames or strings, the values can be arbitrary items:

- variables specified as QNames will be directly interpreted as variable name.
- variables specified as xs:string may be prefixed with a dollar sign. Namespace can be specified using the Clark Notation <sup>[1]</sup>. If the specified string is empty, the value will be bound to the context item.

Errors

BXCL0003: an I/O error occurs while transferring data from or to the server.

BXCL0005: an error occurs while evaluating a query, and if the original error cannot be extracted from the returned error string.

Examples

The following query sends a query on a local server instance, binds the integer 123 to the variable \$n and returns 246:

```
let $c := client:connect('localhost', 1984, 'admin', 'admin')
return client:query($c, "$n * 2", map{ 'n' := 123 })
```

The following query performs a query on a first server, the results of which are passed on to a second server:

```
let $c1 := client:connect('basex1.server.org', 8080, 'jack', 'COS19tt2X')
let $c2 := client:connect('basex2.server.org', 8080, 'john', '465wFHe26')
for $it in client:query($c1, '1 to 10')
return client:query($c2, $it || '* 2')
```

#### client:close

```
Signatures client:close($id as xs:anyURI) as empty-sequence()
```

Summary This function closes a client session. \$id specifies the session id.

At the end of query execution, open sessions will be automatically closed.

**Errors** BXCL0003: an I/O error occurs while transferring data from or to the server.

### **Errors**

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Code	Description
BXCL0001	An error occurred while creating a new session (possible reasons: server not available, access denied).
BXCL0002	The specified session is unknown, or has already been closed.
BXCL0003	An I/O error occurred while transferring data from or to the server.
BXCL0004	An error occurred while executing a command.
BXCL0005	An error occurred while evaluating a query. Will only be raised if the XQuery error cannot be extracted from the returned error string.

# Changelog

Version 7.5

· Added: client:info

The module was introduced with Version 7.3.

# **Conversion Module**

This XQuery Module contains functions to convert data between different formats.

## **Conventions**

All functions in this module are assigned to the http://basex.org/modules/convert namespace, which is statically bound to the convert prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

# **Strings**

### convert:binary-to-string

```
Signatures convert:binary-to-string($bytes as basex:binary) as xs:string
convert:binary-to-string($bytes as basex:binary, $encoding as xs:string) as xs:string

Summary Converts the specifed binary data (xs:base64Binary, xs:hexBinary) to a string.
The UTF-8 default encoding can be overwritten with the optional $encoding argument.

Errors BXC00001: The input is an invalid XML string, or the wrong encoding has been specified.
BXC00002: The specified encoding is invalid or not supported.

Examples • convert:binary-to-string(xs:hexBinary('48656c6c6f576f726c64')) returns the string HelloWorld.
```

## convert:string-to-base64

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Signatures convert:string-to-base64 (\$input as xs:string) as xs:base64Binary

convert:string-to-base64(\$input as xs:string, \$encoding as xs:string) as xs:base64Binary

Summary Converts the specified string to a xs:base64Binary item. If the default encoding is chosen, conversion will be cheap, as both

xs:string and xs:base64Binary items are internally represented as byte arrays. The UTF-8 default encoding can be overwritten with the optional \$encoding argument.

The OTF-6 default encouning can be overwritten with the optional geneousing

**BXCO0001**: The input cannot be represented in the specified encoding. **BXCO0002**: The specified encoding is invalid or not supported.

Examples • convert:string-to-base64('HelloWorld') returns the xs:base64binary item SGVsbG9Xb3JsZA==.

# convert:string-to-hex

Errors

Signatures convert:string-to-hex(\$input as xs:string) as xs:hexBinary

convert:string-to-hex(\$input as xs:string, \$encoding as xs:string) as xs:hexBinary

Summary Converts the specified string to a xs:hexBinary item. If the default encoding is chosen, conversion will be cheap, as both

xs:string and xs:hexBinary items are internally represented as byte arrays.

The UTF-8 default encoding can be overwritten with the optional \$encoding argument.

Errors BXC00001: The input cannot be represented in the specified encoding.

BXCO0002: The specified encoding is invalid or not supported.

Examples • convert:string-to-hex('HelloWorld') returns the Base64 item 48656C6C6F576F726C64.

# **Byte Arrays**

# convert:bytes-to-base64

Signatures convert:bytes-to-base64(\$input as xs:byte\*) as xs:base64Binary

Summary Converts the specified byte sequence to a xs:base64Binary item. Conversion is cheap, as xs:base64Binary items are

internally represented as byte arrays.

**Errors BXC00001**: The input cannot be represented in the specified encoding.

BXCO0002: The specified encoding is invalid or not supported.

Examples • convert:string-to-base64('HelloWorld') returns the xs:base64binary item SGVsbG9Xb3JsZA==-.

### convert:bytes-to-hex

Signatures convert:string-to-hex(\$input as xs:byte\*) as xs:hexBinary

Summary Converts the specified byte sequence to a xs:hexBinary item. Conversion is cheap, as xs:hexBinary items are internally

represented as byte arrays.

Errors BXC00001: The input cannot be represented in the specified encoding.

**BXCO0002**: The specified encoding is invalid or not supported.

Examples • convert:string-to-hex('HelloWorld') returns the Base64 item 48656C6C6F576F726C64.

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# convert:binary-to-bytes

```
Signatures convert:binary-to-bytes($bin as basex:binary) as xs:byte*
```

Summary Returns the specified binary data (xs:base64Binary, xs:hexBinary) as a sequence of bytes.

- Examples convert:binary-to-bytes(xs:base64Binary('QmFzZVggaXMgY29vbA==')) returns the sequence (66, 97, 115, 101, 88, 32, 105, 115, 32, 99, 111, 111, 108).
  - convert:binary-to-bytes(xs:hexBinary("4261736558")) returns the sequence (66 97 115 101 88).

# Numbers

# convert:integer-to-base

```
Signatures convert:integer-to-base ($num as xs:integer, $base as xs:integer) as xs:string
```

Summary Converts \$num to base \$base, interpreting it as a 64-bit unsigned integer.

The first \$base elements of the sequence '0', ..., '9', 'a', ..., 'z' are used as digits.

Valid bases are 2, ..., 36.

Examples • convert:integer-to-base(-1, 16) returns the hexadecimal string 'fffffffffffffff.

• convert:integer-to-base(22, 5) returns '42'.

### convert:integer-from-base

```
Signatures convert:integer-from-base($str as xs:string, $base as xs:integer) as xs:integer
```

Summary Decodes an xs:integer from \$str, assuming that it's encoded in base \$base.

The first \$base elements of the sequence '0', ..., '9', 'a', ..., 'z' are allowed as digits, case doesn't matter.

Valid bases are 2 - 36.

If \$str contains more than 64 bits of information, the result is truncated arbitarily.

- Examples convert:integer-from-base('ffffffffffffffffffff., 16) returns -1.
  - convert:integer-from-base('CAFEBABE', 16) returns 3405691582.
  - convert:integer-from-base('42', 5) returns 22.
  - convert:integer-from-base(convert:integer-to-base(123, 7), 7) returns 123.

## **Dates and Durations**

### convert:integer-to-dateTime

Introduced with Version 7.5:

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```
Signatures convert:integer-to-dateTime($ms as xs:integer) as xs:dateTime
```

Summary Converts the specified number of milliseconds since 1 Jan 1970 to an item of type xs:dateTime.

Examples • convert:integer-to-dateTime(0) returns 1970-01-01T00:00:00Z.

• convert:integer-to-dateTime(1234567890123) returns 2009-02-13T23:31:30.123Z.

### convert:dateTime-to-integer

Introduced with Version 7.5:

```
Signatures convert:dateTime-to-integer($dateTime as xs:dateTime) as xs:integer
```

Summary Converts the specified item of type xs:dateTime to the number of milliseconds since 1 Jan 1970.

Examples • convert:dateTime-to-integer(xs:dateTime('1970-01-01T00:00:00Z')) returns 0.

# convert:integer-to-dayTime

Introduced with Version 7.5:

```
\textbf{Signatures convert:} \textbf{integer-to-dayTime} \ (\$\texttt{ms as xs:} \texttt{integer}) \ \texttt{as xs:} \texttt{dayTimeDuration}
```

Summary Converts the specified number of milliseconds to an item of type xs:dayTimeDuration.

**Examples** • convert:integer-to-dayTime(1234) returns PT1.234S.

## convert:dayTime-to-integer

Introduced with Version 7.5:

```
Signatures convert:dayTime-to-integer($dayTime as xs:dayTimeDuration) as xs:integer
```

Summary Converts the specified item of type xs:dayTimeDuration to milliseconds represented by an integer.

**Examples** • convert:dayTime-to-integer(xs:dayTimeDuration('PT1S')) returns 1000.

### **Errors**

Code	Description
BXC00001	The input is an invalid XML string, or the wrong encoding has been specified.
BXCO0002	The specified encoding is invalid or not supported.

# Changelog

Version 7.5

• Added: convert:integer-to-dateTime, convert:dateTime-to-integer, convert:integer-to-dayTime, convert:dayTime-to-integer

The module was introduced with Version 7.3. Some of the functions have been adopted from the obsolete Utility Module.

# **Cryptographic Module**

This XQuery Module contains functions to perform cryptographic operations in XQuery. The cryptographic module is based on an early draft of the EXPath Cryptographic Module [1] and provides the following functionality: creation of message authentication codes (HMAC), encryption and decryption, and creation and validation of XML Digital Signatures.

### **Conventions**

All functions in this module are assigned to the http://expath.org/ns/crypto namespace, which is statically bound to the crypto prefix.

All errors are assigned to the http://expath.org/ns/error namespace, which is statically bound to the experr prefix.

# **Message Authentication**

# crypto:hmac

Signatures crypto:hmac(\$message as xs:string(), \$secret-key as xs:string(), algorithm as xs:string())

as xs:string()

 $\textbf{crypto:hmac} \ (\texttt{\$message as xs:string(), \$secret-key as xs:string(), algorithm as xs:stri$ 

\$encoding as xs:string()) as xs:string()

Summary Creates a message authentication code via a cryptographic hash function and a secret key.

\$encoding must either be hex, base64 or the empty string and specifies the encoding of the returned authentication code.

Default is base 64.

\$algorithm describes the hash algorithm which is used for encryption. Currently supported are md5, sha1, sha256, sha384, sha512. **Default is md5**.

Errors CX0013: the specified hashing algorithm is not supported.

**CX0014**: the specified encoding method is not supported.

CX0019: the specified secret key is invalid.

Example Returns the message authentication code (MAC) for a given string.

Query

crypto:hmac('message','secretkey','md5','base64')

#### Result:

34D1E3818B347252A75A4F6D747B21C2

# **Encryption & Decryption**

The encryption and decryption functions underlie several limitations:

• Cryptographic algorithms are currently limited to symmetric algorithms only. This means that the same secret key is used for encryption and decryption.

- Available algorithms are DES and AES.
- Padding is fixed to PKCS5Padding.
- The result of an encryption using the same message, algorithm and key looks different each time it is executed.

  This is due to a random initialization vector (IV) which is appended to the message and simply increases security.
- As the IV has to be passed along with the encrypted message somehow, data which has been encrypted by the crypto:encrypt function in BaseX can only be decrypted by calling the crypto:decrypt function.

### crypto:encrypt

#### Summary Encrypts the given input string.

\$encryption-type must be symmetric, as asymmetric encryption is not supported so far. Default is symmetric.

\$secret-key is the secret key which is used for both encryption and decryption of input data. Its length is fixed and depends on the chosen algorithm: 8 bytes for DES, 16 bytes for AES.

\$cryptographic-algorithm must either be DES or AES. Other algorithms are not supported so far, but, of course, can be added on demand. **Default is DES**.

#### Errors CX0016: padding problems arise.

CX0017: padding is incorrect.

CX0018: the encryption type is not supported.

**CX0019**: the secret key is invalid. **CX0020**: the block size is incorrect.

CX0021: the specified encryption algorithm is not supported.

#### Example Encrypts input data.

#### Query:

```
crypto:encrypt('message', 'symmetric', 'keykeyke', 'DES')
```

# crypto:decrypt

#### $\begin{tabular}{ll} \textbf{Summary} & \textbf{Decrypts the encrypted $input.} \end{tabular}$

\$decryption-type must be symmetric. An option for asymmetric encryption will most likely be added with another version of BaseX. **Default is symmetric**.

\$secret-key is the secret key which is used for both encryption and decryption of input data. Its length is fixed and depends on the chosen algorithm: 8 bytes for DES, 16 bytes for AES.

\$cryptographic-algorithm must either be DES or AES. Other algorithms are not supported so far, but, of course, can be added on demand. **Default is DES**.

#### **Errors CX0016**: padding problems arise.

CX0017: padding is incorrect.

**CX0018**: the encryption type is not supported.

**CX0019**: the secret key is invalid. **CX0020**: the block size is incorrect.

**CX0021**: the specified encryption algorithm is not supported.

#### Example Decrypts input data and returns the original string.

#### **Ouerv**

```
let $encrypted := crypto:encrypt('message', 'symmetric','keykeyke','DES')
return crypto:decrypt($encrypted, 'symmetric','keykeyke','DES')
```

#### **Result:**

```
message
```

# **XML Signatures**

XML Signatures <sup>[1]</sup> are used to sign data. In our case, the data which is signed is an XQuery node. The following example shows the basic structure of an XML signature.

#### **XML Signature**

- SignedInfo contains or references the signed data and lists algorithm information
- **Reference** references the signed node
- Transforms contains transformations (i.e. XPath expressions) that are applied to the input node in order to sign a subset
- **DigestValue** holds digest value of the transformed references
- SignatureValue contains the Base64 encoded value of the encrypted digest of the SignedInfo element
- **KeyInfo** provides information on the key that is used to validate the signature
- Object contains the node which is signed if the signature is of type enveloping

#### **Signature Types**

Depending on the signature type, the signature element is either placed as a child of the signed node (enveloped type), or directly contains the signed node (enveloping type). Detached signatures are so far not supported.

#### **Digital Certificate**

The generate-signature function allows to pass a digital certificate. This certificate holds parameters that allow to access key information stored in a Java key store which is then used to sign the input document. Passing a digital certificate simply helps re-using the same key pair to sign and validate data. The digital certificate is passed as a node and has the following form:

```
<digital-certificate>
  <keystore-type>JKS</keystore-type>
  <keystore-password>...</keystore-password>
  <key-alias>...</key-alias>
  <private-key-password>...</private-key-password>
  <keystore-uri>...</keystore-uri>
</digital-certificate>
```

```
crypto:generate-signature
Signatures crypto:generate-signature($input-doc node(), $canonicalization-algorithm as xs:string(),
          $digest-algorithm as xs:string(), $signature-algorithm as xs:string(),
          $signature-namespace-prefix as xs:string(), $signature-type as xs:string()) as node()
          crypto:generate-signature($input-doc node(), $canonicalization-algorithm as xs:string(),
          digest-algorithm as xs:string(), signature-algorithm as xs:string(),
          $signature-namespace-prefix as xs:string(), $signature-type as xs:string(), $xpath-expression as
          xs:string()) as node()
          crypto:generate-signature($input-doc node(), $canonicalization-algorithm as xs:string(),
          $digest-algorithm as xs:string(), $signature-algorithm as xs:string(),
          $signature-namespace-prefix as xs:string(), $signature-type as xs:string(), $digital-certificate
          as node()) as node()
          crypto:generate-signature($input-doc node(), $canonicalization-algorithm as xs:string(),
          $digest-algorithm as xs:string(), $signature-algorithm as xs:string(),
          $signature-namespace-prefix as xs:string(), $signature-type as xs:string(), $xpath-expression as
          xs:string(), $digital-certificate as node()) as node()
Summary
         $canonicalization-algorithm must either be inclusive-with-comments, inclusive, exclusive-with-comments or
          exclusive. Default is inclusive-with-comments.
          $digest-algorithm must be one of the following: SHA1, SHA256 or SHA512. Default is SHA1.
          $signature-algorithm must either be RSA_SHA1 or DSA_SHA1. Default is RSA_SHA1.
          $signature-namespace-prefix may be empty and prefixes the Signature element accordingly.
          $signature-type must either be enveloped or enveloping. Detached signatures are so far not supported. Default is enveloped.
          $xpath-expression is an arbitrary XPath expression which specifies a subset of the document that is to be signed.
          $digital-certificate is the digitial certificate used to sign the input document.
Errors
          CX0001: the canonicalization algorithm is not supported.
          CX0002: the digest algorithm is not supported.
          CX0003: the signature algorithm is not supported.
          CX0004: the $xpath-expression is invalid.
          CX0005: the root name of $digital-certificate is not 'digital-certificate.
          CX0007: the key store is null.
          CX0012: the key cannot be found in the specified key store.
          CX0023: the certificate alias is invalid.
          CX0024: an invalid algorithm is specified.
          CX0025: an exception occurs while the signing the document.
          CX0026: an exception occurs during key store initialization.
          CX0027: an IO exception occurs.
          CX0028: the signature type is not supported.
Example
          Generates an XML Signature [1].
          Query:
```

```
crypto:generate-signature(<a/>, '', '', '', '')
```

#### **Result:**

```
<Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
            <SignedInfo>
                   <CanonicalizationMethod Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-20010315#WithComments"/>
                   <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1"/>
                   <Reference URI="">
                         <Transforms>
                                <Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature"/>
                         </Transforms>
                         <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
                          <DigestValue>9hvH4qztnIYgYfJDRLnEMPJdoaY=</DigestValue>
                   </Reference>
             </SignedInfo>
            < Signature Value > Pn/Jr44WBcdARff2UVYEiwYW1563XdqnU87nusAIaHgzd+U3SrjVJhPFLDe0DJfxVtYzLFaznTYESCARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALARFFALAR
P3ddeoFmyA==</SignatureValue>
            <KeyInfo>
                   <KeyValue>
                         <RSAKeyValue>
                                <Modulus>rtvpFSbCIE2BJeP1VYLIRIjX10R7ESr2+D+JOVKn7AM7VZbcbRDPeqRbjSkEz1HWC/N067tjB3qH
4/4PPT9bGQ==</Modulus>
                               <Exponent>AQAB</Exponent>
                         </RSAKeyValue>
                   </KeyValue>
            </KeyInfo>
      </Signature>
</a>
```

# crypto:validate-signature

Result:

```
Signatures crypto:validate-signature ($input-doc as node()) as xs:boolean()

Summary Checks if the given node contains a Signature element and whether the signature is valid. In this case true is returned. If the signature is invalid the function returns false.

Errors CX0015: the signature element cannot be found.
CX9994: an unspecified problem occurs during validation.
CX9996: an IO exception occurs during validation.

Example Validates an XML Signature [1].
Query:

let $sig := crypto:generate-signature(<a/>, '', '', '', '', '', ''')
return crypto:validate-signature($sig)
```

# **Errors**

Code	Description
CX0001	The canonicalization algorithm is not supported.
CX0002	The digest algorithm is not supported.
CX0003	The signature algorithm is not supported.
CX0004	The XPath expression is invalid.
CX0005	The root element of argument \$digital-certificate must have the name 'digital-certificate'.
CX0006	The child element of argument \$digital-certificate having position \$position must have the name \$child-element-name.
CX0007	The keystore is null.
CX0008	I/O error while reading keystore.
CX0009	Permission denied to read keystore.
CX0010	The keystore URL is invalid.
CX0011	The keystore type is not supported.
CX0012	Cannot find key for alias in given keystore.
CX0013	The hashing algorithm is not supported.
CX0014	The encoding method is not supported.
CX0015	Cannot find Signature element.
CX0016	No such padding.
CX0017	Incorrect padding.
CX0018	The encryption type is not supported.
CX0019	The secret key is invalid.
CX0020	Illegal block size.
CX0021	The algorithm is not supported.
CX0023	An invalid certificate alias is specified. Added to the official specification.
CX0024	The algorithm is invalid. Added to the official specification.
CX0025	Signature cannot be processed. Added to the official specification.
CX0026	Keystore cannot be processed. Added to the official specification.
CX0027	An I/O Exception occurred. Added to the official specification.
CX0028	The specified signature type is not supported. Added to the official specification.

# Changelog

The Module was introduced with Version 7.0.

# References

[1] http://www.w3.org/TR/xmldsig-core/

Database Module 139

# **Database Module**

This XQuery Module contains functions for processing databases from within XQuery. Existing databases can be opened and listed, its contents can be directly accessed, documents can be added to and removed, etc.

## **Conventions**

All functions in this module are assigned to the http://basex.org/modules/db namespace, which is statically bound to the db prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

#### **Database Nodes**

Many function signatures in this and other modules share \$db as argument to reference an existing database. The argument may either be a string, denoting the name of the addressed database, or a single node from an already opened database. The following errors may be raised by these functions:

- BXDB0001: \$db references an XML node that is not stored in a database, or is no database fragment.
- BXDB0002: the addressed database cannot be opened.

Last not but least, the argument may also reference a BaseX-specific *database fragment*. All XML fragments can be converted to database fragments by applying the transform expression on an XML fragment:

```
copy $c := element hello { 'world' }
modify ()
return db:text($c, 'world')
```

### **General Functions**

#### db:info

```
Signatures db:info($db as item()) as element(database)
```

Summary Returns meta information on the database specified by the database node \$db.

#### db:list

```
Signatures db:list() as xs:string*
    db:list($db as item()) as xs:string*
    db:list($db as item(), $path as xs:string) as xs:string*

Summary Returns a string sequence with the names of all databases.

If a database node $db is specified, all documents and raw files of the specified database are returned.

The list of resources can be further restricted by the $path argument.
```

**Examples** • db:list("docs") returns the names of all documents from the database named docs.

## db:list-details

```
Signatures db:list-details() as element(database)*
    db:list-details($db as item()) as element(resource)*
    db:list-details($db as item(), $path as xs:string) as element(resource)*
```

**Summary** Returns an element sequence with the names of all databases together with their database path, the number of stored resources and the date of modification

If a database node \$db is specified, all documents and raw files of the specified database together with their content-type, the modification date and the resource type are returned.

The list of resources can be further restricted by the \$path argument.

Examples • db:list-details("docs") returns the names plus additional data of all documents from the database named docs.

# db:open

Summary Returns a sequence with all document nodes contained in the database specified by the database node \$db.

The document nodes to be returned can be restricted by the \$path argument.

**Examples** • db:open("docs") returns all documents from the database named docs.

• db:open("docs", "one") returns all documents from the database named docs in the subpath one.

# db:open-id

```
Signatures db:open-id($db as item(), $id as xs:integer) as node()
```

Summary Opens the database specified by the database node \$db and returns the node with the specified \$id value.

Each database node has a persistent *id*, which remains valid after update operations. If no updates are performed, the *pre* value can be requested, which provides access to database nodes in constant time.

**Errors** BXDB0009: the specified id value does not exist in the database.

# db:open-pre

```
Signatures db:open-pre($db as item(), $pre as xs:integer) as node()
```

Summary Opens the database specified by the database node \$db and returns the node with the specified \$pre value.

The *pre* value provides access to a database node in constant time, but it is *transient*, i.e., it may change when database updates are performed.

**Errors BXDB0009**: the specified pre value does not exist in the database.

Examples • db:open-pre("docs", 0) returns the first database node from the database named docs.

# db:system

```
Signatures db:system() as element(system)
```

Summary Returns information on the database system, such as the database path and current database settings.

## db:backups

Introduced with Version 7.5:

**Summary** Returns an element sequence containing all available database backups.

If a database node \$db is specified, the sequence will be restricted to the backups matching this database.

Examples • db:backups ("factbook") returns all backups that have been made from the factbook database.

# **Read Operations**

### db:attribute

**Summary** Returns all attribute nodes of the database specified by the database node \$db that have \$string as string value. If available, the value index is used to speed up evaluation.

If \$attname is specified, the resulting attribute nodes are filtered by their attribute name.

Examples • db:attribute("DB", "QUERY", "id")/.. returns the parents of all id attribute nodes of the database DB that have QUERY as string value.

# db:attribute-range

**Summary** Returns all attributes of the database specified by the database node \$db, the string values of which are larger than or equal to \$min and smaller than or equal to \$max. If available, the value index is used to speed up evaluation.

Examples • db:attribute-range("DB", "id456", "id473", 'id') returns all@id attributes of the database DB that have a string value in between id456 and id473.

### db:fulltext

Signatures db:fulltext(\$db as item(), \$terms as xs:string) as text()\*

Summary Returns all text nodes from the full-text index of the database specified by the database node \$ab that contain the text specified as

terms.

The options used for building the full-text will also be applied to the search terms. As an example, if the index terms have been stemmed, the search string will be stemmed as well.

**Errors BXDB0004**: the full-text index is not available.

Examples • db:fulltext("DB", "QUERY") returns all text nodes of the database DB that contain the string QUERY.

### db:node-id

Signatures db:node-id(\$nodes as node()\*) as xs:integer\*

**Summary** Returns the *id* values of the nodes supplied by \$nodes, which must all be database nodes.

Each database node has a persistent *id*, which remains valid after update operations. If so far no updates have been performed, the *pre* value is equal to the *id* value and can be requested instead, which provides access to database nodes in constant time.

Errors BXDB0001: \$nodes contains a node which is not a database node.

# db:node-pre

Signatures db:node-pre(\$nodes as node()\*) as xs:integer\*

Summary Returns the pre values of the nodes supplied by \$nodes, which must all be database nodes.

The *pre* value provides access to a database node in constant time, but it is *transient*, i.e., it may change when database updates are performed.

Errors BXDB0001: \$nodes contains a node which is not a database node.

Examples • db:node-pre(doc("input")) returns 0 if the database input contains a single document.

### db:retrieve

Signatures db:retrieve(\$db as item(), \$path as xs:string) as xs:base64Binary

Summary Returns a binary database resource addressed by the database node \$db and \$path.

**Errors BXDB0003**: the databse is not *persistent* (stored on disk).

FODC0002: the addressed resource cannot be retrieved.

FODC0007: the specified path is invalid.

Examples • declare option output:method 'raw';

db:retrieve("DB", "music/01.mp3") returns the specified audio file as raw data.

### db:text

```
Signatures db:text($db as item(), $string as item()) as text()*
```

Summary Returns all text nodes of the database specified by the database node \$db that have \$string as their string value. If available, the value index is used to speed up evaluation.

Examples • db:text("DB", "QUERY")/.. returns the parents of all text nodes of the database DB that match the string QUERY.

## db:text-range

```
Signatures db:text-range($db as item(), $min as xs:string, $max as xs:string) as text()*
```

**Summary** Returns all text nodes of the database specified by the database node \$db that are located in between the \$min and \$max strings. If available, the value index is used to speed up evaluation.

Examples • db:text-range("DB", "2000", "2001") returns all text nodes of the database DB that are found in between 2000 and 2001.

# **Updates**

**Important note:** All functions in this section are *updating functions*: they will not be immediately executed, but queued on the Pending Update List, which will be processed after the actual query has been evaluated. This means that the order in which the functions are specified in the query does usually not reflect the order in which the code will be evaluated.

### db:create

Introduced with Version 7.5:

```
Signatures db:create($db as xs:string) as empty-sequence()

db:create($db as xs:string, $input as item()) as empty-sequence()

db:create($db as xs:string, $input as item(), $path as xs:string) as empty-sequence()
```

Summary Creates a new database with name \$db and adds the initial document \$input to the specified \$path.

\$input may be a string or a node different than attribute. If the \$input source is not a file or a folder, \$path must be specified. Please note that db:create will be placed last on the Pending Update List. As a consequence, a newly created database cannot be addressed in the same query.

Errors FODC0002: \$input points to an unknown resource.

FOUP0001: \$input is neither string nor a document node.

BXDB0007: \$db is opened by another process.

BXDB0011: \$db is not a valid database name.

 ${\tt BXDB0012: two\ db:create} \ \ statements\ with\ the\ same\ database\ name\ were\ specified.$ 

Examples • db:create("DB") creates the empty database DB.

- db:create("DB", "/home/dir/doc.xml") creates the database DB and adds the document /home/dir/doc.xml as initial content.
- db:create("DB", <a/>, "doc.xml") creates the database DB and adds the document with content <a/> under the name doc.xml.
- db:create("DB", "/home/dir/", "docs/dir") creates the database DB and adds the documents in /home/dir to the database under the path docs/dir.

# db:drop

Introduced with Version 7.5:

```
Signatures db:drop($db as item()) as empty-sequence()

Summary Drops the database specified by the database node $db and all connected resources.

Errors BXDB0007: $db is opened by another process.

Examples • db:drop("DB") drops the database DB.
```

### db:add

Updated in Version 7.5: Node inputs will be automatically converted to document nodes.

```
Signatures db:add($db as item(), $input as item()) as empty-sequence()
db:add($db as item(), $input as item(), $path as xs:string) as empty-sequence()

Summary Adds documents specified by $input to the database specified by the database node $db and the specified $path.
$input may be a string or a node different than attribute. If the $input source is not a file or a folder, $path must be specified.

Errors FODC0002: $input points to an unknown resource.
FOUP0001: $input is neither string nor a document node.

Examples • db:add("DB", "/home/dir/doc.xml") adds the file /home/dir/doc.xml to the database DB.
• db:add("DB", <a/> "doc.xml") adds a document node to the database DB under the name doc.xml.
• db:add("DB", "/home/dir", "docs/dir") adds all documents in /home/dir to the database DB under the path docs/dir.
```

# db:delete

```
Signatures db:delete($db as item(), $path as xs:string) as empty-sequence()

Summary Deletes document(s), specified by $path, from the database specified by the database node $db.

Examples • db:delete("DB", "docs/dir/doc.xml") deletes the document docs/dir/doc.xml in the database DB.

• db:delete("DB", "docs/dir") deletes all documents with paths beginning with docs/dir in the database DB.
```

# db:optimize

```
Signatures db:optimize($db as item()) as empty-sequence()
db:optimize($db as item(), $all as xs:boolean) as empty-sequence()

Summary Optimizes the meta data and indexes of the database specified by the database node $db.
If $all is set to true(), the complete database will be rebuilt.

Errors FOUP0002: an error occurred while optimizing the database.

Examples • db:optimize("DB") optimizes the database structures of the database DB.
• db:optimize("DB", true()) optimizes all database structures of the database DB.
```

## db:rename

```
Signatures db:rename ($db as item(), $path as xs:string, $newpath as xs:string) as empty-sequence()
```

Summary Renames document(s), specified by \$path to \$newpath in the database specified by the database node \$db.

**Errors** BXDB0008: new document names would be empty.

- Examples db:rename("DB", "docs/dir/doc.xml", "docs/dir/newdoc.xml") renames the document docs/dir/doc.xml to docs/dir/newdoc.xml in the database DB.
  - db:rename("DB", "docs/dir", "docs/newdir") renames all documents with paths beginning with docs/dir to paths beginning with docs/newdir in the database DB.

# db:replace

Updated in Version 7.5: Node inputs will be automatically converted to document nodes.

```
Signatures db:replace($db as item(), $path as xs:string, $input as item()) as empty-sequence()
```

Replaces a document, specified by \$path, in the database specified by the database node \$db with the content of \$input, or adds it as a new document.

**Errors** BXDB0006: \$path is not a single document path.

FODC0002: \$input is a string representing a path, which cannot be read.

FOUP0001: \$input is neither a string nor a document node.

- Examples db:replace("DB", "docs/dir/doc.xml", "/home/dir/doc.xml") replaces the content of the document docs/dir/doc.xml in the database DB with the content of the file /home/dir/doc.xml.
  - db:replace("DB", "docs/dir/doc.xml", "<a/>") replaces the content of the document docs/dir/doc.xml in the database DB with <a/>.
  - db:replace("DB", "docs/dir/doc.xml", document { <a/> }) replaces the content of the document docs/dir/doc.xml in the database DB with the specified document node.

### db:store

Signatures db:store(\$db as item(), \$path as xs:string, \$input as item()) as empty-sequence()

Summary Stores a binary resource specified by \$input in the database specified by the database node \$db and the location specified by \$path.

Errors BXDB0003: the databse is not persistent (stored on disk).

FODC0007: the specified path is invalid.

FOUP0002: the resource cannot be stored at the specified location.

Examples • db:store("DB", "video/sample.mov", file:read-binary('video.mov')) stores the addressed video file at the specified location.

# db:output

```
Signatures db:output ($result as item()*) as empty-sequence()
```

Summary

This function can be used to both perform updates and return results in a single query. The argument of the function will be evaluated, and the resulting items will be cached and returned after the updates on the pending update list have been processed. As nodes may be updated, they will be copied before being cached.

The function can only be used together with updating expressions; if the function is called within a transform expression, its results will be discarded.

Examples • db:output("Prices have been deleted."), delete node //price deletes all price elements in a database and returns an info message.

### db:flush

```
Signatures db:flush($db as item()) as empty-sequence()
```

Summary Explicitly flushes the buffers of the database specified by the database node \$db. This command is only useful if AUTOFLUSH has been set to false.

# **Helper Functions**

### db:exists

```
Signatures db:exists($db as item()) as xs:boolean
         db:exists($db as item(), $path as xs:string) as xs:boolean
```

Summary Checks if the database specified by the database node \$ab or the resource specified by \$path exists. false is returned if a database directory has been addressed.

Examples • db:exists("DB") returns true if the database DB exists.

• db:exists("DB", "resource") returns true if resource is an XML document or a raw file.

### db:is-raw

```
Signatures db:is-raw(\$db as item(), \$path as xs:string) as xs:boolean
```

Summary Checks if the specified resource in the database specified by the database node \$db and the path \$path exists, and if it is a raw file.

```
Examples • db:is-raw("DB", "music/01.mp3") returns true.
```

### db:is-xml

```
Signatures db:is-xml($db as item(), $path as xs:string) as xs:boolean
```

Summary Checks if the specified resource in the database specified by the database node \$db and the path \$path exists, and if it is an XML document.

Examples • db:is-xml("DB", "dir/doc.xml") returns true.

# db:content-type

Signatures db:content-type(\$db as item(), \$path as xs:string) as xs:string

Summary Retrieves the content type of a resource in the database specified by the database node \$db and the path \$path.

The file extension is used to recognize the content-type of a resource stored in the database. Content-type application/xml will be returned for any XML document stored in the database, regardless of its file name extension.

**Errors FODC0002**: the addressed resource is not found or cannot be retrieved.

Examples • db:content-type("DB", "docs/doc01.pdf") returns application/pdf.

• db:content-type("DB", "docs/doc01.xml") returns application/xml.

db:content-type("DB", "docs/doc01") returns application/xml, if db:is-xml("DB", "docs/doc01") returns true.

### db:event

Signatures db:event(\$name as xs:string, \$query as item()) as empty-sequence()

Summary Executes a \$query and sends the resulting value to all clients watching the Event with the specified \$name. The query may also

perform updates; no event will be sent to the client that fired the event.

**Errors BXDB0010**: the specified event is unknown.

SEPM0016: serialization errors occurred while sending the value.

### **Errors**

Code	Description
BXDB0001	A referenced XML node is no database node, i.e. is neither stored in a database nor a database fragment.
BXDB0002	An I/O error occurred while opening a database.
BXDB0003	The addressed database is not <i>persistent</i> (stored on disk).
BXDB0004	The database lacks an index structure required by the called function.
BXDB0005	A query is expected to exclusively return database nodes of a single database.
BXDB0006	A database path addressed with doc() contains more than one document.
BXDB0007	A database cannot be updated because it is opened by another process.
BXDB0008	Database paths cannot be renamed to empty strings.
BXDB0009	The addressed database id or pre value is out of range.
BXDB0010	The specified event is unknown.
BXDB0011	The name of the specified database is invalid.
BXDB0012	A database can only be created once.

# Changelog

Version 7.5

• Updated: db:add: input nodes will be automatically converted to document nodes

• Added: db:backups

· Added: db:create

· Added: db:drop

Version 7.3

· Added: db:flush

Version 7.2.1

• Added: db:text-range, db:attribute-range, db:output

Version 7.1

- Added: db:list-details, db:content-type
- Updated: db:info, db:system, db:retrieve

Version 7.0

- Added: db:retrieve, db:store, db:exists, db:is-raw, db:is-xml
- Updated: db:list, db:open, db:add

# File Module

This XQuery Module contains functions and variables related to file system operations, such as listing, reading, or writing files. This module is based on the EXPath File Module <sup>[2]</sup>.

# **Conventions**

All functions in this module are assigned to the http://expath.org/ns/file namespace, which is statically bound to the file prefix.

All errors are assigned to the http://expath.org/ns/error namespace, which is statically bound to the exerr prefix.

# **Read Operations**

## file:list

```
Signatures file:list($dir as xs:string) as xs:string*
    file:list($dir as xs:string, $recursive as xs:boolean) as xs:string*
    file:list($dir as xs:string, $recursive as xs:boolean, $pattern as xs:string) as xs:string*
```

Summary

Lists all files and directories found in the specified \$dir. The returned paths are relative to the provided path.

The optional parameter \$recursive specifies whether sub-directories will be traversed, too.

The optional parameter \$pattern defines a file name pattern in the glob syntax [1]. If present, only those files and directories are returned that correspond to the pattern. Several patterns can be separated with a comma (,).

Errors

**FILE0003**: the specified path does not point to a directory.

FILE9999: the operation fails for some other reason.

# file:read-binary

Signatures file:read-binary (\$path as xs:string) as xs:base64Binary

Summary Reads the binary content of the file specified by \$path and returns it as xs:base64Binary.

Errors FILE0001: the specified file does not exist.

FILE9004: the specified path is a directory.
FILE9999: the operation fails for some other reason.

### file:read-text

Signatures file:read-text(\$path as xs:string) as xs:string
file:read-text(\$path as xs:string, \$encoding as xs:string) as xs:string

Summary Reads the textual contents of the file specified by \$path and returns it as xs:string.

The optional parameter \$encoding defines the encoding of the file.

Errors FILE0001: the specified file does not exist.

FILE0004: the specified path is a directory.

FILE0005: the specified encoding is not supported, or unknown.

FILE9999: the operation fails for some other reason.

### file:read-text-lines

Signatures file:read-text-lines(\$path as xs:string) as xs:string
file:read-text-lines(\$path as xs:string, \$encoding as xs:string) as xs:string\*

Summary Reads the textual contents of the file specified by \$path and returns it as a sequence of xs:string items.

The optional parameter \$encoding defines the encoding of the file.

**Errors** FILE0001: the specified file does not exist.

**FILE0004**: the specified path is a directory.

FILE0005: the specified encoding is not supported, or unknown.

# **Write Operations**

### file:create-dir

Signatures file:create-dir(\$dir as xs:string) as empty-sequence()

Summary Recursively creates the directories specified by \$dir.

Errors FILE0002: a file with the same path already exists.

FILE9999: the operation fails for some other reason.

## file:delete

```
Signatures file:delete($path as xs:string) as empty-sequence()
file:delete($path as xs:string, $recursive as xs:boolean) as empty-sequence()

Summary Recursively deletes a file or directory specified by $path.
The optional parameter $recursive specifies whether sub-directories will be deleted, too.

Errors FILE0001: the specified path does not exist.
FILE9999: the operation fails for some other reason.
```

### file:write

```
Signatures

file:write($path as xs:string, $items as item()*) as empty-sequence()

file:write($path as xs:string, $items as item()*, $params as item()) as empty-sequence()

Summary

Writes a serialized sequence of items to the specified file. If the file already exists, it will be overwritten.

The $params argument contains serialization parameters (see Serialization for more details), which can either be specified

• as children of an <output:serialization-parameters/> element, as defined for the fn:serialize()

[2] function; e.g.:

<output:serialization-parameters>

<output:cdata-section-elements value="div"/>
...

</serialization-parameters>

• as map, which contains all key/value pairs:

map { "method" := "xml", "cdata-section-elements" := "div", ... }
```

# file:write-binary

**Errors** 

**FILE0003**: the parent of specified path is no directory.

**FILE9004**: the specified path is a directory. **FILE9999**: the operation fails for some other reason.

Signatures file:write-binary (\$path as xs:string, \$value as basex:binary) as empty-sequence()

Summary Writes a binary item (xs:base64Binary, xs:hexBinary) to the specified file. If the file already exists, it will be overwritten.

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

FILE9999: the operation fails for some other reason.

### file:write-text

Signatures file:write-text (\$path as xs:string, \$value as xs:string) as empty-sequence()

file:write-text(\$path as xs:string, \$value as xs:string, \$encoding as xs:string) as

empty-sequence()

Summary Writes a string to the specified file. If the file already exists, it will be overwritten.

The optional parameter \$encoding defines the output encoding (default: UTF-8).

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

FILE0005: the specified encoding is not supported, or unknown.

FILE9999: the operation fails for some other reason.

## file:write-text-lines

Signatures file:write-text-lines(\$path as xs:string, \$values as xs:string\*) as empty-sequence()

file:write-text-lines(\$path as xs:string, \$values as xs:string\*, \$encoding as xs:string)

as empty-sequence()

Summary Writes a sequence of strings to the specified file, each followed by the system specific newline character. If the file already exists, it

will be overwritten.

The optional parameter \$encoding defines the output encoding (default: UTF-8).

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

**FILE0005**: the specified encoding is not supported, or unknown.

FILE9999: the operation fails for some other reason.

# file:append

Signatures file:append(\$path as xs:string, \$items as item()\*) as empty-sequence()

 $\textbf{file:append} (\$ path \ as \ xs:string, \ \$ items \ as \ item()*, \ \$ params \ as \ item()) \ as \ empty-sequence()$ 

Summary Appends a serialized sequence of items to the specified file. If the file does not exists, a new file is created.

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

# file:append-binary

Signatures file:append-binary(\$path as xs:string, \$value as basex:binary) as empty-sequence()

Summary Appends a binary item (xs:base64Binary, xs:hexBinary) to the specified file. If the file does not exists, a new one is created.

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

# file:append-text

Signatures file:append-text(\$path as xs:string, \$value as xs:string) as empty-sequence()

file:append-text(\$path as xs:string, \$value as xs:string, \$encoding as xs:string) as

empty-sequence()

Summary Appends a string to a file specified by \$path. If the specified file does not exists, a new file is created.

The optional parameter \$encoding defines the output encoding (default: UTF-8).

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

**FILE0005**: the specified encoding is not supported, or unknown.

FILE9999: the operation fails for some other reason.

# file:append-text-lines

Signatures file:append-text-lines(\$path as xs:string, \$values as xs:string\*) as empty-sequence()

 $\textbf{file:append-text-lines} \ (\$ path \ as \ xs:string, \ \$ values \ as \ xs:string*, \ \$ encoding \ as \ xs:string)$ 

as empty-sequence()

Summary Appends a sequence of strings to the specified file, each followed by the system specific newline character. If the specified file does

not exists, a new file is created.

The optional parameter \$encoding defines the output encoding (default: UTF-8).

**Errors FILE0003**: the parent of specified path is no directory.

FILE0004: the specified path is a directory.

FILE9999: the operation fails for some other reason.

# file:copy

Signatures file:copy(\$source as xs:string, \$target as xs:string) as empty-sequence()

Summary Copies a file specified by \$source to the file or directory specified by \$target. If the target file already exists, it will be

overwritten. No operation will be performed if the source and target path are equal.

**Errors FILE0001**: the specified source does not exist.

FILE0002: the specified source is a directory and the target is a file.

FILE9999: the operation fails for some other reason.

### file:move

Signatures file:move(\$source as xs:string, \$target as xs:string) as empty-sequence()

Summary Moves or renames the file or directory specified by \$source to the path specified by \$target. If the target file already exists, it

will be overwritten. No operation will be performed if the source and target path are equal.

Errors FILE0001: the specified source does not exist.

FILE0002: the specified source is a directory and the target is a file.

FILE0003: the parent of the specified target is no directory.

**FILE9999**: the operation fails for some other reason.

# **File Properties**

### file:exists

Signatures file:exists(\$path as xs:string) as xs:boolean

Summary Returns an xs:boolean indicating whether a file or directory specified by \$path exists in the file system.

## file:is-dir

Signatures file:is-dir(\$path as xs:string) as xs:boolean

Summary Returns an xs:boolean indicating whether the argument \$path points to an existing directory.

# file:is-file

Signatures file:is-file(\$path as xs:string) as xs:boolean

Summary Returns an xs:boolean indicating whether the argument \$path points to an existing file.

### file:last-modified

Signatures file:last-modified(\$path as xs:string) as xs:dateTime

 $\textbf{Summary} \quad \text{Retrieves the timestamp of the last modification of the file or directory specified by $path.}$ 

**Errors FILE0001**: the specified path does not exist.

### file:size

Signatures file:size(\$file as xs:string) as xs:integer

Summary Returns the size, in bytes, of the file specified by \$path.

**Errors FILE0001**: the specified file does not exist.

**FILE0004**: the specified file points to a directory.

# **Path Functions**

### file:base-name

Signatures file:base-name(\$path as xs:string) as xs:string

file:base-name(\$path as xs:string, \$suffix as xs:string) as xs:string

Summary Returns the base-name of the path specified by \$path, which is the component after the last directory

eparator.

If \$suffix is specified, it will be trimmed from the end of the result.

## file:dir-name

Signatures file:dir-name (\$path as xs:string) as xs:string

Summary Returns the parent directory of the path specified by Spath, which is the component before the last directory separator.

# file:path-to-native

Signatures file:path-to-native(\$path as xs:string) as xs:string

 $\textbf{Summary} \quad \text{Transforms the $path argument to its native representation on the operating system.}$ 

**Errors FILE9999**: the specified path cannot be transformed to its native representation.

# file:resolve-path

 $\textbf{Signatures file:resolve-path} \, (\texttt{\$path as xs:string}) \, \, \texttt{as xs:string}$ 

**Summary** Transforms the \$path argument to an absolute operating system path.

# file:path-to-uri

Signatures file:path-to-uri(\$path as xs:string) as xs:string

Summary Transforms the path specified by \$path into a URI with the file:// scheme.

# **System Properties**

# file:dir-separator

```
Signatures file:dir-separator() as xs:string
Summary Returns the directory separator used by the operating system, such as / or \.
```

# file:path-separator

```
Signatures file:path-separator() as xs:string
Summary Returns the path separator used by the operating system, such as; or:.
```

# file:line-separator

```
Signatures file:line-separator() as xs:string

Summary Returns the line separator used by the operating system, such as \&\#10; \&\#13; \&\#10; or \&\#13;.
```

## **Errors**

Code	Description
FILE0001	A specified path does not exist.
FILE0002	A file with the same path already exists.
FILE0003	The specified path does not point to a directory.
FILE0004	The specified path is a directory.
FILE0005	The specified encoding is not supported, or unknown.
FILE9999	The operation fails for some other reason specific to the operating system.

# Changelog

## Version 7.3

- Added: file:append-text, file:write-text, file:append-text-lines, file:write-text-lines, file:line-separator
- Aligned with latest specification: \$file:directory-separator → file:dir-separator, \$file:path-separator
   → file:path-separator, file:is-directory → file:is-dir, file:create-directory → file:create-directory
- Updated: file:write-binary, file:append-binary: output limited to a single value

### Version 7.2.1

- Updated: file:delete: \$recursive parameter added to prevent sub-directories from being accidentally deleted.
- Fixed: file:list now returns relative instead of absolute paths.

### References

- [1] http://en.wikipedia.org/wiki/Glob\_(programming)
- [2] http://www.w3.org/TR/xpath-functions-30/#func-serialize

# **Full-Text Module**

This XQuery Module extends the W3C Full Text Recommendation <sup>[1]</sup> with some useful functions: The index can be directly accessed, full-text results can be marked with additional elements, or the relevant parts can be extracted. Moreover, the score value, which is generated by the contains text expression, can be explicitly requested from items.

## **Conventions**

All functions in this module are assigned to the http://basex.org/modules/ft namespace, which is statically bound to the ft prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

# **Functions**

## ft:search

```
Signatures ft:search($db as item(), $terms as item()*) as text()*
    ft:search($db as item(), $terms as item()*, $options as item()) as text()*
```

Summary

Returns all text nodes from the full-text index of the database node \$db that contain the specified \$terms.

The options used for building the full-text will also be applied to the search terms. As an example, if the index terms have been stemmed, the search string will be stemmed as well. The <code>Soptions</code> argument can be used to overwrite the default full-text options, which can be either specified

• as children of an <options/> element, e.g.:

```
<options>
  <key1 value='value1'/>
    ...
</options>
```

• as map, which contains all key/value pairs:

```
map { "key1" := "value1", ... }
```

The following keys are supported:

- mode: determines the search mode (also called AnyAllOption <sup>[2]</sup>). Allowed values are any, any word, all, all words, and phrase, any is the default search mode.
- fuzzy: turns fuzzy querying on or off. Allowed values are an empty string or true, or false. By default, fuzzy querying is turned off.
- wildcards: turns wildcard querying on or off. Allowed values are an empty string or true, or false. By default, wildcard
  querying is turned off.

Errors

BXDB0004: the full-text index is not available.

BXFT0001: both fuzzy and wildcard querying was selected.

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- Examples ft:search("DB", "QUERY") returns all text nodes of the database DB that contain the term QUERY.
  - ft:search("DB", ("2010","2011"), map { 'mode':='all' }) returns all text nodes of the database DB that contain the numbers 2010 and 2011.

• The last example iterates over five databases and returns all elements containing terms similar to Hello World in the text nodes:

```
let $terms := "Hello Worlds"
let $fuzzy := true()
let $options :=
  <options>
    <fuzzy>{ $fuzzy }</fuzzy>
  </options>
for $db in 1 to 3
let $dbname := 'DB' || $db
return ft:search($dbname, $terms, $options)/..
```

### ft:mark

```
Signatures ft:mark($nodes as node()*) as node()*
         ft:mark($nodes as node()*, $tag as xs:string) as node()*
```

Summary Puts a marker element around the resulting \$nodes of a full-text index request.

> The default tag name of the marker element is mark. An alternative tag name can be chosen via the optional \$tag argument. Please note that:

- the XML node to be transformed must be an internal "database" node. The transform expression can be used to apply the method to a main-memory fragment, as shown in Example 2.
- the full-text expression, which computes the token positions, must be specified within ft:mark() function, as all position information is lost in subsequent processing steps. You may need to specify more than one full-text expression if you want to use the function in a FLWOR expression, as shown in Example 3.

Examples Example 1: The following query returns <XML><mark>hello</mark> world</XML>, if one text node of the database DB has the value "hello world":

```
ft:mark(db:open('DB')//*[text() contains text 'hello'])
```

**Example 2**: The following expression returns <b>word</b>:

```
copy $p := word
modify ()
return ft:mark($p[text() contains text 'word'], 'b')
```

Example 3: The following expression loops through the first ten full-text results and marks the results in a second expression:

```
let $start := 1
let $end := 10
let $term := 'welcome'
for $ft in (db:open('DB')//*[text() contains text { $term }])[position() = $start to $end]
return element hit {
  ft:mark($ft[text() contains text { $term }])
```

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## ft:extract

```
Signatures ft:extract($nodes as node()*) as node()*
        ft:extract($nodes as node()*, $tag as xs:string) as node()*
        ft:extract($nodes as node()*, $tag as xs:string, $length as xs:integer) as node()*
```

Summary Extracts and returns relevant parts of full-text results. It puts a marker element around the resulting \$nodes of a full-text index request and chops irrelevant sections of the result.

The default tag name of the marker element is mark. An alternative tag name can be chosen via the optional \$tag argument. The default length of the returned text is 150 characters. An alternative length can be specified via the optional \$length argument. Note that the effective text length may differ from the specified text due to formatting and readibility issues.

For more details on this function, please have a look at ft:mark.

Examples • The following query may return <XML>...<br/>b>hello</b>...<XML> if a text node of the database DB contains the string "hello world":

```
ft:extract(db:open('DB')//*[text() contains text 'hello'], 'b', 1)
```

## ft:count

Signatures ft:count(\$nodes as node()\*) as xs:integer

Summary Returns the number of occurrences of the search terms specified in a full-text expression.

Examples • ft:count(//\*[text() contains text 'QUERY']) returns the xs:integer value 2 if a document contains two occurrences of the string "QUERY".

### ft:score

```
Signatures ft:score($item as item()*) as xs:double*
```

Summary Returns the score values (0.0 - 1.0) that have been attached to the specified items. 0 is returned a value if no score was attached.

**Examples** • ft:score('a' contains text 'a') returns the xs:double value 1.

### ft:tokens

```
Signatures ft:tokens($db as item()) as element(value)*
         ft:tokens($db as item(), $prefix as xs:string) as element(value)*
```

Summary Returns all full-text tokens stored in the index of the database node \$db, along with their numbers of occurrences.

If Sprefix is specified, the returned nodes will be refined to the strings starting with that prefix. The prefix will be tokenized according to the full-text used for creating the index.

**Errors** BXDB0004: the full-text index is not available.

## ft:tokenize

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```
Signatures ft:tokenize($input as xs:string) as xs:string*
```

Summary Tokenizes the given \$input string, using the current default full-text options.

Examples • ft:tokenize("No Doubt") returns the two strings no and doubt.

 $\bullet \quad \text{declare ft-option using stemming; ft:} \\ \text{tokenize("GIFTS")} \quad \text{returns a single string gift.}$ 

## **Errors**

Code	Description
BXFT0001	Both wildcards and fuzzy search have been specified as search options.

# Changelog

Version 7.2

• Updated: ft:search (second argument generalized, third parameter added)

Version 7.1

• Added: ft:tokens, ft:tokenize

### References

[1] http://www.w3.org/TR/xpath-full-text-10

[2] http://www.w3.org/TR/xpath-full-text-10/#ftwords

# **Hashing Module**

# **Functions**

## hash:md5

```
Signatures hash:md5 ($value as xs:anyAtomicType) as xs:base64Binary

Summary Computes the MD5 hash of the given $value, which may be of type xs:string or xs:base64Binary.

Errors FORG0006: the specified value is neither a string nor a binary item.

Examples • xs:hexBinary(hash:md5("BaseX")) returns 0D65185C9E296311C0A2200179E479A2.
```

• hash:md5(xs:base64Binary("")) returns 1B2M2Y8AsgTpgAmY7PhCfg==.

## hash:sha1

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Signatures hash: shal(\$value as xs:anyAtomicType) as xs:base64Binary

Summary Computes the SHA-1 hash of the given \$value, which may be of type xs:string or xs:base64Binary.

**Errors FORG0006**: the specified value is neither a string nor a binary item.

 $\textbf{Examples} \quad \bullet \quad \texttt{xs:hexBinary(hash:shal("BaseX"))} \quad \textbf{returns} \ \ \texttt{3AD5958F0F27D5AFFDCA2957560F121D0597A4ED}.$ 

• hash:shal(xs:base64Binary("")) returns 2jmj715rSw0yVb/vlWAYkK/YBwk=.

### hash:sha256

Signatures hash:sha256(\$value as xs:anyAtomicType) as xs:base64Binary

Summary Computes the SHA-256 hash of the given \$value, which may be of type xs:string or xs:base64Binary.

**Errors FORG0006**: the specified value is neither a string nor a binary item.

• hash:sha256(xs:base64Binary("")) returns 47DEQpj8HBSa+/TImW+5JCeuQeRkm5NMpJWZG3hSuFU=.

### hash:hash

Signatures hash:hash(\$value as xs:anyAtomicType, \$algorithm as xs:string) as xs:base64Binary

Summary Computes the hash of the given \$value, using the specified \$algorithm. The specified values may be of type xs:string or xs:base64Binary.

The following three algorihms are supported: MD5, SHA-1, and SHA-256.

**Errors HASH0001**: the specified hashing algorithm is unknown.

FORG0006: the specified value is neither a string nor a binary item.

Examples • xs:hexBinary(hash:md5("", "MD5")) returns D41D8CD98F00B204E9800998ECF8427E.

• hash:md5("", "") raises an error.

# **Higher-Order Functions Module**

This XQuery Module adds some useful higher-order functions, additional to the Higher-Order Functions provided by the official specification.

# **Conventions**

All functions in this module are assigned to the http://basex.org/modules/hof namespace, which is statically bound to the hof prefix.

# **Functions**

## hof:id

```
Signatures hof:id($expr as item()*) as item()*

Summary Returns its argument unchanged. This function isn't useful on its own, but can be used as argument to other higher-order functions.

Examples 5 4 3 2 1
```

## hof:const

```
Signatures hof:const($expr as item()*, $ignored as item()*) as item()*
```

**Summary** Returns its first argument unchanged and ignores the second. This function isn't useful on its own, but can be used as argument to other higher-order functions, e.g. when a function combining two values is expected and one only wants to retain the left one.

- Examples hof:const(42, 1337) returns 42.
  - With higher-order functions:

```
let $zip-sum := function($f, $seq1, $seq2) {
    sum(map-pairs($f, $seq1, $seq2))
let sum-all := sip-sum(function(a, b) { a + b}, ?, ?),
    $sum-left := $zip-sum(hof:const#2, ?, ?)
  $sum-all((1, 1, 1, 1, 1), 1 to 5),
  $sum-left((1, 1, 1, 1, 1), 1 to 5)
```

Another use-case: When inserting a key into a map, \$f decides how to combine the new value with a possibly existing old one. hof: const here means ignoring the old value, so that's normal insertion.

```
let $insert-with := function($f, $map, $k, $v) {
    let $old := $map($k),
       new := if(sold) then $f(sv, sold) else $v
    return map:new(($map, map{ $k := $new }))
let $map := map{ 'foo' := 1 }
let add := \sinh(\sinh(\sinh(a, b) \{a + b\}, ?, ?, ?)
    $insert := $insert-with(hof:const#2, ?, ?, ?)
return (
  $add($map, 'foo', 2)('foo'),
  $insert($map, 'foo', 42)('foo')
```

returns 3 42

### hof:fold-left1

```
Signatures hof:fold-left1(f as function(item()*, item()) as item()*, f as item()*) as item()*
```

Summary Works the same as fn:fold-left(\$f, \$seed, \$seq), but doesn't need a seed, because the sequence must be non-empty.

- Examples  $hof:fold-left1(function(\$a, \$b) { \$a + \$b }, 1 to 10) returns 55.$ 
  - hof:fold-left1(function(\$a, \$b) { \$a + \$b }, ()) throws XPTY0004, because \$seq has to be non-empty.

### hof:until

```
Signatures hof:until($pred as function(item()*) as xs:boolean, $f as function(item()*) as item()*,
         $start as item()*) as item()*
```

Summary Applies the function \$f\$ to the initial value \$start until the predicate \$pred applied to the result returns true().

- Examples  $hof:until(function(\$x) \{ \$x ge 1000 \}, function(\$y) \{ 2 * \$y \}, 1) returns 1024.$ 
  - Calculating the square-root of a number by iteratively improving an initial guess:

```
let $sqrt := function($x as xs:double) as xs:double {
  hof:until(
    function(\$res) { abs(\$res * \$res - \$x) < 0.00001 },
    function($guess) { ($guess + $x div $guess) div 2 },
return $sqrt(25)
```

returns 5.00000000053722.

# hof:top-k-by

```
Signatures hof:top-k-by($seq as item()*, $sort-key as function(item()) as item(), $k as xs:integer))
         as item() *
```

Summary Returns the \$k items in \$seq that are greatest when sorted by the result of \$f applied to the item. The function is a much more efficient implementation of the following scheme:

```
for $x in $seq
 order by $sort-key($x) descending
 return $x
) [position() \leq $k]
```

- Examples hof:top-k-by(1 to 1000, hof:id#1, 5) returns 1000 999 998 997 996
  - hof:top-k-by(1 to 1000, function(\$x) { -\$x }, 3) returns 1 2 3
  - hof:top-k-by(<x a='1' b='2' c='3'/>/@\*, xs:integer#1, 2)/node-name() returns c b

# hof:top-k-with

```
Signatures hof:top-k-with($seq as item()*, $lt as function(item(), item()) as xs:boolean, $k as
         xs:integer)) as item()*
```

Summary Returns the \$k items in \$seq that are greatest when sorted in the order of the less-than predicate \$1t. The function is a general version of hof:top-k-by(\$seq, \$sort-key, \$k).

```
Examples • hof:top-k-with(1 to 1000, function($a, $b) { $a lt $b }, 5) returns 1000 999 998 997 996
         • hof:top-k-with(-5 to 5, function(\$a, \$b) { abs(\$a) gt abs(\$b) }, 5) returns 0 1 -1 2 -2
```

# Changelog

Version 7.2

- Added: hof:top-k-by, hof:top-k-with
- · Removed: hof:iterate

Version 7.0

· module added

# **HTTP Module**

This XQuery Module contains a single function to send HTTP requests and handle HTTP responses. The function send-request is based on the EXPath HTTP Client Module [3]:

# **Conventions**

All functions in this module are assigned to the http://expath.org/ns/http-client namespace, which is statically bound to the http prefix.

All errors are assigned to the http://expath.org/ns/error namespace, which is statically bound to the exerr prefix.

# **Functions**

# http:send-request

```
Signatures http:send-request ($request as element (http:request)?, $href as xs:string?, $bodies as item()*) as item()+ http:send-request ($request as element (http:request)) as item()+ http:send-request ($request as element (http:request)?, $href as xs:string?) as item()+

Summary Sends an HTTP request and interprets the corresponding response. $request contains the parameters of the HTTP request such as HTTP method and headers. In addition to this it can also contain the URI to which the request will be sent and the body of the HTTP method. If the URI is not given with the parameter $href, its value in $request is used instead. The structure of http:request element follows the EXPath [3] specification.

Errors Listed below.

Notes The attribute auth-method of $request is not considered in our implementation because we are handling only basic authentication.
```

# **Examples**

### **Status Only**

Simple GET request. As the attribute status-only is set to true, only the response element is returned.

#### Query:

```
http:send-request(<http:request method='get' status-only='true'/>, 'http://basex.org')
```

```
<http:response status="200" message="OK">
    <http:header name="Date" value="Mon, 14 Mar 2011 20:55:53 GMT"/>
    <http:header name="Content-Length" value="12671"/>
    <http:header name="Expires" value="Mon, 14 Mar 2011 20:57:23 GMT"/>
    <http:header name="Set-Cookie" value="fe_typo_user=d10c9552f9a784d1a73f8b6ebdf5ce63; path=/"/>
    <http:header name="Connection" value="close"/>
    <http:header name="Content-Type" value="text/html; charset=utf-8"/>
    <http:header name="Server" value="Apache/2.2.16"/>
    <http:header name="Server" value="PHP/5.3.5"/>
```

```
<http:header name="Cache-Control" value="max-age=90"/>
  <http:body media-type="text/html; charset=utf-8"/>
  </http:response>
```

## **Google Homepage**

Retrieve Google search home page. TagSoup [2] must be contained in the class path in order to parse html.

### Query:

```
http:send-request(<http:request method='get' href='http://www.google.com'/>)
```

### **Result:**

```
<http:response status="200" message="OK">
 <http:header name="Date" value="Mon, 14 Mar 2011 22:03:25 GMT"/>
 <http://eader name="Transfer-Encoding" value="chunked"/>
 <http://eader name="Expires" value="-1"/>
 <http:header name="X-XSS-Protection" value="1; mode=block"/>
 <http:header name="Set-Cookie" value="...; expires=Tue, 13-Sep-2011 22:03:25 GMT; path=/; domain=.google.ch; HttpOnly"/>
 <http:header name="Content-Type" value="text/html; charset=ISO-8859-1"/>
 <http://eader name="Server" value="gws"/>
 <http:header name="Cache-Control" value="private, max-age=0"/>
 <http:body media-type="text/html; charset=ISO-8859-1"/>
</http:response>
<html xmlns="http://www.w3.org/1999/xhtml">
 <head>
   <meta http-equiv="content-type" content="text/html; charset=ISO-8859-1"/>
   <title>Google</title>
   <script>window.google={kEI:"rZB-
   </script>
   </center>
 </body>
</html>
```

### **SVG Data**

Content-type ending with +xml, e.g. image/svg+xml.

### Query:

## **POST Request**

POST request to the BaseX REST Service, specifying a username and password.

## Query:

# **Errors**

Code	Description
HC0001	An HTTP error occurred.
HC0002	Error parsing the entity content as XML or HTML.
HC0003	With a multipart response, the override-media-type must be either a multipart media type or application/octet-stream.
HC0004	The src attribute on the body element is mutually exclusive with all other attribute (except the media-type).
HC0005	The request element is not valid.
HC0006	A timeout occurred waiting for the response.

# **Index Module**

This XQuery Module provides functions for displaying information stored in the database index structures.

# **Conventions**

All functions in this module are assigned to the http://basex.org/modules/index namespace, which is statically bound to the index prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

# **Functions**

## index:facets

```
Signatures index:facets($db as item()) as xs:string
           index:facets($db as item(), $type as xs:string) as xs:string
Summary Returns information about possible facets and facet values of the database node $db in document structure format.
           If $type is specified as flat, the function returns this information in a flat summarized version.
```

- Examples index:facets("DB") returns information about facets and facet values on the database DB in document structure.
  - index:facets("DB", "flat") returns information about facets and facet values on the database DB in a summarized flat structure.

### index:texts

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```
Signatures index:texts($db as item()) as element(value)*
    index:texts($db as item(), $prefix as xs:string) as element(value)*
    index:texts($db as item(), $start as xs:string, $ascending as xs:boolean) as element(value)*

Summary Returns all strings stored in the text index of the database node $db, along with their number of occurrences.
    If $prefix is specified, the returned entries will be refined to the ones starting with that prefix.
    If $start and $ascending are specified, all nodes will be returned after or before the specified start entry.

Errors BXDB0004: the text index is not available.
```

### index:attributes

```
Signatures index:attributes($db as item()) as element(value)*
    index:attributes($db as item(), $prefix as xs:string) as element(value)*
    index:attributes($db as item(), $start as xs:string, $ascending as xs:boolean) as element(value)*

Summary Returns all strings stored in the attribute index of the database node $db, along with their number of occurrences. If $prefix is specified, the returned entries will be refined to the ones starting with that prefix. If $start and $ascending are specified, all nodes will be returned after or before the specified start entry.

Errors BXDB0004: the attribute index is not available.
```

### index:element-names

```
Signatures index:element-names($db as item()) as element(value)*

Summary Returns all element names stored in the index of the database node $db, along with their number of occurrences.
```

## index:attribute-names

```
Signatures index:attribute-names($db as item()) as element(value)*

Summary Returns all attribute names stored in the index of the database node $db, along with their number of occurrences.
```

# Changelog

Version 7.3

• Updated: index:texts, index:attributes: signature with three arguments added.

The module was introduced with Version 7.1.

# **JSON Module**

This XQuery Module contains functions to parse and serialize JSON documents. JSON (JavaScript Object Notation) <sup>[1]</sup> is a popular data exchange format for applications written in JavaScript. As there are notable differences between JSON and XML, no mapping exists that guarantees a lossless, bidirectional conversion between JSON and XML. For this reason, we offer two sets of functions in this module:

## **Conventions**

All functions in this module are assigned to the http://basex.org/modules/json namespace, which is statically bound to the json prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

# **JSON Functions**

json:parse and json:serialize facilitate a lossless conversion from JSON to XML and back. The transformation is based on the following rules:

- 1. The resulting document has a <json/> root node.
- 2. Names (keys) of objects are represented as elements:
  - 1. Empty names are represented by a single underscore (<\_>...</\_>).
  - 2. Underscore characters are rewritten to two underscores ( ).
  - 3. A character that cannot be represented as NCName character is rewritten to an underscore and its four-digit Unicode.
- 3. As the members of arrays have no names, <value/> is used as element name.
- 4. JSON values are represented as text nodes.
- 5. The types of values are represented in attributes:
  - 1. The value types number, boolean, null, object and array are represented by a type attribute.
  - 2. The *string* type is omitted, as it is treated as default type.
  - 3. If a name has the same type throughout the document, the type attribute will be omitted. Instead, the name will be listed in additional, type-specific attributes in the root node. The attributes are named by their type in the plural (*numbers*, *booleans*, *nulls*, *objects* and *arrays*), and the attribute value contains all names with that type, separated by whitespaces.

# json:parse

Signatures json:parse(\$input as xs:string) as element(json)

Summary Converts the JSON document specified by \$input to XML, and returns the result as element (json) instance. The converted XML document is both well readable and lossless, i.e., the converted document can be serialized back to the original JSON representation.

**Errors BXJS0001**: the specified input cannot be parsed as JSON document.

# json:serialize

```
Signatures json:serialize($input as node()) as xs:string
```

Summary Serializes the node specified by \$input as JSON, and returns the result as xs:string instance. The serialized node must conform to the syntax specified by the json:parse() function.

XML documents can also be serialized as JSON if the Serialization Option "method" is set to "json".

Errors BXJS0002: the specified node cannot be serialized as JSON document.

## **Examples**

### Example 1: Adds all JSON documents in a directory to a database

### Query:

```
let $database := "database"
for $name in file:list('.', false(), '*.json')
let $file := file:read-text($name)
let $json := json:parse($file)
return db:add($database, document { $json }, $name)
```

### Example 2: Converts a simple JSON string to XML

### Query:

```
json:parse('{}')
```

#### **Result:**

```
<json objects="json"/>
```

### Example 3: Converts a JSON string with simple objects and arrays

### Query:

```
json:parse('{
    "title": "Talk On Travel Pool",
    "link": "http://www.flickr.com/groups/talkontravel/pool/",
    "description": "Travel and vacation photos from around the world.",
    "modified": "2009-02-02T11:10:27Z",
    "generator": "http://www.flickr.com/"
}')
```

### **Result:**

```
<json objects="json">
    <title>Talk On Travel Pool</title>
    link>http://www.flickr.com/groups/talkontravel/pool/</link>
    <description>Travel and vacation photos from around the world.</description>
    <modified>2009-02-02T11:10:27Z</modified>
    <generator>http://www.flickr.com/</generator>
</json>
```

## Example 4: Converts a JSON string with different data types

### Query:

```
json:parse('{
  "first_name": "John",
 "last_name": "Smith",
  "age": 25,
  "address": {
    "street": "21 2nd Street",
    "city": "New York",
   "code": 10021
  },
  "phone": [
      "type": "home",
     "number": "212 555-1234"
    },
    {
      "type": "mobile",
     "number": 1327724623
 ]
}')
```

```
<json numbers="age code" arrays="phone" objects="json address value">
 <first__name>John</first__name>
 <last__name>Smith</last__name>
 <age>25</age>
 <address>
   <street>21 2nd Street
   <city>New York</city>
   <code>10021</code>
 </address>
 <phone>
   <value>
     <type>home</type>
     <number>212 555-1234
   </value>
   <value>
     <type>mobile</type>
     <number type="number">1327724623
   </value>
 </phone>
</json>
```

# **JsonML Functions**

json:serialize-ml and json:parse-ml are used to transform XML to JSON and back, using the JsonML fall dialect. JsonML can be used to transform arbitrary XML documents, but namespaces, comments and processing instructions will be discarded in the transformation process. More details are found in the official JsonML documentation [3].

# json:serialize-ml

```
Summary Serializes the node specified by $input and returns the result as xs:string instance.

XML documents can also be output in the JsonML format by setting the Serialization Option "method" to "jsonml".

Errors BXJS0002: the specified value cannot be serialized.
```

# json:parse-ml

```
Signatures json:parse-ml ($input as xs:string) as element()

Summary Converts the JsonML [2] document specified by $input to XML, and returns the result as element() instance. The JSON input must conform to the JsonML specification to be successfully converted.

Errors BXJS0001: the specified input cannot be parsed as JsonML instance.
```

# **Examples**

## Example 1: Converts all XML documents in a database to JsonML and writes them to disk

#### Query:

```
for $doc in collection('json')
let $name := document-uri($doc)
let $json := json:serialize($doc)
return file:write($name, $json)
```

### Example 2: Converts a simple XML fragment to the JsonML format

### Query:

```
json:serialize-ml(<xml/>)
```

#### **Result:**

```
["xml"]
```

### Example 3: Converts an XML document with elements and text

### **Query:**

```
json:serialize-ml(doc('flickr.xml'))
```

### flickr.xml:

```
<flickr>
  <title>Talk On Travel Pool</title>
  <link>http://www.flickr.com/groups/talkontravel/pool/</link>
  <description>Travel and vacation photos from around the world.</description>
```

```
<modified>2009-02-02T11:10:27Z</modified>
  <generator>http://www.flickr.com/</generator>
</flickr>
```

#### **Result:**

```
["flickr",
    ["title",
        "Talk On Travel Pool"],
["link",
        "http:\/\/www.flickr.com\/groups\/talkontravel\/pool\/"],
["description",
        "Travel and vacation photos from around the world."],
["modified",
        "2009-02-02T11:10:27Z"],
["generator",
        "http:\/\/www.flickr.com\/"]]
```

#### Example 4: Converts a document with nested elements and attributes

### Query:

```
json:serialize-ml(doc('input.xml'))
```

### input.xml:

### **Errors**

Code	Description
BXJS0001	The specified input cannot be parsed as JSON document.
BXJS0002	The specified node cannot be serialized as JSON document.

# Changelog

The module was introduced with Version 7.0.

### References

- [1] http://www.json.org/
- [2] http://jsonml.org
- [3] http://jsonml.org/XML

# Map Module

This XQuery Module contains functions for manipulating maps. The following documentation is derived from an XQuery 3.0 Functions and Operators <sup>[7]</sup> working draft proposal written by Michael H. Kay <sup>[1]</sup>, and is not part of the official standard yet.

# Introduction

A map is an additional kind of item. It comprises a collation and a set of entries. Each entry comprises a key which is an arbitrary atomic value, and an arbitrary sequence called the associated value. Within a map, no two entries have the same key, when compared using the eq operator under the map's collation. It is not necessary that all the keys should be mutually comparable (for example, they can include a mixture of integers and strings). Key values will never be of type xs:untypedAtomic, and they will never be the xs:float or xs:double value NaN.

The function call map: get (\$map, \$key) can be used to retrieve the value associated with a given key.

A map can also be viewed as a function from keys to associated values. To achieve this, a map is also a function item. The function corresponding to the map has the signature function (\$key as xs:anyAtomicType) as item()\*. Calling the function has the same effect as calling the get function: the expression \$map(\$key) returns the same result as map:get (\$map, \$key). For example, if \$books-by-isbn is a map whose keys **ISBNs** and whose associated values book are elements, then \$books-by-isbn ("0470192747") returns the book element with the given ISBN. The fact that a map is a function item allows it to be passed as an argument to higher-order functions that expect a function item as one of their arguments. As an example, the following query uses the higher-order function fn:map(\$f, \$seq) to extract all bound values from a map:

```
let $map := map { 'foo' := 42, 'bar' := 'baz', 123 := 456 }
return fn:map($map, map:keys($map))
```

This returns some permutation of (42, 'baz', 456).

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Like all other values, *maps* are immutable. For example, the map:remove function creates a new map by removing an entry from an existing map, but the existing map is not changed by the operation.

Like sequences, *maps* have no identity. It is meaningful to compare the contents of two maps, but there is no way of asking whether they are "the same map": two maps with the same content are indistinguishable.

Because a map is a function item, functions that apply to functions also apply to maps. A map is an anonymous function, so fn:function-name returns the empty sequence; fn:function-arity always returns 1.

Maps may be compared using the fn:deep-equal function. The semantics for this function are extended so that when two items are compared, at any level of recursion, the items compare equal if they are both maps, if both use the same collation, if both contain the same set of keys (compared using the eq operator), without regard to ordering, and if for each key that is present in both maps, the associated values are deep-equal. When comparing maps, the maps' collation is used rather than the collation supplied as an argument to the fn:deep-equal function.

There is no operation to atomize a map or convert it to a string. The following XQuery snippet shows how the contents of a map can be serialized:

```
let $map := map { 1:='a', 2:='b' }
return string-join(
  for $m in map:keys($map)
  return concat($m, ':=', $map($m)), ', '
)
```

Some examples use the map \$week defined as:

```
declare variable $week as map(*) := map {
    0:="Sonntag",
    1:="Montag",
    2:="Dienstag",
    3:="Mittwoch",
    4:="Donnerstag",
    5:="Freitag",
    6:="Samstag"
};
```

## **Conventions**

All functions in this module are assigned to the http://www.w3.org/2005/xpath-functions/map namespace, which is statically bound to the map prefix.

## **Functions**

# map:collation

```
Signatures map:collation($map as map(*)) as xs:string

Summary Returns the collation URI of the map supplied as $map.
```

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## map:contains

```
Signatures map:contains($map as map(*), $key as item()) as xs:boolean
```

Summary Returns true if the map supplied as \$map contains an entry with a key equal to the supplied value of \$key; otherwise it returns false. The equality comparison uses the map's collation; no error occurs if the map contains keys that are not comparable with the supplied \$key. If the supplied key is xs:untypedAtomic, it is converted to xs:string. If the supplied key is the xs:float or xs:double value NaN, the function returns false.

- Examples map:contains(\$week, 2) returns true().
  - map:contains(\$week, 9) returns false().
  - map:contains(map{}, "xyz") returns false().
  - map:contains(map{ "xyz":=23 }, "xyz") returns true().

### map:entry

```
Signatures map:entry($key as item(), $value as item()*) as map(*)
```

Summary

Creates a new map containing a single entry. The collation of the new map is the default collation from the static context. The key of the entry in the new map is \$key, and its associated value is \$value. If the supplied key is xs:untypedAtomic, it is converted to xs:string. If the supplied key is the xs:float or xs:double value NaN, the supplied \$map is returned unchanged. The function map:entry is intended primarily for use in conjunction with the function map:new. For example, a map containing seven entries may be constructed like this:

```
map:new((
 map:entry("Su", "Sunday"),
 map:entry("Mo", "Monday"),
 map:entry("Tu", "Tuesday"),
 map:entry("We", "Wednesday"),
 map:entry("Th", "Thursday"),
 map:entry("Fr", "Friday"),
 map:entry("Sa", "Saturday")
```

Unlike the map { . . . } expression, this technique can be used to construct a map with a variable number of entries, for example:

```
map:new(for $b in //book return map:entry($b/isbn, $b))
```

Examples • map:entry("M", "Monday") creates a map with the values { "M":="Monday" }.

### map:get

```
Signatures map:get($map as map(*), $key as item()) as item()*
```

Summary

Returns the value associated with a supplied key in a given map. This function attempts to find an entry within the map supplied as \$map that has a key equal to the supplied value of \$key. If there is such an entry, it returns the associated value; otherwise it returns an empty sequence. The equality comparison uses the map's collation; no error occurs if the map contains keys that are not comparable with the supplied \$key. If the supplied key is xs:untypedAtomic, it is converted to xs:string. If the supplied key is the xs:float or xs:double value NaN, the function returns an empty sequence. A return value of () from map:get could indicate that the key is present in the map with an associated value of (), or it could indicate that the key is not present in the map. The two cases can be distinguished by calling map:contains. Invoking the map as a function item has the same effect as calling get: that is, when \$map is a map, the expression \$map (\$K) is equivalent to get (\$map, \$K). Similarly, the expression get(get(\$map, 'employee'), 'name'), 'first') can be written as \$map('employee')('name')('first').

- Examples map:get(\$week, 4) returns "Donnerstag".
  - map:get(\$week, 9) returns(). (When the key is not present, the function returns an empty sequence.).
  - map:get(map:entry(7,())), 7) returns().(An empty sequence as the result can also signify that the key is present and the associated value is an empty sequence.).

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### map:keys

```
Signatures map: keys ($map as map(*)) as xs:anyAtomicType*
```

Returns a sequence containing all the key values present in a map. The function takes any map as its \$map argument and returns the keys that are present in the map as a sequence of atomic values, in implementation-dependent order.

Examples • map:keys(map{ 1:="yes", 2:="no" }) returns some permutation of (1,2) (the result is in implementation-dependent order).

#### map:new

```
Signatures map:new() as map(*)
         map:new($maps as map(*)*) as map(*)
         map:new($maps as map(*)*, $coll as xs:string) as map(*)
```

Summary

Constructs and returns a new map. The zero-argument form of the function returns an empty map whose collation is the default collation in the static context. It is equivalent to calling the one-argument form of the function with an empty sequence as the value of the first argument. The one-argument form of the function returns a map that is formed by combining the contents of the maps supplied in the \$maps argument. It is equivalent to calling the two-argument form of the function with the default collation from the static context as the second argument. The two-argument form of the function returns a map that is formed by combining the contents of the maps supplied in the \$maps argument. The collation of the new map is the value of the \$coll argument. The supplied maps are combined as follows:

- 1. There is one entry in the new map for each distinct key value present in the union of the input maps, where keys are considered distinct according to the rules of the distinct-values function with \$coll as the collation.
- 2. The associated value for each such key is taken from the last map in the input sequence \$maps that contains an entry with this key. If this map contains more than one entry with this key (which can happen if its collation is different from that of the new map) then it is implementation-dependent which of them is selected.

There is no requirement that the supplied input maps should have the same or compatible types. The type of a map (for example map (xs:integer, xs:string)) is descriptive of the entries it currently contains, but is not a constraint on how the map may be combined with other maps.

#### Examples

- map:new() creates an empty map.
- map:new(()) creates an empty map.
- map:new(map:entry(0, "no"), map:entry(1, "yes")) creates a map with the values { 0:="no", 1:="yes" }.
- map:new((\$week, map{ 7:="Unbekannt" })) creates a map with the values { 0:="Sonntag", 1:="Montag", 2:="Dienstag", 3:="Mittwoch", 4:="Donnerstag", 5:="Freitag", 6:="Samstag", 7:="Unbekannt" }.
- map:new((\$week, map{ 6:="Sonnabend" })) creates a map with the values { 0:="Sonntag", 1:="Montag", 2:="Dienstag", 3:="Mittwoch", 4:="Donnerstag", 5:="Freitag", 6:="Sonnabend" }.

### map:remove

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```
Signatures map:remove($map as map(*), $key as item()) as map(*)
```

Summary Constructs a new map by removing an entry from an existing map. The collation of the new map is the same as the collation of the map supplied as \$map. The entries in the new map correspond to the entries of \$map, excluding any entry whose key is equal to \$key. No failure occurs if the input map contains no entry with the supplied key; the input map is returned unchanged

- Examples map:remove(\$week, 4) creates a map with the values { 0:="Sonntag", 1:="Montag", 2:="Dienstag", 3:="Mittwoch", 5:="Freitag", 6:="Samstag" }.
  - map:remove(\$week, 23) creates a map with the values { 0:="Sonntag", 1:="Montag", 2:="Dienstag", 3:="Mittwoch", 4:="Donnerstag", 5:="Freitag", 6:="Samstag" }.

## map:size

```
Signatures map:size($map as map(*)) as xs:integer
```

Returns a the number of entries in the supplied map. The function takes any map as its \$map argument and returns the number of entries that are present in the map.

```
Examples • map:size(map:new()) returns 0.
```

map:size(map{ "true":=1, "false":=0 }) returns 2.

### References

[1] http://en.wikipedia.org/wiki/Michael\_Kay\_(software\_engineer)

# **Math Module**

The math XQuery Module defines functions to perform mathematical operations, such as pi, asin and acos. Most functions are specified in the Functions and Operators Specification [7] of the upcoming XQuery 3.0 Recommendation, and some additional ones have been added in this module.

### **Conventions**

All functions in this module are assigned to the http://www.w3.org/2005/xpath-functions/math namespace, which is statically bound to the math prefix.

### W3 Functions

# math:pi

```
Signatures math:pi() as xs:double
```

Summary Returns the xs:double value of the mathematical constant  $\pi$  whose lexical representation is 3.141592653589793.

Examples

- 2\*math:pi() returns 6.283185307179586e0.
- 60 \* (math:pi() div 180) converts an angle of 60 degrees to radians.

### math:sqrt

```
Signatures math:sqrt($arg as xs:double?) as xs:double?
```

Summary Returns the square root of \$arg.

If \$arg is the empty sequence, the empty sequence is returned.

Otherwise the result is the xs:double value of the mathematical square root of \$arg.

### math:sin

```
Signatures math:sin($arg as xs:double?) as xs:double?
```

**Summary** Returns the sine of the \$arg, expressed in radians.

If \$arg is the empty sequence, the empty sequence is returned. Otherwise the result is the sine of \$arg, treated as an angle in radians.

### math:cos

```
Signatures math:cos($arg as xs:double?) as xs:double?
```

Summary Returns the cosine of \$arg, expressed in radians.

If  $\ensuremath{\mbox{\tt Sarg}}$  is the empty sequence, the empty sequence is returned.

Otherwise the result is the cosine of \$arg, treated as an angle in radians.

### math:tan

Signatures math:tan(\$ as xs:double?) as xs:double?

 $\begin{tabular}{ll} \textbf{Summary} & \textbf{Returns the tangent of $\$arg$, expressed in radians.} \end{tabular}$ 

If \$arg is the empty sequence, the empty sequence is returned.

Otherwise the result is the tangent of \$arg, treated as an angle in radians.

### math:asin

Signatures math:asin(\$arg as xs:double?) as xs:double?

Summary Returns the arc sine of \$arg.

If \$arg is the empty sequence, the empty sequence is returned.

Otherwise the result is the arc sine of \$arg, returned as an angle in radians in the range  $-\pi/2$  to  $+\pi/2$ .

### math:acos

Signatures math:acos(\$arg as xs:double?) as xs:double?

Summary Returns the arc cosine of \$arg.

If \$arg is the empty sequence, the empty sequence is returned.

Otherwise the result is the arc cosine of \$arg, returned as an angle in radians in the range 0 to  $+\pi$ .

### math:atan

Signatures math:atan(\$arg as xs:double?) as xs:double?

**Summary** Returns the arc tangent of \$arg.

If \$arg is the empty sequence, the empty sequence is returned.

Otherwise the result is the arc tangent of \$arg, returned as an angle in radians in the range  $-\pi/2$  to  $+\pi/2$ .

### math:atan2

Signatures math:atan2(\$arg1 as xs:double?, \$arg2 as xs:double) as xs:double?

**Summary** Returns the arc tangent of \$arg1 divided by \$arg2, the result being in the range  $-\pi/2$  to  $+\pi/2$  radians.

If \$arg1 is the empty sequence, the empty sequence is returned.

Otherwise the result is the arc tangent of \$arg1 divided by \$arg2, returned as an angle in radians in the range  $-\pi$  to  $+\pi$ .

## math:pow

Signatures math:pow(\$arg1 as xs:double?, \$arg2 as xs:double) as xs:double?

Summary Returns \$arg1 raised to the power of \$arg2.

If \$arg1 is the empty sequence, the empty sequence is returned. Otherwise the result is the \$arg1 raised to the power of \$arg2.

**Examples** • math:pow(2, 3) returns 8.

# math:exp

Signatures math:exp(\$arg as xs:double?) as xs:double?

 $\textbf{Summary} \quad \text{Returns } e \text{ raised to the power of } \$ \text{arg.}$ 

If \$arg is the empty sequence, the empty sequence is returned. Otherwise the result is the value of e raised to the power of \$arg.

**Examples** • math:exp(1) returns e.

## math:log

Signatures math:log(\$arg as xs:double?) as xs:double?

Summary Returns the natural logarithm of \$arg.

If \$arg is the empty sequence, the empty sequence is

returned.

Otherwise the result is the natural logarithm (base e) of \$arg.

Examples • math:log(math:e()) returns 1.

## math:log10

Signatures math:log10(\$arg as xs:double?) as xs:double?

Summary Returns the base 10 logarithm of \$arg.

If \$arg is the empty sequence, the empty sequence is returned.

Otherwise the result is the base 10 logarithm of \$arg.

Examples • math:log(100) returns 2.

## **Additional Functions**

### math:e

Signatures math:e() as xs:double

Summary Returns the xs: double value of the mathematical constant e whose lexical representation is 2.718281828459045.

**Examples** • 5\*math:e() returns 13.591409142295225.

### math:sinh

Signatures math:sinh(\$arg as xs:double?) as xs:double?

 $\begin{tabular}{ll} \textbf{Summary} & \textbf{Returns the hyperbolic sine of $\tt \$arg.} \end{tabular}$ 

If \$arg is the empty sequence, the empty sequence is

returned.

Otherwise the result is the hyperbolic sine of \$arg.

**Examples** • math:sinh(0) returns 0.

### math:cosh

Signatures math:cosh(\$arg as xs:double?) as xs:double?

Summary Returns the hyperbolic cosine of \$arg.

If  $\operatorname{\$arg}$  is the empty sequence, the empty sequence is

returned.

Otherwise the result is the hyperbolic cosine of \$arg.

**Examples** • math:cosh(0) returns 1.

### math:tanh

Signatures math:tanh(\$arg as xs:double?) as xs:double?

Summary Returns the hyperbolic tangent of \$arg.

If  $\ensuremath{\operatorname{\mathtt{\$arg}}}$  is the empty sequence, the empty sequence is

returned.

Otherwise the result is the hyperbolic tangent of \$arg.

**Examples** • math:tanh(100) returns 1.

### math:crc32

Signatures math:crc32(\$str as xs:string) as xs:hexBinary

Summary Calculates the CRC32 check sum of the given string \$str.

**Examples** • math:crc32("") returns '00000000'.

• math:crc32("BaseX") returns '4C06FC7F'.

# Changelog

Version 7.5

• Moved: math:random and math:uuid have been move to Random Module.

Version 7.3

• Added: math:crc32 and math:uuid have been adopted from the obsolete Utility Module.

Output Module 183

# **Output Module**

This XQuery Module contains functions for simplifying formatted data output.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/out namespace, which is statically bound to the out\_prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

## **Functions**

### out:nl

```
Signatures out:nl() as xs:string

Summary Returns a single newline character (
).
```

### out:tab

```
Signatures out:tab() as xs:string
Summary Returns a single tabulator character (	).
```

### out:format

```
Signatures out:format ($format as xs:string, $item1 as item(), ...) as xs:string

Summary

Returns a formatted string. $item1 and all following items are applied to the $format string, according to Java's printf syntax [1].

Examples

• out:format("%b", true()) returns true.

• out:format("%06d", 256) returns 000256.

• out:format("%e", 1234.5678) returns 1.234568e+03.
```

# Changelog

Introduced with Version 7.3. Functions have been adopted from the obsolete Utility Module.

### References

[1] http://download.oracle.com/javase/1.5.0/docs/api/java/util/Formatter.html#syntax

Process Module 184

# **Process Module**

This XQuery Module provides functions for executing system commands from XQuery.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/proc namespace, which is statically bound to the proc prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

### proc:system

```
Signatures proc:system($cmd as xs:string) as xs:string
        proc:system($cmd as xs:string, $args as xs:string*) as xs:string
        proc:system($cmd as xs:string, $args as xs:string*, $encoding as xs:string) as xs:string
```

Summary Executes the specified command in a separate process and returns the result as string.

Additional command arguments may be specified via \$args.

The result can be explicitly converted to a specified \$encoding. If no encoding is specified, the system's default encoding is used.

Errors

BXPRnnnn: If the command results in an error, an XQuery error will be raised. Its code will consist of the letters BXPR and four digits with the command's exit code.

BXPR9999: the specified encoding does not exist or is not supported.

- Examples proc:system('date') returns the current date on a Linux system.
  - The following example returns "Command not found", if the command "xyz" cannot be located or executed:

```
try {
 proc:system('xyz')
} catch bxerr:BXPR0002 {
  'Command not found.'
```

### proc:execute

```
Signatures proc:execute ($cmd as xs:string) as element (result)
         proc:execute($cmd as xs:string, $args as xs:string*) as element(result)
         proc:execute($cmd as xs:string, $args as xs:string*, $encoding as xs:string) as
         element (result)
```

Summary

Executes the specified command in a separate process and returns the result as element.

Additional command arguments may be specified via \$args.

The result can be explicitly converted to a specified \$encoding. If no encoding is specified, the system's default encoding is used. A result has the following structure:

```
<result>
  <output>...result...
 <error/>
 {{Code|0}}
</result>
```

**Errors** BXPR9999: the specified encoding does not exist or is not supported.

• proc:execute('dir', '\') returns the root directory on a Windows system. Examples

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### **Errors**

Code	Description
BXPR9999	The specified encoding does not exist or is not supported.

# Changelog

The module was introduced with Version 7.3.

# **Profiling Module**

This XQuery Module contains functions for XQuery code.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/prof namespace, which is statically bound to the prof prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

## **Functions**

# prof:time

**Properties** The function is *non-deterministic*: evaluation order will be preserved by the compiler.

# prof:mem

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```
Signatures prof:mem($expr as item()) as item()*
    prof:mem($expr as item(), $cache as xs:boolean) as item()*
    prof:mem($expr as item(), $cache as xs:boolean, $label as xs:string) as item()*
```

Summary Measures the memory allocated by evaluating \$expr and sends it to standard error or, if the GUI is used, to the Info View.

If \$cache is set to true(), the result will be temporarily cached. This way, a potential iterative execution of the expression (which often yields different memory usage) is blocked.

A third, optional argument \$label may be specified to tag the profiling result.

```
Examples • prof:mb("1 to 100000") may output 0 Bytes.• prof:mb("1 to 100000", true()) may output 26.678 mb.
```

**Properties** The function is *non-deterministic*: evaluation order will be preserved by the compiler.

# prof:sleep

```
Signatures prof:sleep($ms as xs:integer) as empty-sequence()*

Summary Sleeps for the specified number of milliseconds.
```

**Properties** The function is *non-deterministic*: evaluation order will be preserved by the compiler.

## prof:dump

Introduced with Version 7.5:

Summary Dumps a serialized representation of \$expr to STDERR, optionally prefixed with \$label, and returns an empty sequence. If the GUI is used, the dumped result is shown in the Info View.

Properties In contrast to fn:trace(), the consumed expression will not be passed on.

# prof:current-ms

Introduced with Version 7.5:

```
Signatures prof:current-ms() as xs:integer
```

**Summary** Returns the number of milliseconds passed since 1970/01/01 UTC. The granularity of the value depends on the underlying operating system and may be larger. For example, many operating systems measure time in units of tens of milliseconds.

**Properties** In contrast to fn:current-time(), the function is *non-deterministic*, as it returns different values every time it is called. Its evaluation order will be preserved by the compiler.

# prof:current-ns

Introduced with Version 7.5:

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Signatures prof:current-ns() as xs:integer

Summary Returns the current value of the most precise available system timer in nanoseconds.

**Properties** In contrast to fn:current-time(), the function is *non-deterministic*, as it returns different values every time it is called. Its evaluation order will be preserved by the compiler.

# Changelog

Version 7.5

• Added: prof:dump, prof:current-ms, prof:current-ns

This module was introduced with Version 7.3.

# **Random Module**

This XQuery Module contains non-deterministic functions for returning random values.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/random namespace, which is statically bound to the random prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

## **Functions**

### random:double

```
Signatures random:double() as xs:double
```

**Summary** Returns a double value between 0.0 (inclusive) and 1.0 (exclusive).

# random:integer

```
Signatures random:integer() as xs:integer
random:integer($max as xs:integer) as xs:integer
```

Summary Returns an integer value, either in the whole integer range or between 0 (inclusive) and the given maximum (exclusive)

### random:seeded-double

```
\textbf{Signatures random: seeded-double} (\$\texttt{seed as xs:} \texttt{integer}, \$\texttt{num as xs:} \texttt{integer}) \ \texttt{as xs:} \texttt{double} (\$\texttt{seed as xs:} \texttt{integer}) \ \texttt{double} (\texttt{seed a
```

**Summary** Returns a sequence with \$num double values between 0.0 (inclusive) and 1.0 (exclusive). The random values are created using the initial seed given in \$seed.

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## random:seeded-integer

```
Signatures random:seeded-integer($seed as xs:integer, $num as xs:integer) as xs:integer*
    random:seeded-integer($seed as xs:integer, $num as xs:integer, $max as xs:integer) as
    xs:integer*
```

**Summary** Returns a sequence with \$num integer values, either in the whole integer range or between 0 (inclusive) and the given maximum (exclusive). The random values are created using the initial seed given in \$seed.

## random:gaussian

```
Signatures random: gaussian ($num as xs:integer) as xs:double*
```

Summary Returns a sequence with \$num double values. The random values are Gaussian (i.e. normally) distributed with the mean 0.0. and the derivation 1.0.

### random:uuid

```
Signatures random:uuid() as xs:string

Summary Creates a random universally unique identifier (UUID), represented as 128-bit value.

Examples • random:uuid() eq random:uuid() will (most probably) return the boolean value false.
```

# Changelog

The module was introduced with Version 7.5. It includes some functionality which was previously located in the Math Module.

Repository Module 189

# **Repository Module**

This XQuery Module contains functions for installing, listing and deleting modules contained in the Repository.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/repo namespace, which is statically bound to the repo prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

## repo:install

Signatures repo:install(\$path as xs:string) as empty-sequence()

Summary Installs a package or replaces an existing package. The parameter \$path indicates the path to the package.

Errors BXRE0001: the package does not exist.

BXRE0002: a package uses an invalid namespace URI.

**BXRE0003**: the package to be installed requires a package which is still not installed.

BXRE0004: the package descriptor is invalid.

BXRE0005: the module contained in the package to be installed is already installed as part of another

package.

**BXRE0006**: the package cannot be parsed. **BXRE0009**: the package version is not supported.

 ${\bf BXRE0010}:$  the package contains an invalid JAR descriptor.

**BXRE0011**: the package contains a JAR descriptor but it cannot be read.

## repo:delete

Signatures repo:delete(\$pkg as xs:string) as empty-sequence()

Summary Deletes a package. The parameter \$pkg indicates either the package name as specified in the package descriptor or the name,

suffixed with a hyphen and the package version.

**Errors BXRE0007**: the package cannot be deleted.

BXRE0008: another package depends on the package to be deleted.

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# repo:list

Signatures repo:list() as element(package)\*

**Summary** Lists the names and versions of all currently installed packages.

## **Errors**

Code	Description
BXRE0001	The addressed package does not exist.
BXRE0002	A package uses an invalid namespace URI.
BXRE0003	The package to be installed requires a package which is not installed yet.
BXRE0004	The package descriptor is invalid.
BXRE0005	The module contained in the package to be installed is already installed as part of another package.
BXRE0006	The package cannot be parsed.
BXRE0007	The package cannot be deleted.
BXRE0008	Another package depends on the package to be deleted
BXRE0009	The package version is not supported.
BXRE0010	The package contains an invalid JAR descriptor.
BXRE0011	The package contains a JAR descriptor but it cannot be read.

# Changelog

Version 7.2.1

• Updated: repo:install: existing packages will be replaced

• Updated: repo:delete: remove specific version of a package

Version 7.2

• Updated: repo:list now returns nodes

The module was introduced with Version 7.1.

# **Request Module**

This XQuery Module contains functions for retrieving information on an HTTP request that has triggered the query. It is mainly useful in the context of Web Applications.

The module is mainly derived from Adam Retter's upcoming EXQuery Request Module [1].

### **Conventions**

All functions in this module are assigned to the http://exquery.org/ns/request namespace, which must be dynamically imported:

```
import module namespace request = "http://exquery.org/ns/request";
...
```

In this documentation, the namespace is bound to the request prefix.

The following example will be referenced in the function definitions. It demonstrates what components a URI may consist of (the example is derived from RFC 3986 <sup>[2]</sup>):

### **General Functions**

### request:method

Signatures request:method() as xs:string

Summary Returns the Method of the HTTP request.

### **URI Functions**

### request:scheme

Signatures request:scheme() as xs:string

**Summary** Returns the Scheme component of the URI of an HTTP request.

**Example** For the example given in the introduction, this function would return foo.

## request:hostname

Signatures request:hostname() as xs:string

Summary Returns the Hostname component of the URI of an HTTP request.

Example For the example given in the introduction, this function would return example.com.

### request:port

Signatures request:port() as xs:integer

Summary Returns the Port component of the URI of an HTTP request, or a default port if it has not been explicitly specified in the URI.

**Example** For the example given in the introduction, this function would return 8042.

## request:path

Signatures request:path() as xs:string

Summary Returns the Path component of the URI of an HTTP request.

**Example** For the example given in the introduction, this function would return /over/there.

### request:query

Signatures request:query() as xs:string?

Summary Returns the Query component of the URI of an HTTP request. If no query has been specified, an empty sequence is returned.

**Example** For the example given in the introduction, this function would return  $\{\{\{1\}\}\}$ .

## request:uri

Signatures request:uri() as xs:anyURI

**Summary** Returns the complete URI of an HTTP request as it has been specified by the client.

**Example** For the example given in the introduction, this method would return  $\{\{\{1\}\}\}$ .

# **Connection Functions**

### request:address

Signatures request:address() as xs:string

**Summary** Returns the IP address of the server.

## request:remote-hostname

Signatures request:remote-hostname() as xs:string

**Summary** Returns the fully qualified hostname of the client that sent the request.

## request:remote-address

Signatures request:remote-address() as xs:string

Summary Returns the IP address of the client that sent the request.

### request:remote-port

Signatures request:remote-port() as xs:string

**Summary** Returns the TCP port of the client socket that triggered the request.

### **Parameter Functions**

## request:parameter-names

Signatures request:parameter-names() as xs:string\*

Summary Returns the names of all query parameters available from the HTTP request. If RESTXQ is used, this function may help to find query

parameters that have not been bound by %restxq:query-param annotations.

**Example** For the example given in the introduction, this function would return name.

## request:parameter

Signatures request:parameter(\$name as xs:string) as xs:string\*

request:parameter(\$name as xs:string, \$default as xs:string) as xs:string\*

Summary Returns the value of the named query parameter in an HTTP request. If the parameter does not exist, an empty sequence or the

optionally specified default value is returned instead.

**Example** For the example given in the introduction, the function call request:parameter('name') would return ferret.

# **Header Functions**

## request:header-names

Signatures request:header-names() as xs:string\*

**Summary** Returns the names of all headers available from the HTTP request. If RESTXQ is used, this function may help to find headers that have not been bound by %restxq:header-param annotations.

### request:header

Signatures request:header(\$name as xs:string) as xs:string?

header:parameter(\$name as xs:string, \$default as xs:string) as xs:string

Summary Returns the value of the named header in an HTTP request. If the header does not exist, an empty sequence or the optionally specified

default value is returned instead.

## **Cookie Functions**

## request:cookie-names

Signatures request:cookie-names() as xs:string\*

Summary Returns the names of all cookies in the HTTP headers available from the HTTP request. If RESTXQ is used, this function may help to

find cookies that have not been bound by %restxq:cookie-param annotations.

### request:cookie

Signatures request:cookie(\$name as xs:string) as xs:string\*

header:cookie(\$name as xs:string, \$default as xs:string) as xs:string

Summary Returns the value of the named Cookie in an HTTP request. If there is no such cookie, an empty sequence or the optionally specified

default value is returned instead.

# Changelog

This module was introduced with Version 7.5.

#### References

- [1] http://exquery.github.com/expath-specs-playground/request-module-1.0-specification.html
- [2] http://tools.ietf.org/html/rfc3986

Session Module 195

# **Session Module**

This XQuery Module contains functions for accessing and modifying server-side session information. This module is mainly useful in the context of Web Applications.

### **Conventions**

As sessions are side-effecting operations, all functions in this module are flagged as *non-deterministic*. This means that the evaluation order of the functions will not be influenced by the compiler.

All functions are assigned to the http://basex.org/modules/session namespace, which must be dynamically imported. In this documentation, the namespace is bound to the session prefix. Errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

If the module is called outside the servlet context, the error BXSE0003 is raised.

### **Functions**

### session:id

Signatures session:id() as xs:string

Summary Returns the session ID of a servlet request.

**Examples** Running the server-side XQuery file id.xq via http://localhost:8984/id.xq:

import module namespace session = "http://basex.org/modules/session";
'Session ID: ' || session:id()

### session:created

Signatures session:created() as xs:dateTime

**Summary** Returns the creation time of a session.

## session:accessed

Signatures session:accessed() as xs:dateTime

**Summary** Returns the last access time of a session.

#### session:names

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```
Signatures session:names() as xs:string*
```

**Summary** Returns the names of all variables bound to the current session.

Examples Running the server-side XQuery file names.xq via http://localhost:8984/names.xq:

```
import module namespace session = "http://basex.org/modules/session";
session:names() ! element variable { . }
```

### session:get

```
Signatures session:get($key as xs:string) as xs:string?
```

session:get(\$key as xs:string, \$default as xs:string) as xs:string

Summary Returns the value of a variable bound to the current session. If the variable does not exist, an empty sequence or the optionally

specified default value is returned instead.

**Errors BXSE0002**: the value of a session variable could not be retrieved.

Examples Running the server-side XQuery file get.xq via http://localhost:8984/get.xq?key=user:

```
import module namespace session = "http://basex.org/modules/session";
'Value of ' || $key || ': ' || session:get($key)
```

### session:set

Signatures session:set(\$key as xs:string, \$value as xs:string) as empty-sequence()

**Summary** Assigns a value to a session variable.

**Errors BXSE0001**: a function item was specified as value of a session variable.

Examples Running the server-side XQuery file set.xq via http://localhost:8984/set.xq?key=user&value=john:

import module namespace session = "http://basex.org/modules/session";
session:set(\$key, \$value), 'Variable was set.'

### session:delete

Signatures session:delete(\$key as xs:string) as empty-sequence()

Summary Deletes a session variable.

**Examples** Running the server-side XQuery file delete.xq via http://localhost:8984/delete.xq?key=user:

import module namespace session = "http://basex.org/modules/session";
session:delete(\$key), 'Variable was deleted.'

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### session:close

Signatures session:close() as empty-sequence()
Summary Unregisters a session and all data associated with it.

### **Errors**

Code	Description
BXSE0001	A function item was specified as value of a session attribute.
BXSE0002	An error occurred while retrieving the value of a session attribute.
BXSE0003	A function was called outside the servlet context.

# Changelog

This module was introduced with Version 7.5.

# **Sessions Module**

This XQuery Module can only be called from users with *Admin* permissions. It contains functions for accessing and modifying all registered server-side sessions. This module is mainly useful in the context of Web Applications.

### **Conventions**

As sessions are side-effecting operations, all functions in this module are flagged as *non-deterministic*. This means that the evaluation order of the functions will not be influenced by the compiler.

All functions are assigned to the http://basex.org/modules/sessions namespace, which must be dynamically imported. In this documentation, the namespace is bound to the sessions prefix. Errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

If the module is called outside the servlet context, the error BXSE0003 is raised. If a specified session is not found, BXSE0004 is raised.

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## **Functions**

### sessions:ids

Signatures sessions:ids() as xs:string
Summary Returns the IDs of all registered sessions.

### sessions:created

Signatures sessions:created(\$id as xs:string) as xs:dateTime

### sessions:accessed

Signatures sessions:accessed(\$id as xs:string) as xs:dateTime

**Summary** Returns the last access time of the session specified by \$id.

### sessions:names

Signatures sessions:names(\$id as xs:string) as xs:string\*

Summary Returns the names of all variables bound to the session specified by \$id.

## sessions:get

Signatures sessions:get(\$id as xs:string, \$key as xs:string) as xs:string?

sessions:get(\$id as xs:string, \$key as xs:string, \$default as xs:string) as xs:string

Summary Returns the value of a variable bound to the session specified by \$id. If the variable does not exist, an empty sequence or the

optionally specified default value is returned instead.

**Errors BXSE0002**: the value of a session variable could not be retrieved.

### sessions:set

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Signatures sessions:set(\$id as xs:string, \$key as xs:string, \$value as xs:string) as empty-sequence()

Summary Assigns a value to a variable bound to the session specified by \$id.

**Errors BXSE0001**: a function item was specified as value of a session variable.

## sessions:delete

Signatures sessions:delete(\$id as xs:string, \$key as xs:string) as empty-sequence()

Summary Deletes a variable bound to the session specified by \$id.

## sessions:close

Signatures sessions:close(\$id as xs:string) as empty-sequence()

Summary Unregisters the session specified by \$id.

### **Errors**

Code	Description
BXSE0001	A function item was specified as value of a session attribute.
BXSE0002	An error occurred while retrieving the value of a session attribute.
BXSE0003	A function was called outside the servlet context.
BXSE0004	The specified session was not found.

# Changelog

This module was introduced with Version 7.5.

# **SQL** Module

This XQuery Module contains functions to access relational databases from XQuery using SQL. With this module, you can execute query, update and prepared statements, and the result sets are returned as sequences of XML elements representing tuples. Each element has children representing the columns returned by the SQL statement.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/sql namespace, which is statically bound to the sql prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

## sql:init

```
Signatures sql:init($class as xs:string) as empty-sequence()

Summary This function initializes a JDBC driver specified via $class. This step might be superfluous if the SQL database is not embedded.

Errors BXSQ0007: the specified driver class is not found.
```

### sql:connect

Summary This function establishes a connection to a relational database. As a result a connection handle is returned. The parameter <code>\$url</code> is the URL of the database and shall be of the form: <code>jdbc:<driver name>:[//<server>[/<database>]. If the parameters <code>\$user and \$password are specified, they are used as credentials for connecting to the database. The <code>\$options parameter can</code></code></code>

• as children of an <sql:options/> element, e.g.:

be used to set connection options, which can either be specified

```
<sql:options>
  <sql:autocommit value='true'/>
    ...
  </sql:options>
```

• as map, which contains all key/value pairs:

```
map { "autocommit" := "true", ... }
```

Errors BXSQ0001: an SQL exception occurs, e.g. missing JDBC driver or not existing relation.

### sql:execute

Once a connection is established, the returned connection handle can be used to execute queries on the database. Our SQL module supports both direct queries and prepared statements.

Updated with Version 7.5: prepared statements are now executed via sql:execute-prepared

Signatures sql:execute(\$connection as xs:integer, \$query as xs:string) as element()\*

Summary This function executes a query or update statement. The parameter \$connection specifies a connection handle. The parameter

 $\mbox{\tt squery}$  is a string representing an SQL statement.

**Errors BXSQ0001**: an SQL exception occurs, e.g. not existing relation is retrieved.

BXSQ0002: a wrong connection handle is passed.

## sql:execute-prepared

Introduced with Version 7.5: separated from sql:execute

Signatures sql:execute-prepared(\$id as xs:integer, \$params as element(sql:parameters)) as element()\*

Summary

This function executes a prepared statement. The parameter <code>\$id</code> specifies a prepared statement handle. The optional parameter <code>\$params</code> is an element <code><sql:parameters/></code> representing the parameters for a prepared statement along with their types and values. The following schema shall be used:

```
element sql:parameters {
  element sql:parameter {
   attribute type { "int"|"string"|"boolean"|"date"|"double"|"float"|"short"|"time"|"timestamp" },
   attribute null { "true"|"false" }?,
  text }+ }?
```

Errors

BXSQ0001: an SQL exception occurs, e.g. not existing relation is retrieved.

**BXSQ0002**: a wrong prepared statement handle is passed.

**BXSQ0003**: the number of <sql:parameter/> elements in <sql:parameters/> differs from the number of placeholders in the prepared statement.

**BXSQ0004**: the type of a parameter for a prepared statement is not specified.

BXSQ0005: an attribute different from type and null is set for a <sql:parameter/> element.

BXSQ0006: a parameter is from type date, time or timestamp and its value is in an invalid format.

# sql:prepare

Signatures sql:prepare(\$connection as xs:integer, \$statement as xs:string) as xs:integer

Summary

This function prepares a statement and returns a handle to it. The parameter \$connection indicates the connection handle to be used. The parameter \$statement is a string representing an SQL statement with one or more '?' placeholders. If the value of a field has to be set to NULL, then the attribute null of the element <sql:parameter/> has to be true.

Errors

BXSQ0001: an SQL exception occurs.

BXSQ0002: a wrong connection handle is passed.

# sql:commit

```
Signatures sql:commit($connection as xs:integer) as empty-sequence()

Summary This function commits the changes made to a relational database. $connection specifies the connection handle.

Errors BXSQ0001: an SQL exception occurs.
BXSQ0002: a wrong connection handle is passed.
```

### sql:rollback

```
Signatures sql:rollback ($connection as xs:integer) as empty-sequence()

Summary This function rolls back the changes made to a relational database. $connection specifies the connection handle.

Errors BXSQ0001: an SQL exception occurs.

BXSQ0002: a wrong connection handle is passed.
```

## sql:close

```
Signatures sql:close($connection as xs:integer) as empty-sequence()

Summary This function closes a connection to a relational database. $connection specifies the connection handle.

Errors BXSQ0001: an SQL exception occurs.
BXSQ0002: a wrong connection handle is passed.
```

## **Examples**

## **Direct queries**

A simple select statement can be executed on the following way:

```
let $conn := sql:connect("jdbc:postgresql://localhost:5432/coffeehouse")
return sql:execute($conn, "SELECT * FROM coffees WHERE price < 10")</pre>
```

#### The result will look like:

```
<sql:row xmlns:sql="http://basex.org/modules/sql">
  <sql:column name="cof_name">French_Roast</sql:column>
 <sql:column name="sup_id">49</sql:column>
 <sql:column name="price">9.5</sql:column>
  <sql:column name="sales">15</sql:column>
  <sql:column name="total">30</sql:column>
</sql:row>
<sql:row xmlns:sql="http://basex.org/modules/sql">
  <sql:column name="cof_name">French_Roast_Decaf</sql:column>
  <sql:column name="sup_id">49</sql:column>
 <sql:column name="price">7.5</sql:column>
  <sql:column name="sales">10</sql:column>
  <sql:column name="total">14</sql:column>
</sql:row>
<sql:row xmlns:sql="http://basex.org/modules/sql">
  <sql:column name="cof_name">Colombian_Decaf</sql:column>
```

```
<sql:column name="sup_id">101</sql:column>
  <sql:column name="price">8.75</sql:column>
  <sql:column name="sales">6</sql:column>
  <sql:column name="total">12</sql:column>
  <sql:column name="date">2010-10-10 13:56:11.0</sql:column>
  </sql:row>
```

## **Prepared Statements**

A prepared select statement can be executed in the following way:

### **Errors**

Code	Description
BXSQ0001	An SQL exception occurred (e.g.: a non-existing relation is retrieved).
BXSQ0002	A wrong connection handle or prepared statement handle is passed.
BXSQ0003	The number of <sql:parameter></sql:parameter> elements in <sql:parameters></sql:parameters> differs from the number of placeholders in the prepared statement.
BXSQ0004	The type of a parameter for a prepared statement is not specified.
BXSQ0005	An attribute different from type and null is set for a <sql:parameter></sql:parameter> element.
BXSQ0006	A parameter is from type date, time or timestamp and its value is in an invalid format.
BXSQ0007	A specified database driver class is not found.

# Changelog

Version 7.5

• Updated: sql:execute and sql:execute-prepared separated

The module was introduced with Version 7.0.

Validation Module 204

# **Validation Module**

This XQuery Module contains functions to perform validations against XML Schema <sup>[1]</sup> and Document Type Declarations <sup>[2]</sup>. By default, this module uses Java's standard validators. As an alternative, Saxon XSLT Processor <sup>[3]</sup> is used if (saxon9he.jar, saxon9pe.jar or saxon9ee.jar) is added to the classpath.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/validate namespace, which is statically bound to the validate prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

### validate:xsd

```
Signatures validate:xsd($input as item()) as empty-sequence()
    validate:xsd($input as item(), $schema as item()) as empty-sequence()
```

Summary

Validates the document specified by \$input.

Both \$input and \$schema may be

- an xs:string, containing the path to the resource,
- an xs:string, containing the resource in its string representation, or
- a node (), containing the resource itself.

Errors

**BXVA0001**: the addressed document cannot be validated against the given schema.

Examples

- validate:xsd('doc.xml', 'doc.xsd') validates the document doc.xml against the specified schema doc.xsd.
- The following example demonstrates how a document can be validated against a schema without resorting to local or remote URIs:

### validate:dtd

Validation Module 205

```
Signatures validate:dtd($input as item()) as empty-sequence()
         validate:dtd($input as item(), $dtd as xs:string) as empty-sequence()
```

Summary Validates the document specified by \$input.

\$input may be

- an xs:string, containing the path to the resource,
- an xs:string, containing the resource in its string representation, or
- a node (), containing the resource itself.

\$schema can be used to specify the DTD for validation. If no DTD is given, \$input is required to contain a DTD doctype declaration.

Errors

**BXVA0001**: the addressed document cannot be validated against the given DTD.

Examples

- validate:xsd('doc.xml', 'doc.dtd') validates the document doc.xml against the specified DTD file doc.dtd.
- The following example validates an invalid document against a DTD, which is specified as string:

```
try {
  let $doc := <invalid/>
  let $dtd := '<!ELEMENT root (#PCDATA)>'
  return validate:dtd($doc, $dtd)
} catch BXVA0001 {
  'DTD Validation failed.'
```

### **Errors**

Code	Description
BXVA0001	A document cannot be validated against the specified DTD or XML Schema.

# Changelog

The module was introduced with Version 7.3.

### References

- [1] http://www.w3.org/XML/Schema
- [2] http://en.wikipedia.org/wiki/Document\_Type\_Declaration
- [3] http://www.saxonica.com/
- [4] http://www.w3.org/TR/xmlschema-1/#xsi\_schemaLocation

XQuery Module 206

# **XQuery Module**

This XQuery Module contains functions to evaluate XQuery strings and modules at runtime.

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/xquery namespace, which is statically bound to the xquery prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

### **Functions**

## xquery:eval

**Summary** 

 $\label{prop:condition} Evaluates \, \$ \verb"query" \, as \, XQuery \, expression \, at \, runtime \, and \, returns \, the \, resulting \, items.$ 

Variables and context items can be declared via \$bindings. The specified keys must be QNames or strings, the values can be arbitrary item sequences:

- variables specified as QNames will be directly interpreted as variable name.
- variables specified as xs:string may be prefixed with a dollar sign. Namespace can be specified using the Clark Notation [1]. If the specified string is empty, the value will be bound to the context item.

**Errors BXXQ0001**: the query contains updating expressions.

Examples

- xquery:eval("1+3") returns 4.
- The following expressions use strings as keys. All of them return 'XML':

```
xquery:eval(".", map{ '' := 'XML' })
xquery:eval("$xml", map{ 'xml' := 'XML' }),
xquery:eval("$xml", map{ '$xml' := 'XML' }),
xquery:eval("declare namespace pref='URI'; $pref:xml", map{ '{URI}xml' := 'XML' }),
```

• The following expressions use QNames as keys. All of them return 'XML':

```
declare namespace pref = 'URI';
xquery:eval("$xml", map{ xs:QName('xml') := 'XML' })
xquery:eval("declare namespace pref='URI'; $pref:xml", map{ xs:QName('pref:xml') := 'XML' }),
```

# xquery:invoke

XQuery Module 207

Signatures xquery:invoke(\$uri as xs:string) as item()\*

 $\textbf{xquery:invoke} \, (\texttt{\$expr as xs:string, \$bindings as map(*))} \, \, \, \texttt{as item()*}$ 

Summary Opens \$uri as file, evaluates it as XQuery expression at runtime, and returns the resulting

items.

The semantics of the \$bindings parameter is the same as for xquery:eval.

**Errors BXXQ0001**: the query contains updating expressions.

### xquery:type

Signatures xquery:type(\$expr as item()\*) as item()\*

Summary Similar to fn:trace(\$expr, \$msg), but instead of a user-defined message, it emits the compile-time type and estimated result size of its argument.

### **Errors**

Code	Description
BXXQ0001	A dynamically evaluated query must not contain any updating expressions.

# Changelog

This module was introduced with Version 7.3. Functions have been adopted from the obsolete Utility Module.

# **XSLT Module**

This XQuery Module contains functions and variables to perform XSLT transformations. By default, this module uses Java's XSLT 1.0 Xalan implementation to transform documents. XSLT 2.0 is used instead if Version 9.x of the Saxon XSLT Processor [3] (saxon9he.jar, saxon9pe.jar, saxon9ee.jar) is found in the classpath. A custom transformer can be specified by overwriting the system property javax.xml.transform.TransformerFactory, as shown in the following Java example:

```
System.setProperty("javax.xml.transform.TransformerFactory", "org.custom.xslt.TransformerFactoryImpl");
Context ctx = new Context();
String result = new XQuery("xslt:transform('...', '...')").execute(ctx);
...
ctx.close();
```

### **Conventions**

All functions in this module are assigned to the http://basex.org/modules/xslt namespace, which is statically bound to the xslt prefix.

All errors are assigned to the http://basex.org/errors namespace, which is statically bound to the bxerr prefix.

# **Properties**

## xslt:processor

```
Signatures xslt:processor() as xs:string

Summary Returns the name of the applied XSLT processor, or the path to a custom implementation (currently: "Java", "Saxon EE", "Saxon PE", or "Saxon HE").
```

### xslt:version

```
Signatures xslt:version() as xs:string

Summary Returns the supported XSLT version (currently: "1.0" or "2.0"). "Unknown" is returned if a custom implementation was chosen.
```

### **Functions**

### xslt:transform

Summary Transforms the document specified by \$input, using the XSLT template specified by \$stylesheet, and returns the result as node() instance. \$input and \$stylesheet can be specified as

- xs:string, containing the path to the document,
- · xs:string, containing the document in its string representation, or
- node (), containing the document itself.

The Sparams argument can be used to bind variables to a stylesheet, which can either be specified

• as children of an <xslt:parameters/> element; e.g.:

```
<xslt:parameters>
 <xslt:key1 value='value1'/>
</xslt:parameters>
```

• as map, which contains all key/value pairs:

```
map { "key1" := "value1", ... }
```

Note that only strings are supported when using Saxon (XSLT 2.0).

## **Examples**

#### Example 1: Basic XSL transformation with dummy document and without parameters

#### Query:

```
xslt:transform(<dummy/>, 'basic.xslt')
```

#### basic.xslt

```
<xsl:stylesheet version='1.0' xmlns:xsl='http://www.w3.org/1999/XSL/Transform'>
 <xsl:template match="/">
   <result/>
  </xsl:template>
</xsl:stylesheet>
```

#### **Result:**

```
<result/>
```

### **Example 2: XSLT transformation of an input document**

#### Query:

```
(: Outputs the result as html. :)
declare option output:method 'html';
(: Turn whitespace chopping off. :)
declare option db:chop 'no';
let $in :=
  <books>
    <book>
      <title>XSLT Programmer's Reference</title>
      <author>Michael H. Kay</author>
    </book>
    <book>
      <title>XSLT</title>
```

```
<author>Doug Tidwell</author>
     <author>Simon St. Laurent</author>
      <author>Robert Romano</author>
    </book>
 </books>
let $style :=
 <xsl:stylesheet version='2.0' xmlns:xsl='http://www.w3.org/1999/XSL/Transform'>
 <xsl:output method='xml'/>
   <xsl:template match="/">
<html>
 <body>
   <div>
     <xsl:for-each select='books/book'>
     • <b><xsl:apply-templates select='title'/></b>: <xsl:value-of select='author'/><br/>
     </xsl:for-each>
   </div>
 </body>
</html>
   </xsl:template>
 </xsl:stylesheet>
return xslt:transform($in, $style)
```

#### **Result:**

#### Example 3: Assigning a variable to an XSLT stylesheet

#### **Query:**

```
let $in := <dummy/>
let $style := doc('variable.xsl')
return (
    xslt:transform($in, $style, <xslt:parameters><xslt:v>1</xslt:v></xslt:parameters>),
    xslt:transform($in, $style, map { "v" := 1 })
)
```

#### variable.xslt

#### **Result:**

```
<v>1</v>
<v>1</v>
```

# Changelog

Version 7.3

• Updates:  $xslt:processor \rightarrow xslt:processor$ ,  $xslt:version \rightarrow xslt:version$ 

# **ZIP Module**

This XQuery Module contains functions to handle ZIP archives. The contents of ZIP files can be extracted and listed, and new archives can be created. The module is based on the EXPath ZIP Module <sup>[5]</sup>. It may soon be replaced by the Archive Module.

### **Conventions**

All functions in this module are assigned to the http://expath.org/ns/zip namespace, which is statically bound to the zip prefix.

All errors are assigned to the http://expath.org/ns/error namespace, which is statically bound to the exerr prefix.

### **Functions**

## zip:binary-entry

```
Signatures zip:binary-entry ($uri as xs:string, $path as xs:string) as xs:base64Binary

Summary Extracts the binary file at $path within the ZIP file located at $uri and returns it as an xs:base64Binary item.

Errors ZIP0001: the specified path does not exist.
ZIP0003: the operation fails for some other reason.
```

# zip:text-entry

ZIP Module 212

Signatures zip:text-entry(\$uri as xs:string, \$path as xs:string) as xs:string

zip:text-entry(\$uri as xs:string, \$path as xs:string, \$encoding as xs:string) as xs:string

Summary Extracts the text file at \$path within the ZIP file located at \$uri and returns it as an xs:string item.

An optional encoding can be specified via \$encoding.

Errors ZIP0001: the specified path does not exist.

**ZIP0003**: the operation fails for some other reason.

### zip:xml-entry

Signatures zip:xml-entry(\$uri as xs:string, \$path as xs:string) as document-node()

Summary Extracts the XML file at \$path within the ZIP file located at \$uri and returns it as a document node.

**Errors FODC0006**: the addressed file is not well-formed.

**ZIP0001**: the specified path does not exist. **ZIP0003**: the operation fails for some other reason.

### zip:html-entry

Signatures zip:html-entry(\$uri as xs:string, \$path as xs:string) as document-node()

Summary Extracts the HTML file at \$path within the ZIP file located at \$uri and returns it as a document node. The file is converted to

XML first if Tagsoup <sup>[2]</sup> is found in the classpath.

**Errors FODC0006**: the addressed file is not well-formed, or cannot be converted to correct XML.

 ${\tt ZIP0001}:$  the specified path does not exist.

 ${\tt ZIP0003}:$  the operation fails for some other reason.

### zip:entries

Signatures zip:entries(\$uri as xs:string) as element(zip:file)

Summary Generates an ZIP XML Representation [1] of the hierarchical structure of the ZIP file located at \$uri and returns it as an element

node. The file contents are not returned by this function.

**Errors ZIP0001**: the specified path does not exist.

**ZIP0003**: the operation fails for some other reason.

Examples If the ZIP archive archive.zip is empty, zip:entries('archive.zip') returns:

<zip:file xmlns:zip="http://expath.org/ns/zip" href="archive.zip"/>

## zip:zip-file

ZIP Module 213

```
Signatures zip:zip-file($zip as element(zip:file)) as empty-sequence()
Summary
           Creates a new ZIP archive with the characteristics described by szip, the ZIP XML Representation [1].
Errors
           ZIP0001: an addressed file does not exist.
           ZIP0002: entries in the ZIP archive description are unknown, missing, or invalid.
           ZIP0003: the operation fails for some other reason.
           Serialization Errors: an inlined XML fragment cannot be successfully serialized.
Examples The following function creates a file archive.zip with the file file.txt inside:
           zip:zip-file(
             <file xmlns="http://expath.org/ns/zip" href="archive.zip">
                <entry src="file.txt"/>
             </file>)
```

The following function creates a file archive.zip. It contains one file readme with the content "thanks":

```
<file xmlns="http://expath.org/ns/zip" href="archive.zip">
 <entry name="readme">thanks</entry>
</file>)
```

### zip:update-entries

```
Signatures zip:update-entries($zip as element(zip:file), $output as xs:string) as empty-sequence()
```

Summary

Updates an existing ZIP archive or creates a modifed copy, based on the characteristics described by \$zip, the ZIP XML Representation [1]. The soutput argument is the URI where the modified ZIP file is copied to.

Errors

**ZIP0001**: an addressed file does not exist.

**ZIP0002**: entries in the ZIP archive description are unknown, missing, or invalid.

**ZIP0003**: the operation fails for some other reason.

Serialization Errors: an inlined XML fragment cannot be successfully serialized.

Examples The following function creates a copy new.zip of the existing archive.zip file:

```
zip:update-entries(zip:entries('archive.zip'), 'new.zip')
```

The following function deletes all PNG files from archive.zip:

```
declare namespace zip = "http://expath.org/ns/zip";
copy $doc := zip:entries('archive.zip')
modify delete node $doc//zip:entry[ends-with(lower-case(@name), '.png')]
return zip:update-entries($doc, 'archive.zip')
```

### **Errors**

ZIP Module 214

Code	Description
ZIP0001	A specified path does not exist.
ZIP0002	Entries in the ZIP archive description are unknown, missing, or invalid.
ZIP0003	An operation fails for some other reason.

# References

 $[1] \ http://expath.org/spec/zip\#spec-file-handling-elements-sect$ 

# Development

# **Developing**

This page is one of the Main Sections of the documentation. It provides useful information for developers. Here you can find information on various alternatives to integrate BaseX into your own project.

#### **Integrate & Contribute**

- Eclipse: Compile and run BaseX from within Eclipse
- · Git: Learn how to work with Git
- Maven: Embed BaseX into your own projects
- · Releases: Official releases, snapshots, old versions
- Translations: Contribute a new translation to BaseX

#### **JavaDoc**

The project's JavaDoc [1] can be explored online.

#### **HTTP Services**

- · RESTXQ: Write web services with XQuery
- · REST: Access and update databases via HTTP requests
- · WebDAV: Access databases from your filesystem

#### **Client APIs**

- Clients: Communicate with BaseX using C#, PHP, Python, Perl, C, ...
- XQJ API <sup>[2]</sup>, implemented by Charles Foster
- Java Examples: Code examples for developing with BaseX

#### References

- [1] http://docs.basex.org/javadoc
- [2] http://xqj.net/basex

Eclipse 216

# **Eclipse**

This page is part of the Developer Section. It describes how to get the BaseX sources compiled and running on your system.

### **Prerequisites**

- BaseX is being developed with the Eclipse <sup>[1]</sup> environment. Other IDEs are used as well in our community, but are not supported by our team.
- The EGit [1] plugin can be used to check out the latest sources from our repository within Eclipse.
- The m2eclipse <sup>[2]</sup> plugin is required to work with packages other than the main project; it adds Maven support to Eclipse.
- Additional coding guidelines are defined via Checkstyle and can be integrated with the eclipse-cs [3] plugin.
- Other Eclipse plugins we frequently use are FindBugs <sup>[4]</sup> to analyze Java byte code, and Core Tools <sup>[5]</sup> to find unreferenced members.

You may as well use the standalone version of Maven to compile and run the project.

#### **Check Out**

To get some help on how to check out BaseX and its sub projects from the GitHub Repositories <sup>[6]</sup>, and how to optionally use BaseX on command line, please have a look at our Git Tutorial.

The following repositories are available:

- 1. basex <sup>[7]</sup> is the main project
- 2. basex-api <sup>[8]</sup> contains the BaseX APIs (XML:DB, bindings in other languages) and HTTP Services (REST, RESTXQ, WebDAV)
- 3. basex-examples <sup>[9]</sup> includes some examples code for BaseX
- 4. basex-tests [10] contains several correctness and stress tests

### Start in Eclipse

- 1. Press  $Run \rightarrow Run...$
- 2. Create a new "Java Application" launch configuration
- 3. Select "basex" as "Project"
- 4. Choose a "Main class" (e.g., org.basex.BaseXGUI for the graphical user interface)
- 5. Launch the project via Run

#### References

- [1] http://www.eclipse.org/egit/
- [2] http://m2eclipse.sonatype.org
- [3] http://eclipse-cs.sourceforge.net
- [4] http://findbugs.sourceforge.net/
- [5] http://www.eclipse.org/eclipse/platform-core/downloads.php
- [6] https://github.com/BaseXdb
- [7] https://github.com/BaseXdb/basex
- [8] https://github.com/BaseXdb/basex-api
- $[9] \ https://github.com/BaseXdb/basex-examples$
- [10] https://github.com/BaseXdb/basex-tests

# Git

This page is part of the Developer Section. It describes how to use git <sup>[1]</sup> to manage the BaseX sources.

### Using Git to contribute to BaseX

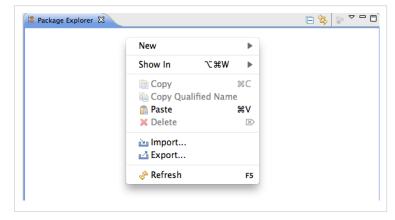
Our team uses git and GitHub <sup>[2]</sup> to manage the source code. All team members have read+write access to the repository, and external contributors are welcome to fork the project.

Git makes it easy to retain a full copy of the repository for yourself. To get started and running, simply *fork* BaseX. If forking sounds unfamiliar to you, we suggest to check out the git introduction below.

You can then build BaseX with Maven. Using Eclipse is optional.

### **Using Git & Eclipse**

- 1. (Optional) Head over to https://github.com/BaseXdb and create an account
- 2. Fork BaseX, so you have a version on your own
- 3. Make yourself familiar with git (see the end of this page)
- 4. Open Eclipse
- 5. Install egit (Eclipse:  $Help \rightarrow Marketplace \rightarrow$  Search for egit or get it from http://www.eclipse.org/egit/)



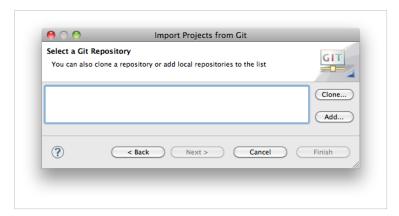
#### Clone

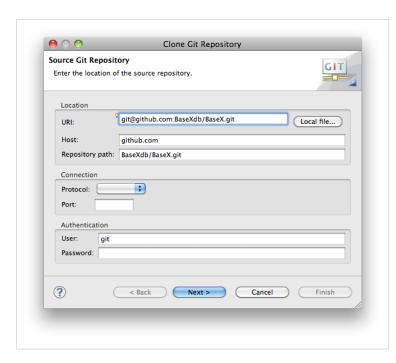
- In the **Package Explorer** to the left use right-click and choose Import...
- Select "Projects from Git" and click Next >
- Click "Clone..." to create a local copy of the remote repository. This copy will include the full project history
- Copy & Paste the github URI in the Location field. If you want to use SSH

make sure you provided GitHub with your public key to allow write-access. If in doubt use the HTTPS URI and authenticate yourself with your GitHub credentials.

- Select the master branch (or arbitrary branches you like)
- Now choose a location where the local repository is stored: Create <workspace>/repos/BaseX and click "Finish".







directory (e. g. /home/user/myprojects)

#### Create the project

- Select our newly cloned repository and click Next
- Select "Import Existing Projects" and depending on your Eclipse version enable automatic sharing. More recent versions will not offer this feature as sharing is enabled by default.
- Click next to select the Project to import
- Check "basex" to checkout and click finish
- You are now ready to contribute.

#### **EGit & SSH**

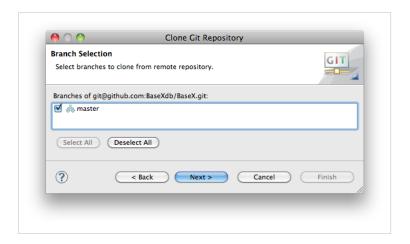
EGit uses the JSch <sup>[3]</sup> library which is, however, reported <sup>[4]</sup> to have problems with RSA SSH keys in linux and possibly other platforms. A solution would be to use the variable GIT\_SSH and assign it a path to the native SSH executable. According to this <sup>[5]</sup> change in EGit, the plugin will try to use a native SSH implementation instead of JSch (this, however, may not always work either :().

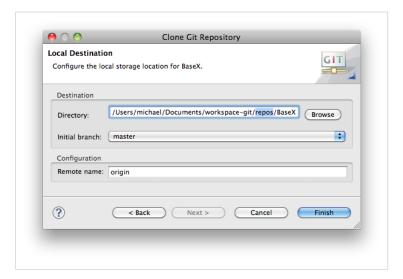
### **Using Git on Command-Line**

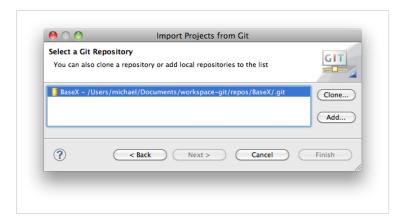
**Note**: this is not intended to be a complete git reference; it's purpose is to quickly introduce BaseX developers to the most commonly used git commands in the context of the BaseX project.

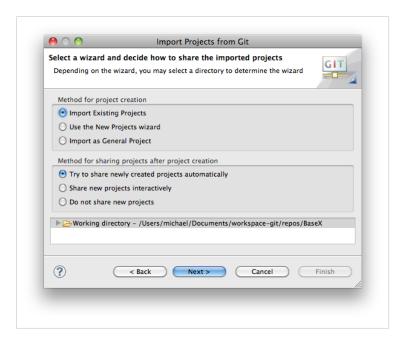
#### **Preparation**

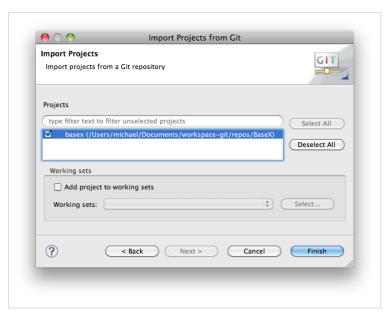
- Create a GitHub user account: here <sup>[6]</sup>
  (your github user name will be
  referenced as \$username)
- 2. Set up SSH access to GitHub as described here <sup>[7]</sup>
- 3. Create a fork of one of the BaseXdb projects (it will be referenced as \$project)
- 4. Choose a directory where the project will be created and make it your working

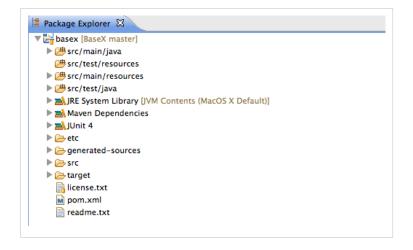












#### **Clone Your Personal Repository**

```
$ git clone git@github.com:$username/$project.git
Cloning into $project...
Enter passphrase for key '/home/user/.ssh/id_rsa':
...
$ ls -d -1 $PWD/*
/home/user/myprojects/$project
```

Note that git automatically creates a directory where the repository content will be checked out.

#### **List Remote Repositories**

```
$ git remote -v
origin git@github.com:$username/$project.git (fetch)
origin git@github.com:$username/$project.git (push)
```

Currently, there is only one remote repository; it is automatically registered during the clone operation. Git remembers this repository as the default repository for push/pull operations.

#### **List Local Changes**

After some files have been changed locally, the changes can be seen as follows:

#### **Commit to Local Repository**

Note: this commit operation does not commit into the remote repository!

First, it is needed to select the modified files which should be committed:

```
$ git add readme.txt
```

Then perform the actual commit:

```
$ git commit
[master Ofdelfb] Added TODO in section "USING GIT"
1 files changed, 4 insertions(+), 0 deletions(-)
```

Before executing the actual commit, git will open the default shell editor (determined using the \$EDITOR variable, usually vi) to enter a message describing the commit changes.

Alternative way is to commit all changed files, i. e. it is not needed to explicitly add the changed files:

```
$ git commit -a
[master Ofdelfb] Added TODO in section "USING GIT"
1 files changed, 4 insertions(+), 0 deletions(-)
```

#### **Pushing Local Changes to Remote Repository**

```
$ git push
Enter passphrase for key '/home/user/.ssh/id_rsa':
Everything up-to-date
```

#### **Pulling Changes from Remote Repository**

```
$ git pull
Enter passphrase for key '/home/user/.ssh/id_rsa':
Already up-to-date.
```

#### Add BaseXdb Upstream Repository

The upstream repository is the one from which the BaseX releases are made and the one from which the personal repository was forked.

```
$ git remote add upstream git@github.com:BaseXdb/$project.git

$ git remote -v
origin git@github.com:$username/$project.git (fetch)
origin git@github.com:$username/$project.git (push)
upstream git@github.com:BaseXdb/$project.git (fetch)
upstream git@github.com:BaseXdb/$project.git (push)
```

#### **Pulling Changes from Upstream to Local Repository**

When some changes are made in the upstream repository, they can be pulled to the local repository as follows:

```
$ git pull upstream master
Enter passphrase for key '/home/user/.ssh/id_rsa':
From github.com:BaseXdb/$project
 * branch master -> FETCH_HEAD
Already up-to-date.
```

The changes can then be pushed in the personal repository:

```
$ git push
```

Check out the links at the end of the page for more git options.

### Need help using git?

### Installing

For information on how to install git on various platforms please refer to: GitHub: git Installation Guide [8]

#### **Documentation**

- Comprehensive Getting Starting Guide on GitHub <sup>[9]</sup>
- The git book <sup>[10]</sup>
- Gitcasts.com Video Guides <sup>[11]</sup>

### References

- [1] http://git-scm.com/
- [2] https://github.com
- [3] http://www.jcraft.com/jsch
- [4] https://bugs.eclipse.org/bugs/show\_bug.cgi?id=326526
- [5] http://egit.eclipse.org/r/#change,2037
- [6] https://github.com/signup/free
- [7] http://help.github.com/key-setup-redirect
- [8] http://help.github.com/git-installation-redirect/
- [9] http://help.github.com/
- [10] http://book.git-scm.com/index.html
- [11] http://gitcasts.com/

# Maven

This page is part of the Developer Section. It demonstrates how Maven <sup>[1]</sup> is used to compile and run BaseX, and embed it into other projects.

### **Using Maven**

If you have cloned our repositories and installed Maven on your machine, you can run the following commands from all local repository directories:

- mvn compile: the BaseX source files are compiled. To launch the project, type in java -cp target/classes org.basex.BaseX, or have a look at our Start Scripts.
- mvn package: JAR archives are created in the target class directory.
- mvn install: the JAR archive is installed to the local repository, and made available to other Maven projects.
   This is particularly useful if you are compiling a beta version of BaseX, for which no archives exist in the repositories.

By adding the flag -DskipTests=true you can skip running the JUnit tests and speed up the packaging procedure. You may as well use Eclipse and m2eclipse to compile the BaseX sources.

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#### **Artifacts**

You can easily embed BaseX into your own Maven projects by adding the following XML snippets to your pom.xml file:

#### **BaseX Main Package**

```
<dependency>
  <groupId>org.basex</groupId>
   <artifactId>basex</artifactId>
   <version>7.5</version>
</dependency>
```

#### **APIs and Services**

...including APIs and the REST, RESTXQ and WebDAV services:

```
<dependency>
  <groupId>org.basex</groupId>
   <artifactId>basex-api</artifactId>
   <version>7.5</version>
</dependency>
```

#### **XQJ API**

The XQJ API is hosted at http://xqj.net:

```
<repository>
 <id>xqj</id>
 <name>XQJ Maven Repository</name>
 <url>http://xqj.net/maven</url>
</repository>
<dependency>
 <groupId>net.xqj</groupId>
 <artifactId>basex-xqj</artifactId>
 <version>1.2.0
</dependency>
<dependency>
 <groupId>com.xqj2</groupId>
 <artifactId>xqj2</artifactId>
  <version>0.1.0
</dependency>
<dependency>
```

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```
<groupId>javax.xml.xquery</groupId>
  <artifactId>xqj-api</artifactId>
  <version>1.0</version>
</dependency>
```

#### References

[1] http://maven.apache.org

# Releases

This page is part of the Developer Section. It lists the official locations of major and minor BaseX versions:

#### Official Releases

Our releases, packaged for various platforms, are linked from our homepage. They are updated every 2-8 weeks:

http://basex.org/download

Our file server contains links to older releases as well (but we recommend everyone to stay up-to-date, as you'll get faster feedback working with the latest version):

· http://files.basex.org/releases

### **Stable Snapshots**

If you are a developer, we recommend you to regularly download one of our stable snapshots, which are packaged and uploaded several times a week:

• http://files.basex.org/releases/latest/

Note that the offered snapshot files are replaced as soon as newer versions are available.

#### **Code Base**

If you always want to be on the cutting edge, you are invited to watch and clone our GitHub repository:

https://github.com/BaseXdb/

We do our best to keep our main repository stable as well.

#### **Maven Artifacts**

The official releases and the current snapshots of both our core and our API packages are also deployed as Maven artifacts on our file server at regular intervals:

• http://files.basex.org/maven/org/basex/

#### Linux

BaseX can also be found in some Linux distributions, such as Debian, Ubuntu and archlinux (Suse and other distributions will follow soon):

- Debian: http://packages.debian.org/sid/basex
- Ubuntu: http://launchpad.net/ubuntu/+source/basex
- Arch Linux: http://aur.archlinux.org/packages.php?ID=38645

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# **Translations**

This page is part of the Developer Section. It describes how to translate BaseX into other (natural) languages.

BaseX is currently available in **nine** languages, namely Dutch, English, French, German, Italian, Indonesian, Japanese, Mongolian, and Romanian.

It is easy to translate BaseX into your native language! This is how you can proceed:

### Working with the sources

If you have downloaded all BaseX sources via Eclipse or Maven, you may proceed as follows:

All language files are placed in the src/main/resources/lang [1] directory of the main project:

- 1. Create a copy of an existing translation file (e.g., English.lang) and rename it to your target language (e.g. Hawaiian.lang)
- 2. Enter your name and contact information in the second line
- 3. If you are using Eclipse, refresh the project (via *Project* → *Refresh*); if you are using Maven, type in mvn compile. Your new language file will be automatically detected.
- 4. Start the BaseX GUI, choose your language via *Options* → *Preferences...* and close the GUI
- 5. Translate the texts in your language file and restart BaseX in order to see the changes
- 6. Repeat the last step if you want to revise your translations

### **Updating BaseX.jar**

You may directly add new languages to the JAR file. JAR files are nothing else than ZIP archives, and all language files are placed in the lang directory int the JAR file:

- 1. Unzip an existing translation file (e.g., English.lang) and rename it to your target language (e.g. Hawaiian.lang)
- 2. Enter your name and contact information in the second line and translate the texts
- 3. Update your JAR file by copying the translated file into the zipped lang directory. Your new language file will be automatically detected.
- 4. Start BaseX.jar, choose your language via *Options* → *Preferences...* and restart BaseX to see the changes

You may also change the language in the .basex configuration file, which is placed in your home directory. In order to see where the all text keys are used within BaseX, you may temporarily set the LANGKEY option to true.

#### References

[1] https://github.com/BaseXdb/basex/tree/master/src/main/resources/lang

# **HTTP Services**

# **RESTXQ**

This page is part of the Developer Section and belongs to the Web Application stack. It describes how to use the RESTXQ API of BaseX.

RESTXQ, introduced by Adam Retter <sup>[1]</sup>, is a new API that facilitates the use of XQuery as a Server Side processing language for the Web. RESTXQ has been inspired by Java's JAX-RS API <sup>[2]</sup>: it defines a pre-defined set of XQuery 3.0 annotations for mapping HTTP requests to XQuery functions, which in turn generate and return HTTP responses.

Note that various details of the specification may be subject to change due to the early state of the API.

By default, the RESTXQ service is activated and available at http://localhost:8984/restxq/.

#### **Module Declarations**

All RESTXQ Annotations are assigned to the http://exquery.org/ns/restxq namespace, which is statically bound to the restxq prefix. A *Resource Function* is an XQuery function that has been marked up with RESTXQ annotations. When an HTTP request comes in, a resource function will be invoked that matches the constraints indicated by its annotations.

By default, all files files in the webapp directory with the extension \*.xqm will be parsed for RESTXQ annotations and cached. If the timestamp of a module changes, it will be parsed again. The RESTXQ module directory can be changed via the RESTXQPATH option (see Web Application: Configuration for more details).

A simple RESTXQ module is shown below, it is part of a clean installation and available at http://localhost:8984/restxq/.

If the URI http://localhost:8984/restxq/hello/world is accessed, the result will be kind of

We added another method within that module:

If you posted something (e.g. using curl or the embedded form at http://localhost:8984/restxq/)

```
curl -i -X POST --data "content='Here comes the post'" http://admin:admin@localhost:8984/restxq/form
```

#### You would recieve

```
<response>
  <title>Hello!</title>
  <info>It seems you posted a message: 'Here comes the post'</info>
</response>
```

#### **Annotations**

This section lists all annotations provided by RESTXQ.

#### **Constraints**

Constraints restrict the HTTP requests that a resource function may process.

#### **Paths**

A resource function must have a single *Path Annotation*. It will be called if a URL matches the path segments and templates specified as argument. *Path templates* contain variables in curly brackets, and map the values of the actual request path to the function arguments.

The following example contains a path annotation with three segments and two templates. One of the function arguments is further specified with a data type, which means that the value for <code>\$variable</code> will be cast to an <code>xs:integer</code> before being bound:

```
declare %restxq:path("/a/path/{$with}/some/{$variable}")
function page:test($with, $variable as xs:integer) { ... };
```

#### **HTTP Methods**

The HTTP method annotations are equivalent to all HTTP request methods <sup>[3]</sup> except for TRACE and CONNECT. Zero or more methods may be used on a function; if none is specified, the function will be invoked for each method.

The following function will be called if GET or POST is used as request method:

```
declare %restxq:GET %restxq:POST %restxq:path("")
function page:root() { <html/> };
```

The POST and PUT annotations may optionally take a string literal, which will be mapped to a named function parameter. Once again, the target variable must be embraced by curly brackets:

```
declare %restxq:PUT("{$data}") %restxq:path("")
function page:put($data) { "Data: " || $data };
```

#### **Content Negotiation**

Two additional annotations can be used to restrict functions to specific content types:

• HTTP Content Types: a function will only be invoked if the HTTP Content-Type header of the request matches one of the given mime types. Example:

```
%restxq:consumes("application/xml", "text/xml")
```

HTTP Accept: a function will only be invoked if the HTTP Accept header of the request matches one of the
defined mime types. Example:

```
%restxq:produces("application/atom+xml")
```

By default, both mime types are \*/\*. Note that this annotation will *not* affect the content-type of the HTTP response. Instead, you will need to add a %output:media-type annotation.

#### **Parameters**

Parameters are optional annotations that can be used to bind additional values to function arguments:

#### **Query Parameters**

The value of the *first parameter*, if found in the Query String <sup>[4]</sup>, will be assigned to the variable specified as *second* parameter. Optionally, a *third parameter* may be specified as default:

```
%restxq:query-param("parameter", "{$value}", "default")
%restxq:query-param("answer", "{$answer}", 42, 43, 44)
%restxq:query-param("search", "{$search-param}")
```

#### **HTML Form Fields**

Form parameters are specified the same way as query strings. Their values are extracted from GET or POST requests.

```
%restxq:form-param("parameter", "{$value}", "default")
```

#### **HTTP Headers**

Header parameters are specified the same way as query strings:

```
%restxq:header-param("User-Agent","{$user-agent}")
%restxq:header-param("Referer","{$referer}", "none")
```

#### **Cookies**

Cookie parameters are specified the same way as query strings:

```
%restxq:cookie-param("username","{$user}")
%restxq:cookie-param("authentication","{$auth}", "no_auth")
```

### Output

By default, all results will be returned with content type application/xml. Similar to our REST interface, result serialization can be modified via XQuery 3.0 serialization parameters. In RESTXQ, all parameters are specified within annotations.

The content type can be overwritten with the media-type annotation:

```
declare %output:media-type("text/plain") %restxq:path("")
function page:kiss() { 'keep it simple, stupid' };
```

Next, the content type can also be overwritten by specifying an output method. The following method mappings are available:

- xml → application/xml
- xhtml → text/html
- html → text/html
- text → text/plain
- raw  $\rightarrow$  application/octet-stream
- json or jsonml  $\rightarrow$  application/json

The following example will use text/html as content type:

```
declare
    %restxq:path("")
    %output:method("xhtml")
    %output:omit-xml-declaration("no")
    %output:doctype-public("-//W3C//DTD XHTML 1.0 Transitional//EN")
    %output:doctype-system("http://www.w3.org/TR/xhtml1/DTD/xhtml1-transitional.dtd")
    function page:start()
{
    <html xmlns="http://www.w3.org/1999/xhtml">
        <body>done</body>
    </html>
};
```

### **Functions**

The BaseX-specific Request Module provides additional functions to retrieve session data, query parameters and other request-related data. The following example returns the current session id:

```
module namespace test = 'http://basex.org/examples/test';
import module namespace request = "http://exquery.org/ns/restxq/request";

declare %restxq:path("/id") function test:id() {
  'ID: ' || request:session-id()
};
```

### Response

Since RESTXQ runs on a fully equipped Web-server, one can change all and specify more HTTP header informations that are returned to the client. By default, a successful request is answered with HTTP status code "200 OK" followed by the given content, an erroneous request (due to errors in a script) with "404 Not Found" followed by the error message.

The first behavior can be changed by returning an restxq:response element, following the EXPath HTTP Client Module [3] specification. E.g. one may return to the client

Compare List of HTTP status codes <sup>[5]</sup> to see what status code fits. It is mandatory to first place restxq:response followed by the content (of any kind) if any. In case of an updating function, use db:output() to return the element and the content.

### **Forward and Redirect**

With Version 7.5, we introduce two XML elements: restxq:forward and restxq:redirect. They are not part of RESTXQ specification yet and can be used in the context of Web Applications, precisely in the context of RESTXQ. These nodes allow e.g. multiple XQuery Updates in a row by redirecting to the RESTXQ path of updating functions. Both wrap an URL to a RESTXQ path.

Remember to encode the wrapped URL, e.g. using fn:encode-for-uri().

### restxq:forward

Usage: wrap the location as follows

```
<restxq:forward>{ $location }</restxq:forward>
```

This results in a server-side forwarding, which as well reduces traffic among client and server. A forwarding of this kind will not change the URL seen from the client's perspective.

As an example, returning

```
<restxq:forward>/restxq/hello/universe</restxq:forward>
```

would internally forward to http://localhost:8984/restxq/hello/universe

### restxq:redirect

```
<restxq:redirect>{ $location }</restxq:redirect>
```

which is an abbreviation for

The client decides whether to follow this redirect. Browsers usually will, curl will not.

### References

RESTXQ has been proposed by Adam Retter <sup>[1]</sup>. More information on all specifics can be found in the following two documents:

- RESTful XQuery, Standardised XQuery 3.0 Annotations for REST <sup>[6]</sup>. Paper, XMLPrague, 2012
- RESTXQ <sup>[7]</sup>. Slides, MarkLogic User Group London, 2012
- RESTXQ Specification [8], Unofficial Draft

## Changelog

Version 7.5

• Added: new XML elements <restxq:redirect/> and <restxq:forward/>

#### References

- [1] http://www.adamretter.org.uk/
- [2] http://en.wikipedia.org/wiki/Java\_API\_for\_RESTful\_Web\_Services
- $[3] \ http://en.wikipedia.org/wiki/HTTP\#Request\_methods$
- [4] http://en.wikipedia.org/wiki/Query\_string
- [5] http://en.wikipedia.org/wiki/List\_of\_HTTP\_status\_codes
- [6] http://www.adamretter.org.uk/papers/restful-xquery\_january-2012.pdf
- [7] http://www.adamretter.org.uk/presentations/restxq\_mugl\_20120308.pdf
- [8] http://exquery.github.com/exquery/exquery-restxq-specification/restxq-1.0-specification.html

# **REST**

This page is part of the Developer Section and belongs to the Web Application stack. It describes how to use the REST API of BaseX.

BaseX offers a RESTful API for accessing distributed XML resources. REST (REpresentational State Transfer <sup>[1]</sup>) facilitates a simple and fast access to databases through HTTP. The HTTP methods GET, PUT, DELETE, and POST can be used to interact with the database.

By default, REST services are available at http://admin:admin@localhost:8984/rest/. Most browsers can be used to perform simple REST requests and display the response. When requesting the first result, you may need to enter your credentials (default: admin/admin, see further). Some alternatives for using REST are listed in the Usage Examples.

### **URL Architecture**

The root URL lists all available databases. The following examples assume that you have created a database instance from the factbook.xml <sup>[3]</sup> document:

http://localhost:8984/rest

```
<rest:databases resources="1" xmlns:rest="http://basex.org/rest">
  <rest:database resources="1" size="1813599">factbook</rest:database>
  </rest:databases>
```

The resources of a database can be listed by specifying the database, and potential sub directories, in the URL. In the given example, a single XML document is stored in the *factbook* database:

http://localhost:8984/rest/factbook

```
<rest:database name="factbook" resources="1" xmlns:rest="http://basex.org/rest">
    <rest:resource type="xml" content-type="application/xml" size="77192">factbook.xml</rest:resource>
    </rest:database>
```

The contents of a database can be retrieved by directly addressing the resource:

http://localhost:8984/rest/factbook/factbook.xml

If a resource is not found, an HTTP response will be generated with 404 as status code.

### **Operations**

GET and POST requests support the following **operations**:

Query:

Evaluates an XPath/XQuery expression. If a database or database path is specified in the URL, it is used as initial query context.

• Run:

Runs a query file located on the server. The query directory is defined by the WEBPATH option.

• Command:

Executes a database command.

• Get:

This is the default operation for the GET operation (it is not possible to use this operation in a POST request). It returns a list of all databases, the resources of a database or the addressed resource.

#### **Parameters**

Additionally, the following **parameters** can be applied to the operations:

· Variables:

External variables can be *bound* before a query is evaluated (see below for more).

Context:

The context parameter may be used to provide an initial XML context node.

· Options:

Specified Options are applied before the actual operation will be performed.

· Serialization:

All Serialization parameters known to BaseX can be specified as query parameters. Parameters that are specified within a query will be interpreted by the REST server before the output is generated.

• Wrap:

The wrap parameter encloses all query results with XML elements, using the http://basex.org/rest namespace.

While **Options** can be specified for all operations, the remaining parameters will only make sense for **Query** and **Run**.

### **Request Methods**

### **GET Requests**

If the GET method is used, all query parameters are directly specified within the URL.

Examples

- The first example lists all resources found in the **tmp** path of the *factbook* database: http://localhost:8984/rest/factbook/tmp
- The first example prints the city names from the *factbook* database and encloses all results with a <rest:result/> elements:

http://localhost:8984/rest/factbook?query=//city/name&wrap=yes

- In the next request, US-ASCII is chosen as output encoding, and the query eval.xq is evaluated: http://localhost:8984/rest?run=eval.xq&encoding=US-ASCII
- The next URL turns on XML wrapping and lists all database users that are known to BaseX: http://localhost:8984/rest?command=show+users
- The last example includes an to disallow XQuery 3.0 expressions: http://localhost:8984/rest?query=12345&xquery3=false

### **POST Requests**

The body of a POST request is interpreted as XML fragment, which specifies the operation to perform. The body must conform to a given XML Schema.

Examples

• The following query returns the first five city names of the **factbook** database:

```
<query xmlns="http://basex.org/rest">
  <text><![CDATA[ (//city/name) [position() <= 5] ]]></text>
  </query>
```

• The second query returns the string lengths of all text nodes, which are found in the node that has been specified as initial context node:

• The following request returns the registered database users encoded in ISO-8859-1:

```
<command xmlns="http://basex.org/rest">
  <text>show users</text>
  <parameter name='encoding' value='ISO-8859-1'/>
</command>
```

• This example creates a new database from the specified input and retains all whitespaces:

```
<command xmlns="http://basex.org/rest">
  <text>create db test http://files.basex.org/xml/xmark.xml</text>
  <option name='chop' value='false'/>
  </command>
```

• The last request runs a query query.xq located in the directory specified by WEBPATH:

```
<run xmlns="http://basex.org/rest">
  <text>query.xq</text>
</run>
```

### **PUT Requests**

The PUT method is used to create new databases, or to add or update existing database resources:

• Create Database:

A new database is created if the URL only specifies the name of a database. If the request body contains XML, a single document is created, adopting the name of the database.

• Store Resource:

A resource is added to the database if the URL contains a database path. If the addressed resource already exists, it is replaced by the new input.

There are two ways to store non-XML data in BaseX:

• Store as raw:

If application/octet-stream is chosen as content-type the input data is added as raw.

Convert to XML:

Raw data can be explicitly converted to XML by specifying the content-type.

Trying to add raw data without specifying the content type or specifying a wrong content type will eventually lead to a 400 (BAD REQUEST) exception. The following content types are available:

• application/octet-stream: Stores input data as raw file.

- application/json: Stores JSON as XML.
- application/jsonml: Stores JSONML input as XML.
- text/plain: Stores plain text input as XML.
- text/comma-separated-values: Stores CSV text input as XML.
- text/html: Stores HTML input as XML.

#### Examples

 A new database with the name XMark is created. If XML input is sent in the HTTP body, the resulting database resource will be called XMark.xml:

```
http://admin:admin@localhost:8984/rest/XMark
```

• A new database is created, and no whitespaces will be removed from the passed on XML input:

```
http://admin:admin@localhost:8984/rest/XMark?chop=false
```

 The contents of the HTTP body will be taken as input for the document one.xml, which will be stored in the XMark database:

```
http://admin:admin@localhost:8984/rest/XMark/one.xml
```

An HTTP response with status code 201 (CREATED) is sent back if the operation was successful. Otherwise, the server will reply with 404 (if a specified database was not found) or 400 (if the operation could not be completed).

Have a look at the usage examples for more detailed examples using Java and shell tools like cURL.

### **DELETE Requests**

The DELETE method is used to delete databases or resources within a database.

#### Example

• The **factbook** database is deleted:

```
http://admin:admin@localhost:8984/rest/factbook
```

• All resources of the **XMark** database are deleted that reside in the **tmp** path:

```
http://admin:admin@localhost:8984/rest/XMark/tmp/
```

The HTTP status code 404 is returned if no database is specified. 200 (OK) will be sent in all other cases.

## **Assigning Variables**

### **GET Requests**

All query parameters that have not been processed before will be treated as variable assignments:

#### Examples

- The following request binds a single variable to the query to be processed: http://localhost:8984/rest?query=\$text&\$text=Hello+World
- The following request assigns two variables to a server-side query file mult.xq placed in the HTTP directory: http://localhost:8984/rest?run=mult.xq&\$a=21&\$b=2

```
(: XQuery file: mult.xq :)
declare variable $a as xs:integer external;
declare variable $b as xs:integer external;
$a * $b
```

The dollar sign can be omitted as long as the variable name does not equal a parameter keyword (e.g.: method).

### **POST Requests**

If query or run is used as operation, external variables can be specified via the <variable/> element:

```
<query xmlns="http://basex.org/rest">
    <text>
        declare variable $x as xs:integer external;
        declare variable $y as xs:integer external;
        $x * $y
        </text>
        <variable name="x" value="21"/>
        <variable name="y" value="2"/>
        </query>
```

## **Content Type**

As the content type of a REST response cannot be dynamically determined in all cases, it can be manually adjusted by the user. The final content type of a REST response is chosen in several steps:

- 1. By default, the content type of a response depends on the chosen operation:
  - Query/Run → application/xml
  - Command  $\rightarrow$  text/plain
  - $Get \rightarrow application/xml$ , or content type of the addressed resource
- 2. The default content type is overwritten if a serialization method is specified, either as query parameter or within the XQuery expression. The following method/content-type mappings are available:

```
• xml → application/xml
```

- $xhtml \rightarrow text/html$
- html → text/html
- text  $\rightarrow$  text/plain
- raw  $\rightarrow$  application/octet-stream
- json or jsonml  $\rightarrow$  application/json
- 3. The content type is overwritten in any case if a specific media-type is chosen, again as query parameter or within the query.

The following three example requests will all return <a/> as result and use application/xml as content-type:

```
http://localhost:8984/rest?query=%3Ca/%3E
```

http://localhost:8984/rest?query=%3Ca/%3E&method=xml

http://localhost:8984/rest?query=%3Ca/%3E&media-type=application/xml

### **Usage Examples**

#### Java

#### **Authentication**

Most programming languages offer libraries to communicate with HTTP servers. The following example demonstrates how easy it is to perform a DELETE request with Java.

Basic access authentication can be activated in Java by adding an authorization header to the HttpURLConnection instance. The header contains the word Basic, which specifies the authentication method, followed by the Base64-encoded USER: PASSWORD pair. As Java does not include a default conversion library for Base64 data, the internal BaseX class org.basex.util.Base64 can be used for that purpose:

```
// The java URL connection to the resource.
URL url = new URL("http://admin:admin@localhost:8984/rest/factbook");
// Establish the connection to the URL.
HttpURLConnection conn = (HttpURLConnection) url.openConnection();
// Set as DELETE request.
conn.setRequestMethod("DELETE");
// User and password.
String user = "bob";
String pw ="alice";
// Encode user name and password pair with a base64 implementation.
String encoded = Base64.encode(user + ":" + pw);
// Basic access authentication header to connection request.
conn.setRequestProperty("Authorization", "Basic " + encoded);
// Print the HTTP response code.
System.out.println("HTTP response: " + conn.getResponseCode());
// Close connection.
conn.disconnect();
```

#### **Content-Types**

The content-type of the input can easily be included, just add the following property to the connection (in this example we explicitly store the input file as raw):

```
// store input as raw
conn.setRequestProperty("Content-Type", "application/octet-stream");
```

See the PUT Requests section for a description of the possible content-types.

Find Java examples for all methods here: GET <sup>[2]</sup>, POST <sup>[3]</sup>, PUT <sup>[4]</sup>, DELETE <sup>[5]</sup>.

#### **Command Line**

Tools such as the Linux commands Wget <sup>[6]</sup> or cURL <sup>[7]</sup> exist to perform HTTP requests (try copy & paste):

• curl -i "admin:admin@localhost:8984/rest/factbook?query=//city/name" POST

- curl -i -X POST -H "Content-Type: application/xml" -d "<query xmlns='http://basex.org/rest'><text>//city/name</text></query>" "admin:admin@localhost:8984/rest/factbook"
- curl -i -X POST -H "Content-Type: application/xml" -T query.xml "admin:admin@localhost:8984/rest/factbook"

#### PUT

- curl -i -X PUT -T "etc/xml/factbook.xml"
   "admin:admin@localhost:8984/rest/factbook"
- curl -i -X PUT -H "Content-Type: text/plain" -T "etc/xml/factbook.xml"
   "admin:admin@localhost:8984/rest/factbook"

#### **DELETE**

• curl -i -X DELETE "admin:admin@localhost:8984/rest/factbook"

# Changelog

Version 7.2

- Removed: direct evaluation of adresses resources with application/xquery as content type
- Version 7.1.1
- Added: options parameter for specifying database options

Version 7.1

- Added: PUT request: automatic conversion to XML if known content type is specified
- Version 7.0
- · REST API introduced, replacing the old JAX-RX API

#### References

- [1] http://en.wikipedia.org/wiki/Representational\_State\_Transfer
- [3] https://github.com/BaseXdb/basex-examples/tree/master/src/main/java/org/basex/examples/rest/RESTPost.java
- [4] https://github.com/BaseXdb/basex-examples/tree/master/src/main/java/org/basex/examples/rest/RESTPut.java
- [6] http://www.gnu.org/s/wget/
- [7] http://curl.haxx.se/

REST: POST Schema 240

# **REST: POST Schema**

The following schema is used from the REST API to validate POST requests:

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
           xmlns="http://basex.org/rest"
           targetNamespace="http://basex.org/rest">
 <xs:element name="query">
    <xs:complexType>
      <xs:sequence>
        <xs:element ref="text" minOccurs="1" maxOccurs="1"/>
        <xs:element ref="parameter" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="option" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="variable" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="context" minOccurs="0" maxOccurs="1"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:element name="run">
    <xs:complexType>
      <xs:sequence>
        <xs:element ref="text" minOccurs="1" maxOccurs="1"/>
        <xs:element ref="parameter" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="option" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="variable" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="context" minOccurs="0" maxOccurs="1"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:element name="command">
    <xs:complexType>
      <xs:sequence>
        <xs:element ref="text" minOccurs="1" maxOccurs="1"/>
        <xs:element ref="parameter" minOccurs="0" maxOccurs="unbounded"/>
        <xs:element ref="option" minOccurs="0" maxOccurs="unbounded"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:element name="text" type="xs:string"/>
  <xs:element name="option">
   <xs:complexType>
      <xs:attribute name="name" type="xs:string" use="required"/>
      <xs:attribute name="value" type="xs:string" use="required"/>
    </xs:complexType>
  </xs:element>
  <xs:element name="parameter">
```

REST: POST Schema 241

# WebDAV

This page is part of the Developer Section and belongs to the Web Application stack. It describes how to use the WebDAV file system interface.

BaseX offers access to the databases and documents using the WebDAV [1] protocol. WebDAV provides convenient means to access and edit XML documents by representing BaseX databases and documents in the form of a file system hierarchy.

By default, the WebDAV service is activated and is accessible at http://localhost:8984/webdav/. Generally it can be accessed using either with a http://<httphost>:<httpport>/webdav/ or webdav://<httphost>:<httpport>/webdav/ URL, depending on the used WebDAV client.

#### **Authorization**

The WebDAV service uses the database user credentials in order to perform authentication and authorization. If database user and password are explicitly specified when starting the BaseX HTTP Server using the corresponding startup options, WebDAV will not request additional user authentication from the client.

#### **WebDAV Clients**

Please check out the following tutorials to get WebDAV running on different operating systems and with oXygen:

- Windows 7
- · Windows XP
- Mac OSX 10.4+
- · GNOME and Nautilus
- KDE
- oXygen Editor

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## Changelog

Version 7.0

· WebDAV API introduced

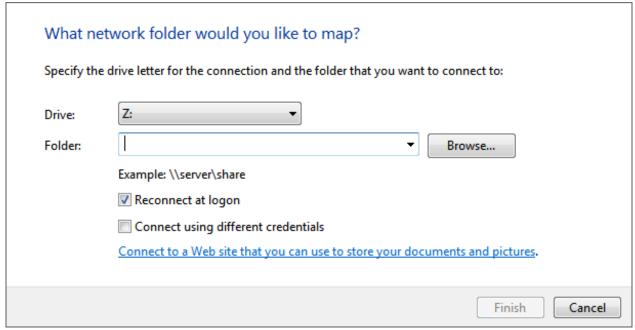
#### References

[1] http://en.wikipedia.org/wiki/Webdav

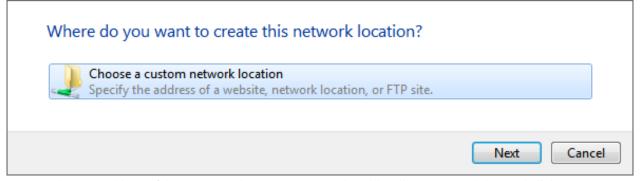
# WebDAV: Windows 7

This page belongs to the WebDAV page. It describes how to get the WebDAV API running with Windows 7.

- · Open the Explorer
- Open the "Map network drive..." dialog by right-clicking on "My Computer"
- Click on the link "Connect to a Web site that you can use to store your documents and pictures."

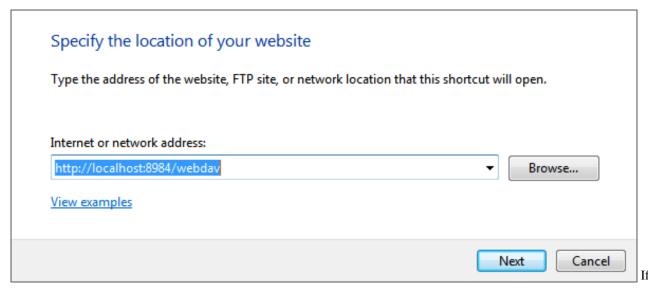


• Click "Next", select "Choose a custom network location" and click "Next" again.



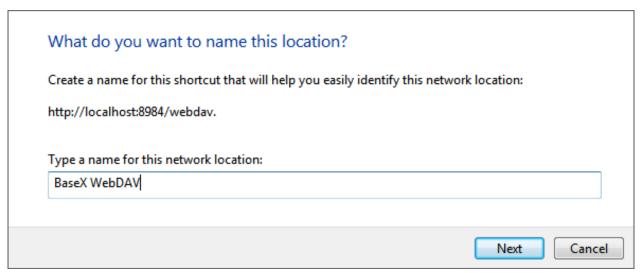
• Enter the URL address of the BaseX WebDAV Server (e.g. http://localhost:8984/webdav) and click "Next".

WebDAV: Windows 7

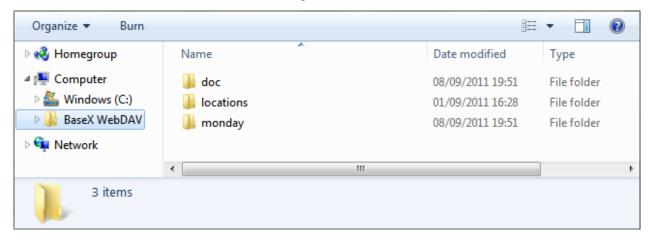


a message saying that the folder is not valid, this is because Microsoft WebClient is not configured to use Baseic HTTP authentication. Please check this Microsoft article <sup>[1]</sup> in order to enable Basic HTTP authentication.

• Enter a name for the network location and click "Next".



The BaseX WebDAV can be accessed from the Explorer window.



WebDAV: Windows 7

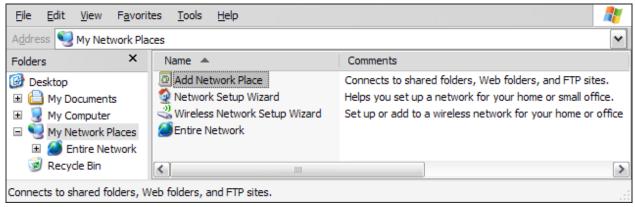
#### References

[1] http://support.microsoft.com/kb/928692/en

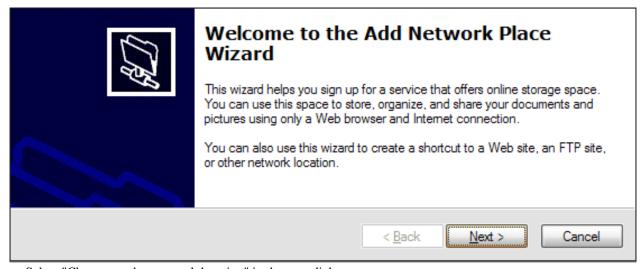
# WebDAV: Windows XP

This page belongs to the WebDAV page. It describes how to get the WebDAV API running with Windows XP.

• In the "My Network Places" view, double click on "Add Network Place":

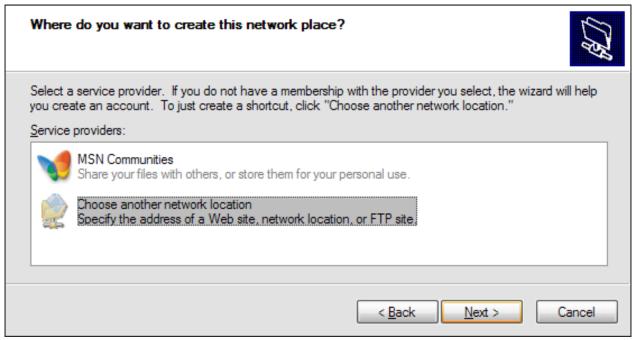


• Confirm the upcoming introductory dialog:

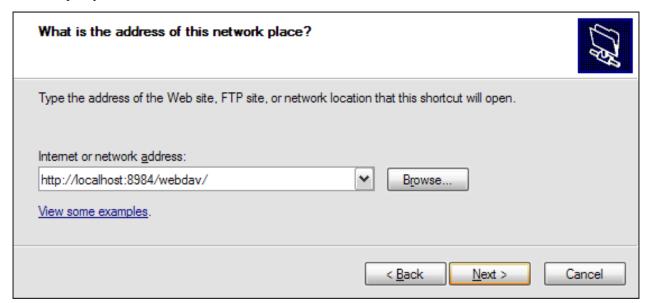


• Select "Choose another network location" in the next dialog:

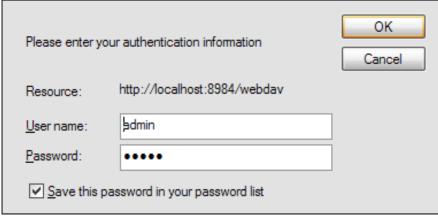
WebDAV: Windows XP 245



• Next, specify the BaseX WebDAV URL:



• Enter the user/password combination to connect to the WebDAV service:



• Assign a name to your WebDAV connection:

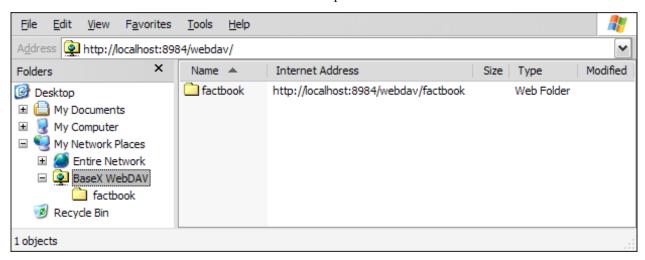
WebDAV: Windows XP 246



• Finish the wizard:



• You can now see all BaseX databases in the Windows Explorer:

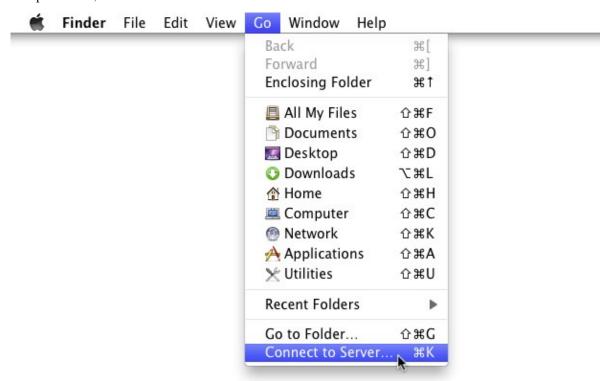


WebDAV: Mac OSX 247

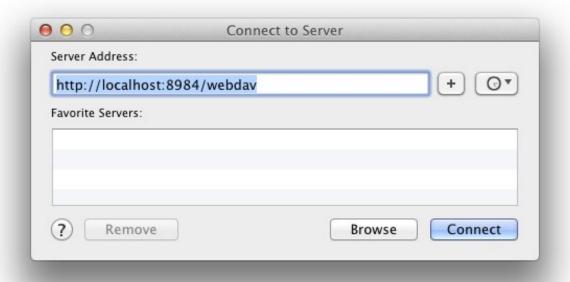
# WebDAV: Mac OSX

This page belongs to the WebDAV page. It describes how to get the WebDAV API running with Mac OS X 10.4+.

- Mac OS X supports WebDAV since 10.4/Tiger
- Open Finder, choose Go -> Connect to Server:



• Enter BaseX WebDAV URL (eg. http://localhost:8984/webdav) - do not use webdav://-scheme! Press Connect:

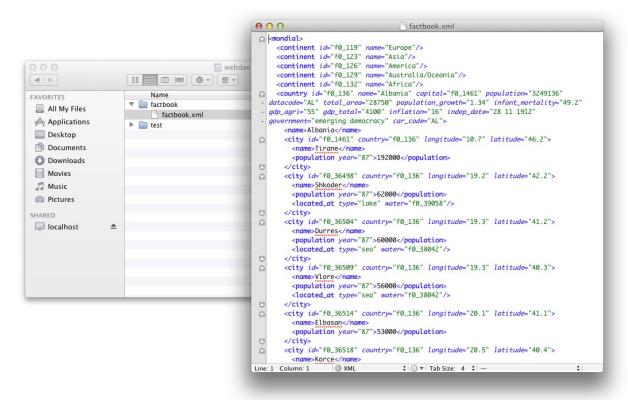


WebDAV: Mac OSX 248

• Enter the user credentials:



• That's it, now the databases can be browsed:

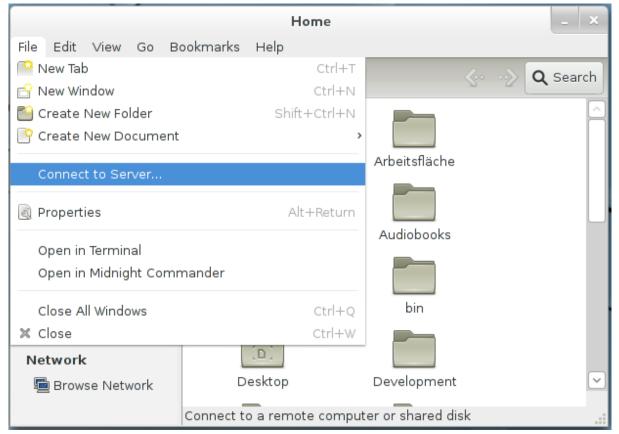


WebDAV: GNOME 249

## **WebDAV: GNOME**

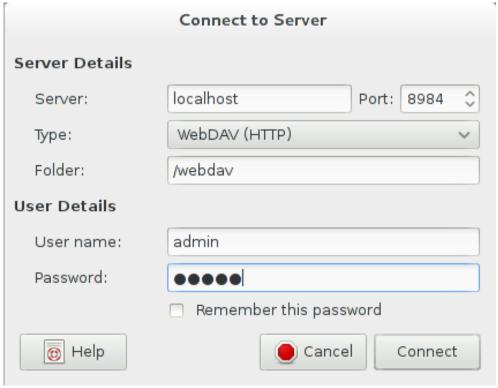
This page belongs to the WebDAV page. It describes how to get the WebDAV API running with GNOME and Nautilus.

• In Nautilus choose File -> Connect to Server:

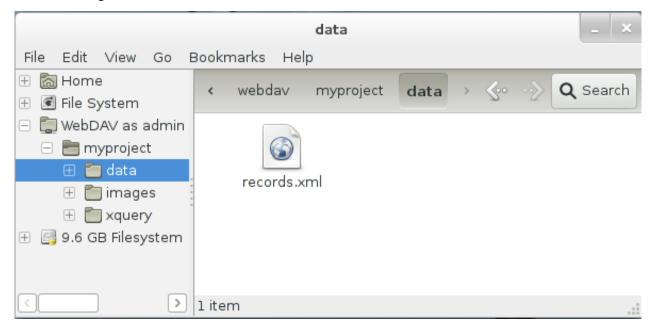


• Choose "WebDAV (HTTP)" from the "Type" drop-down and enter the server address, port and user credentials:

WebDAV: GNOME 250



• After clicking "Connect" the databases can be browsed:

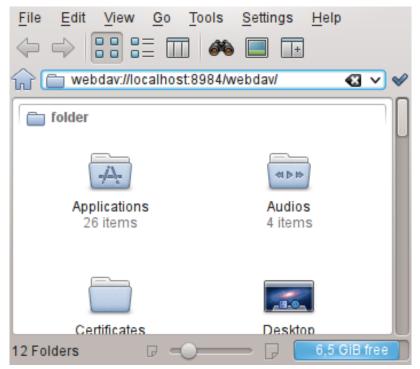


WebDAV: KDE 251

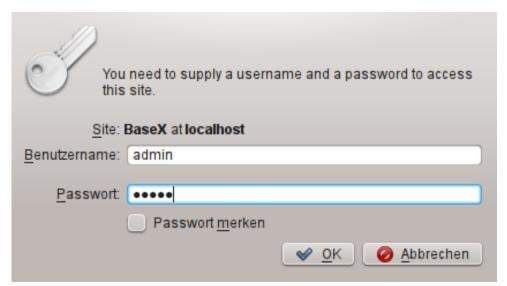
## WebDAV: KDE

This page belongs to the WebDAV page. It describes how to get the WebDAV API running with KDE.

• KDE SC provides two file managers - Dolphin and Konqueror, which both support WebDAV using the "webdav://" URL prefix. Start Dolphin or Konqueror and enter the BaseX WebDAV URL (eg. webdav://localhost:8984/webdav):

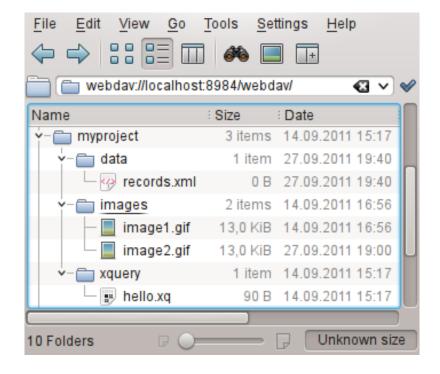


• Enter the user credentials:



• After clicking "OK" the databases can be browsed:

WebDAV: KDE 252



## Client APIs

## **Clients**

This page is part of the Developer Section. It describes how to use BaseX from other programming languages.

With the following light-weight bindings in different programming languages, you will be able to connect to a running BaseX server instance, execute database commands, perform queries or listen to events. Most clients offer the following two classes:

- · Standard Mode: connecting to a server, sending commands
- Query Mode: defining queries, binding variables, iterative evaluation

Please have a look at our Server Protocol for more information on the clients and the underlying protocol.

Bindings for other languages are easy to write; your contributions are welcome.

Currently, we offer bindings for the following programming languages:

```
Object oriented
```

```
C# <sup>[1]</sup>, VB <sup>[2]</sup>, Scala <sup>[3]</sup>, Java <sup>[4]</sup>
Scala <sup>[5]</sup>: contributed by Manuel Bernhardt
ActionScript <sup>[6]</sup>: contributed by Manfred Knobloch
```

### Scripting

```
Perl <sup>[7]</sup>
PHP <sup>[8]</sup> (example)
Python 3.x, 2.7.3 <sup>[9]</sup>: contributed by Hiroaki Itoh
Python < 2.7 <sup>[10]</sup>: improved by Arjen van Elteren
Rebol <sup>[11]</sup>: contributed by Sabu Francis
Ruby <sup>[12]</sup>
```

#### Functional

Haskell <sup>[13]</sup>: contributed by Leo Wörteler Lisp <sup>[14]</sup>: contributed by Andy Chambers

#### Others

```
node.js <sup>[15]</sup>: contributed by Andy Bunce Qt <sup>[16]</sup>: contributed by Hendrik Strobelt C <sup>[17]</sup>
```

Many of the interfaces contain the following files:

- BaseXClient contains the code for creating a session, sending and executing commands and receiving results.

  An inner Query class facilitates the binding of external variables and iterative query evaluation.
- Example demonstrates how to send database commands.
- QueryExample shows you how to evaluate queries in an iterative manner.
- QueryBindExample shows you how to bind a variable to your query and evaluates the query in an iterative manner.
- CreateExample shows how new databases can be created by using streams.

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- AddExample shows how documents can be added to a database by using streams.
- EventExample demonstrates how to watch and unwatch Events.

### References

- [1] https://github.com/BaseXdb/basex-api/tree/master/src/main/c%23
- [2] https://github.com/BaseXdb/basex-api/tree/master/src/main/vb
- [3] https://github.com/BaseXdb/basex-api/tree/master/src/main/scala
- [4] https://github.com/BaseXdb/basex-examples/tree/master/src/main/java/org/basex/examples/api
- [5] https://github.com/delving/basex-scala-client
- [6] https://github.com/BaseXdb/basex-api/tree/master/src/main/as
- [7] https://github.com/BaseXdb/basex-api/tree/master/src/main/perl
- [8] https://github.com/BaseXdb/basex-api/tree/master/src/main/php
- [9] https://github.com/BaseXdb/basex-api/tree/master/src/main/python3
- [10] https://github.com/BaseXdb/basex-api/tree/master/src/main/python
- [11] https://github.com/BaseXdb/basex-api/tree/master/src/main/rebol
- [12] https://github.com/BaseXdb/basex-api/tree/master/src/main/ruby
- [13] https://github.com/BaseXdb/basex-api/tree/master/src/main/haskell
- [14] https://github.com/BaseXdb/basex-api/tree/master/src/main/lisp
- [15] https://github.com/apb2006/basex-node
- [16] https://github.com/BaseXdb/basex-api/tree/master/src/main/qt
- [17] https://github.com/BaseXdb/basex-api/tree/master/src/main/c

## Standard Mode

In the standard mode of the Clients, a database command can be sent to the server using the execute() function of the Session. This functions returns the whole result. With the info() function, you can request some information on your executed process. If an error occurs, an exception with the error message will be thrown.

### **Usage**

The standard execution works as follows:

- 1. Create a new session instance with hostname, port, username and password.
- 2. Call the execute () function of the session with the database commands as argument.
- 3. Receive the result of a successfully executed command. If an error occurs, an exception is thrown.
- 4. Optionally, call info() to get some process information
- 5. Continue using the client (back to 2.), or close the session.

### **Example in PHP**

Taken from our repository [1]:

```
<?php
/*
 * This example shows how database commands can be executed.
 * Documentation: http://basex.org/api
 *
 * (C) BaseX Team 2005-11, BSD License
 */
include("BaseXClient.php");

try {
</pre>
```

Standard Mode 255

```
// initialize timer
$start = microtime(true);

// create session
$session = new Session("localhost", 1984, "admin", "admin");

// perform command and print returned string
print $session->execute("xquery 1 to 10");

// close session
$session->close();

// print time needed
$time = (microtime(true) - $start) * 1000;
print "\n$time ms\n";

} catch (Exception $e) {
    // print exception
    print $e->getMessage();
}
?>
```

### References

 $[1] \ https://github.com/BaseXdb/basex-api/blob/master/src/main/php/Example.php$ 

Query Mode 256

## **Query Mode**

The query mode of the Clients allows you to bind external variables to a query and evaluate the query in an iterative manner. The query () function of the Session instance returns a new query instance.

### **Usage**

The query execution works as follows:

- 1. Create a new session instance with hostname, port, username and password.
- 2. Call query () with your XQuery expression to get a query object.
- 3. Optionally bind variables to the query with one of the bind () functions.
- 4. Optionally bind a value to the context item via context ().
- 5. Iterate through the query object with the more () and next () functions.
- 6. As an alternative, call execute () to get the whole result at a time.
- 7. info() gives you information on query evaluation.
- 8. options () returns the query serialization parameters.
- 9. Don't forget to close the query with close ().

### **PHP Example**

Taken from our repository [1]:

```
<?php
* This example shows how queries can be executed in an iterative manner.
* Documentation: http://basex.org/api
 * (C) BaseX Team 2005-11, BSD License
 */
include("BaseXClient.php");
try {
 // create session
 $session = new Session("localhost", 1984, "admin", "admin");
 try {
   // create query instance
    $input = 'declare variable $name external; '.
      'for $i in 1 to 10 return element { $name } { $i }';
    $query = $session->query($input);
    // bind variable
   $query->bind("$name", "number");
    // print result
   print $query->execute()."\n";
    // close query instance
```

Query Mode 257

```
$query->close();

} catch (Exception $e) {
    // print exception
    print $e->getMessage();
}

// close session
$session->close();

} catch (Exception $e) {
    // print exception
    print $e->getMessage();
}

?>
```

## Changelog

Version 7.2

• Added: context() function

### References

[1] https://github.com/BaseXdb/basex-api/blob/master/src/main/php/QueryBindExample.php

## PHP Example

This page is referenced from the Clients page. It demonstrates how database commands and XQuery can be executed on a database server with a PHP client. The results of the query are stored into a DOM document and can be processed in several ways.

## Requirements

- BaseXClient [1]: BaseX PHP Client
- DOMExample <sup>[2]</sup>: Example used in this tutorial
- any PHP Server, such as XAMPP [3]

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## **Setting up**

- · Install and start XAMPP, or choose a PHP Server of your own
- Copy BaseXClient.php and DOMExample.php to the XAMPP folder or upload it to your webserver

### **Usage**

- 1. Start a Database Server instance on your local or a remote machine. Make sure the host and port settings in DOMExample.php are correct.
- 2. Call DOMExample.php in a web browser of your choice.
- 3. Look at the DOM document [4] on the PHP documentation for further information on the DOM document functions.
- 4. Open DOMExample.php in an editor and edit it for your own needs.

### References

- [1] https://github.com/BaseXdb/basex-api/blob/master/src/main/php/BaseXClient.php
- [2] https://github.com/BaseXdb/basex-api/blob/master/src/main/php/DOMExample.php
- [3] http://www.apachefriends.org/en/xampp.html
- [4] http://php.net/manual/en/class.domdocument.php

## Server Protocol

This page presents the classes and functions of the BaseX Clients, and the underlying protocol, which is utilized for communicating with the database server.

### Workflow

- First of all, a BaseX database server must be running, which will process the client requests.
- Each client provides a session class or script with methods to connect to and communicate with the database server. A socket connection will be established by the constructor, which expects a host, port, user name and password as arguments.
- The execute() method is called to launch a database command. It returns the result or throws an exception with the received error message.
- The query() method creates a query instance. Variables can be bound to that object, and the result can either be requested via execute(), or in an iterative manner with the more() and next() functions. If an error occurs, an exception will be thrown.
- The create(), add(), replace() and store() method pass on input streams to the corresponding database commands.
- To speed up execution, an output stream can be specified by some clients; this way, all results will be directed to that output stream.
- Most clients are accompanied by some example files, which demonstrate how database commands can be executed or how queries can be evaluated.

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### **Class Structure**

#### Session

• Create and return session with host, port, user name and password:

Session(String host, int port, String name, String password)

• Execute a command and return the result:

String execute(String command)

• Return a query object for the specified query:

Query query (String query)

• Create a database from an input stream:

void create(String name, InputStream in)

• Add a document to the current database from an input stream:

void add(String path, InputStream in)

• Replace a document with the specified input stream:

void replace(String path, InputStream in)

• Store raw data at the specified path:

void store(String path, InputStream in)

• Watch the specified event:

void watch(String name, Event notifier)

• Unwatch the specified event:

void unwatch(String name)

• Return process information:

String info()

· Close the session:

void close()

#### Query

• Create query object with session and query:

Query (Session s, String query)

• Bind an external variable:

void bind(String name, String value, String type). The type can be an empty string.

• Bind the context item:

void context (String value, String type). The type can be an empty string.

• Execute the query and return the result:

String execute()

• Iterator: check if a query returns more items:

boolean more()

• Iterator: return the next item:

String next()

• Return query information:

String info()

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• Return serialization parameters:

```
String options()
```

• Return if the query may perform updates:

```
boolean updating()
```

• Close the query:

```
void close()
```

### **Transfer Protocol**

All Clients use the following client/server protocol to communicate with the server. The description of the protocol is helpful if you want to implement a new client.

### **General Syntax**

- \x: single byte.
- { . . . }: utf8 strings or raw data, suffixed with a \ 0 byte. To avoid confusion with the suffix byte, all \ 0 and \ FF bytes that occur in raw data will be prefixed with \ FF.

### Authentication (via cram-md5 [1])

- 1. Client connects to server socket
- 2. Server sends timestamp:

```
{timestamp}
```

3. Client sends username and hashed password/timestamp:

```
{username} {md5(md5(password) + timestamp)}
```

4. Server replies with  $\ 0 \ (success) \ or \ 1 \ (error)$ 

#### **Command Protocol**

The following byte sequences are sent and received from the client (please note that a specific client need not support all of the presented commands):

Command	Client Request	Server Response	Description
COMMAND	{command}	{result} {info} \0	Executes a database command.
QUERY	\0 {query}	{id} \0	Creates a new query instance and returns its id.
CREATE	\8 {name} {input}	{info} \0	Creates a new database with the specified input (may be empty).
ADD	<pre>\9 {name} {path} {input}</pre>	{info} \0	Adds a new resource to the opened database.
WATCH	\10 {name}	{info} \0	Registers the client for the specified event.
UNWATCH	\11 {name}	{info} \0	Unregisters the client.
REPLACE	\12 {path} {input}	{info} \0	Replaces a resource with the specified input.
STORE	\13 {path} {input}	{info} \0	Stores a binary resource in the opened database.
error		{beginning of result} {error}	Error feedback.

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### **Query Command Protocol**

Queries are referenced via an id, which has been returned by the QUERY command (see above):

Query Command	Client Request	Server Response	Description
CLOSE	\2 {id}	\0 \0	Closes and unregisters the query with the specified id.
BIND	<pre>\3 {id} {name} {value} {type}</pre>	\0 \0	Binds a value to a variable. An empty string can be specified as data type.
RESULTS	\4 {id}	\x {item} \x {item} \0	Returns all resulting items as strings, prefixed by a Type byte ( $\xspace \times$ ).
EXECUTE	\5 {id}	{result} \0	Executes the query and returns all results as a UTF8 string.
INFO	\6 {id}	{result} \0	Returns a query info string.
OPTIONS	\7 {id}	{result} \0	Returns a string with all query serialization parameters.
CONTEXT	\14 {id} {value} {type}	\0 \0	Binds a value to the context item. An empty string can be specified as data type.
UPDATING	\30 {id}	{result} \0	Returns true if the query may perform updates; false otherwise.
FULL	\31 {id}	<pre>XDM {item} XDM {item} \0</pre>	Returns all resulting items as strings, prefixed by XDM Meta Data (see below).
error		{beginning of result} \1 {error}	Error feedback.

#### **XDM Meta Data**

In most cases, the XDM meta data boils down to a single byte, which represents the node kind or item type. There are three exceptions: document-node(), attribute() and xs:QName items are followed by an additional {URI} string.

### **Examples**

- Java client [2]
- C# client [3]
- Python client [4]
- Perl client <sup>[5]</sup>

## Changelog

Version 7.2

- · Added: Query Commands CONTEXT, UPDATING and FULL
- Added: Client function context (String value, String type)

### References

- [1] http://tools.ietf.org/html/rfc2195
- [2] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/api/BaseXClient.java
- $[3] \ https://github.com/BaseXdb/basex-api/blob/master/src/main/c\%23/BaseXClient.cs$
- [4] https://github.com/BaseXdb/basex-api/blob/master/src/main/python/BaseXClient.py
- $[5] \ https://github.com/BaseXdb/basex-api/blob/master/src/main/perl/BaseXClient.pm$

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# **Server Protocol: Types**

The following table lists all node kinds and item types that are returned by the Server Protocol. Currently, all node kinds are of type xs:untypedAtomic:

ID	Node Kind/Item Type	Type
7	Function item	function
8	node()	node
9	text()	node
10	processing-instruction()	node
11	element()	node
12	document-node()	node
13	document-node(element())	node
14	attribute()	node
15	comment()	node
32	item()	atomic value
33	xs:untyped	atomic value
34	xs:anyType	atomic value
35	xs:anySimpleType	atomic value
36	xs:anyAtomicType	atomic value
37	xs:untypedAtomic	atomic value
38	xs:string	atomic value
39	xs:normalizedString	atomic value
40	xs:token	atomic value
41	xs:language	atomic value
42	xs:NMTOKEN	atomic value
43	xs:Name	atomic value
44	xs:NCName	atomic value
45	xs:ID	atomic value
46	xs:IDREF	atomic value
47	xs:ENTITY	atomic value
48	xs:float	atomic value
49	xs:double	atomic value
50	xs:decimal	atomic value
51	xs:precisionDecimal	atomic value
52	xs:integer	atomic value
53	xs:nonPositiveInteger	atomic value
54	xs:negativeInteger	atomic value
55	xs:long	atomic value
56	xs:int	atomic value

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	xs:short	atomic value
58	xs:byte	atomic value
59	xs:nonNegativeInteger	atomic value
60	xs:unsignedLong	atomic value
61	xs:unsignedInt	atomic value
62	xs:unsignedShort	atomic value
63	xs:unsignedByte	atomic value
64	xs:positiveInteger	atomic value
65	xs:duration	atomic value
66	xs:yearMonthDuration	atomic value
67	xs:dayTimeDuration	atomic value
68	xs:dateTime	atomic value
69	xs:dateTimeStamp	atomic value
70	xs:date	atomic value
71	xs:time	atomic value
72	xs:gYearMonth	atomic value
73	xs:gYear	atomic value
74	xs:gMonthDay	atomic value
75	xs:gDay	atomic value
76	xs:gMonth	atomic value
77	xs:boolean	atomic value
78	basex:binary	atomic value
79	xs:base64Binary	atomic value
80	xs:hexBinary	atomic value
81	xs:anyURI	atomic value
82	xs:QName	atomic value
83	xs:NOTATION	atomic value

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## **Java Examples**

This page is part of the Developer Section. The following Java code snippets demonstrate how easy it is to run database commands, create collections, perform queries, etc. via the BaseX API. Most examples are taken from our basex-examples <sup>[1]</sup> repository, in which you will find some more use cases.

Our current XQJ API is based on Charles Foster's XQJ implementation <sup>[2]</sup>, which fully utilized the client/server architecture of BaseX. Note that the older XML:DB API can only be used in embedded mode.

### **Local Examples**

RunCommands.java [3]

creates and drops database and index instances, prints a list of all existing databases.

WikiExample.java [4]

creates a database from an url (wiki instance), runs a query against it and drops the database.

RunQueries.java [5]

shows three variants of running queries.

CreateCollection.java [6]

creates and manages a collection.

QueryCollection.java [7]

creates, runs queries against it and drops a collection.

### **Server Examples**

ServerCommands.java [8]

launches server-side commands using a client session.

ServerAndLocal.java [9]

processes server results locally.

ServerConcurrency.java [10]

runs concurrent queries.

UserExample.java [11]

manages database users.

### **REST API**

RESTGet.java [12]

presents the HTTP GET method.

RESTPost.java [13]

presents the HTTP POST method.

RESTPut.java [14]

presents the HTTP PUT method.

RESTDelete.java [15]

presents the HTTP DELETE method.

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### **XML:DB API (deprecated)**

XMLDBCreate.java [16]

creates a collection using XML:DB.

XMLDBQuery.java [17]

runs a query using XML:DB.

XMLDBInsert.java [18]

inserts a document into a database using XML:DB.

### References

- [1] https://github.com/BaseXdb/basex-examples/tree/master/src/main/java/org/basex/examples
- [2] http://xqj.net/basex/
- [3] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/query/RunCommands.java
- [4] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/WikiExample.java
- [5] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/query/RunQueries.java
- [6] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/query/CreateCollection.java
- [7] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/query/Query/Collection.java
- [8] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/server/ServerCommands.java
- [9] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/server/ServerAndLocal.java
- [10] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/server/ServerConcurrency.java
- [11] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/UserExample.java
- [12] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/rest/RESTGet.java
- [13] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/rest/RESTPost.java
- [14] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/rest/RESTPut.java
- [15] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/rest/RESTDelete.java
- [16] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/xmldb/XMLDBCreate.java
- $[17] \ https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/xmldb/XMLDBQuery.java/org/basex/examples/xmldb/xml$
- [18] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/xmldb/XMLDBInsert.java

## Advanced User's Guide

## **Advanced User's Guide**

This page is one of the Main Sections of the documentation. It contains details on the BaseX storage and the Server architecture, and presents some more GUI features.

### **Storage**

- Configuration: BaseX start files and directories
- Indexes: Available index structures and their utilization
- · Backups: Backup and restore databases
- Catalog Resolver Information on entity resolving
- · Storage Layout: How data is stored in the database files

### **Use Cases**

- Statistics: Exemplary statistics on databases created with BaseX
- Twitter: Storing live tweets in BaseX

### **Server and Query Architecture**

- User Management: User management in the client/server environment
- Transaction Management: Insight into the BaseX transaction management
- Logging: Description of the server logs
- Events: Description of the event feature
- · Execution Plan: Analyzing query evaluation

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## Configuration

This article is part of the Advanced User's Guide. It gives some more insight into the configuration of BaseX.

### **Configuration Files**

BaseX maintains some configuration files, which are stored in the project's Home Directory:

- .basex contains all options that are relevant for running the server or standalone versions of BaseX.
- .basexgui defines all options relevant to the BaseX GUI.
- .basexperm contains user name, passwords, and permissions (see last paragraph).
- .basexevents contains all existing events (see Events).
- .basexhistory contains commands that have been typed in most recently.

Note that, depending on your OS and configuration, files and folders with a '.' prefix may be hidden.

### **Home Directory**

As BaseX is distributed in different flavors, and may be started from different locations, it dynamically determines its home directory:

- First, the system property "org.basex.path" is checked. If it contains a value, it is chosen as directory path.
- If not, the current user directory (defined by the system property "user.dir") is chosen if the .basex configuration file is found in this directory.
- Otherwise, the configuration file is searched in the **application directory** (the folder in which the project is located).
- In all other cases, the user's home directory (defined in "user.home") is chosen.

### **Database Directory**

A database in BaseX consists of several files, which are all bundled in a folder with the database name. If the user's home directory has been chosen as base directory, the database folders will be stored in a BaseXData directory. Otherwise, the directory will be named datda.

The database path can be changed as follows:

- GUI: Choose *Options* → *Preferences* and choose a new database path.
- Server/Standalone: SET DBPATH [path]

Note: Existing databases will not be automatically moved to the new destination.

### **User and Log Files**

Global users, along with their passwords and permissions, are stored in the .basexperm file in the home directory. Local users and permissions are stored inside the database files. Log files are stored in text format in the home directory BaseXData/.logs (see Logging for more information).

## **Indexes**

This article is part of the Advanced User's Guide and introduces the available index structures, which are utilized by the query optimizer to rewrite expressions and speed up query evaluation.

Nearly all examples in this article are based on the factbook.xml <sup>[3]</sup> document. To see how a query is rewritten, please turn on the Info View in the GUI or use the -V flag on command line.

## **Structural Indexes**

Structural indexes will always be present and cannot be dropped by the user:

### **Name Index**

The name index contains all element and attribute names of a database, and the fixed-size index ids are stored in the main database table. If a database is updated, new names are automatically added. Furthermore, the index is enriched with statistical information, such as the distinct (categorical) or minimum and maximum values of its elements and attributes. The maximum number of categories to store per name can be changed via MAXCATS. The statistics are discarded after database updates and can be recreated with the OPTIMIZE command.

The name index is e.g. applied to pre-evaluate location steps that will never yield results:

```
(: will be rewritten to an empty sequence :)
/non-existing-name
```

The contents of the name indexes can be directly accessed via the XQuery functions index:element-names() and index:attribute-names().

### **Path Index**

The path index (also called *path summary*) stores all distinct paths of the documents in the database. It contains the same statistical information as the name index. The statistics are discarded after database updates and can be recreated with the OPTIMIZE command.

The path index is applied to rewrite descendant steps to multiple child steps. Child steps can be evaluated faster, as less nodes have to be accessed:

```
doc('factbook.xml')//province,
(: ...will be rewritten to...:)
doc('factbook.xml')/mondial/country/province
```

The paths statistics are e.g. used to pre-evaluate the count () function:

```
(: will be rewritten and pre-evaluated by the path index :)
count( doc('factbook')//country )
```

The contents of the path index can be directly accessed via the XQuery function index:facets().

### **Resource Index**

The resource index contains references to the pre values of all XML document nodes. It speeds up the access to specific documents in a database, and it will be automatically updated when updates are performed.

The following query will be sped up by the resource index:

```
db:open('DatabaseWithLotsOfDocuments')
```

### Value Indexes

Value indexes can be optionally created and dropped by the user. The text and attribute index will be created by default:

### **Text Index**

### **Exact Queries**

This index speeds up string-based equality tests on text nodes. The UPDINDEX option can be activated to keep this index up-to-date.

The following queries will all be rewritten for index access:

```
(: 1st example :)
//*[text() = 'Germany'],
(: 2nd example :)
doc('factbook.xml')//name[. = 'Germany'],
(: 3rd st example :)
for $c in db:open('factbook')//country
where $c//city/name = 'Hanoi'
return $c/name
```

Text nodes can be directly accessed from the index via the XQuery function db:text(). The contents of the index can be accessed via index:texts().

#### **Range Queries**

The text index also supports range queries based on string comparisons:

```
(: 1st example :)
db:open('Library')//Medium[Year >= '2005' and Year <= '2007'],
    (: 2nd example :)
let $min := '2011-04-16T00:00:00'
let $max := '2011-04-19T23:59:59'
return db:open('news')//entry[date-time > $min and date-time < $max]</pre>
```

Text nodes can be directly accessed from the index via the XQuery function db:text-range().

Please note that the current index structures do not support queries for numbers and dates.

### **Attribute Index**

Similar to the text index, this index speeds up string-based equality and range tests on attribute values. The UPDINDEX option can be activated to keep this index up-to-date.

The following queries will all be rewritten for index access:

```
(: 1st example :)
//country[@car_code = 'J'],
(: 2nd example :)
//province[@* = 'Hokkaido']//name,
(: 3rd example :)
//sea[@depth > '2100' and @depth < '4000']</pre>
```

Text nodes can be directly accessed from the index via the XQuery functions db:attribute() and db:attribute-range(). The contents of the index can be accessed via index:attributes().

### **Full-Text Index**

The Full-Text index speeds up queries using the contains text expression. Internally, two index structures are provided: the default index sorts all keys alphabetically by their character length. It is particularly fast if fuzzy searches are performed. The second index is a compressed trie structure, which needs slightly more memory, but is specialized on wildcard searches. Both index structures will be merged in a future version of BaseX.

The following queries are examples for expressions that will be optimized for index access (provided that the relevant index exists in a particular database):

If the full-text index exists, the following queries will all be rewritten for index access:

```
(: 1st example :)
//country/name[text() contains text 'and'],
(: 2nd example :)
//religions[. contains text { 'Catholic', 'Roman' }
    using case insensitive distance at most 2 words]
```

Text nodes can be directly accessed from the index via the XQuery function db:fulltext(). The Full-Text Module contains additional functions for retrieving index data.

## **Updates**

By default, index structures are discarded after an update operation, and their maintenance is left to the user.

After the execution of update operations, the OPTIMIZE command or the db:optimize function can be called to rebuild the index structures. This way, multiple update operations will be performed faster, as the database meta data is only updated and regenerated once in the updating process.

As an alternative, incremental indexing can be turned on for the text and attribute value index: the UPDINDEX option must be activated before creating a new database. Note that, even with this option, the update of the path and fulltext index will have to be manually triggered.

## Changelog

Version 7.2.1

· Added: string-based range queries

## **Backups**

This page is part of the Advanced User's Guide. The following two paragraphs demonstrate how to create a backup and restore the database within BaseX.

### **GUI Example**

- 1. Start the BaseX GUI and create a new database in  $Database \rightarrow New...$  with your XML document.
- 2. Go to *Database* → *Manage*... and create a backup of your database. The backup will be created in the database directory.
- 3. Go to  $Database \rightarrow Add...$  and add another document.
- 4. Go to *Database* → *Manage*... and restore your database. The database will be restored from the latest backup of to the database found in the database directory.

### **Console Example**

- 1. Start the BaseX Standalone client from a console.
- 2. Create a new database via the CREATE DB command.
- 3. Use the CREATE BACKUP command to back up your database.
- 4. Add a new document via ADD: ADD AS newdoc.xml <newdoc/>
- 5. Use the RESTORE command to restore the original database.
- 6. Type in XQUERY / to see the restored database contents.

The same commands can be used with a BaseX client connected to a remote Database Server.

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## **Catalog Resolver**

This article is part of the Advanced User's Guide. It clarifies how to deal with external DTD declarations when parsing XML data.

### Overview

XML documents often rely on Document Type Definitions (DTDs). While parsing a document with BaseX, entities can be resolved with respect to that particular DTD. By default, the DTD is only used for entity resolution.

XHTML, for example, defines its doctype via the following line:

```
<!DOCTYPE html PUBLIC "-//W3C//DTD XHTML 1.0 Strict//EN" "http://www.w3.org/TR/xhtml1/DTD/xhtml1-strict.dtd">
```

Fetching xhtml1-strict.dtd obviously involves network traffic. When dealing with single files, this may seem tolerable, but importing large collections benefits from caching these resources. Depending on the remote server, you will experience significant speed improvements when caching DTDs locally.

### XML Entity and URI Resolvers

BaseX comes with a default URI resolver that is usable out of the box.

To enable entity resolving you have to provide a valid XML Catalog file, so that the parser knows where to look for mirrored DTDs.

A simple working example for XHTML might look like this:

```
<?xml version="1.0"?>

<catalog prefer="system" xmlns="urn:oasis:names:tc:entity:xmlns:xml:catalog">

        <rewriteSystem systemIdStartString="http://www.w3.org/TR/xhtml1/DTD/" rewritePrefix="file:///path/to/dtds/" />
        </catalog>
```

This rewrites all systemIds starting with: http://www.w3.org/TR/xhtml1/DTD/ to file:///path/to/dtds/.

The XHTML DTD xhtml1-strict.dtd and all its linked resources will now be loaded from the specified path.

#### **GUI Mode**

When running BaseX in GUI mode, simply provide the path to your XML Catalog file in the *Parsing* Tab of the Database Creation Dialog.

#### **Console & Server Mode**

To enable Entity Resolving in Console Mode, specify the following options:

```
• SET CATFILE [path]
```

Now entity resolving is active for the current session. All subsequent ADD commands will use the catalog file to resolve entities.

The **paths** to your catalog file and the actual DTDs are either absolute or relative to the *current working directory*. When using BaseX in Client-Server-Mode, this is relative to the *server's* working directory.

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### **Please Note**

Entity resolving only works if the internal XML parser is switched off (which is the default case). If you use the internal parser, you can manually specify whether you want to parse DTDs and entities or not.

### **Using other Resolvers**

There might be some cases when you do not want to use the built-in resolver that Java provides by default (via com.sun.org.apache.xml.internal.resolver.\*).

BaseX offers support for the Apache-maintained XML Commons Resolver [1], available for download here [2].

To use it add resolver.jar to the classpath when starting BaseX:

```
java -cp basex.jar:resolver.jar org.basex.BaseXServer
```

### **More Information**

- Wikipedia on Document Type Definitions [3]
- Apache XML Commons Article on Entity Resolving [4]
- XML Entity and URI Resolvers [5], Sun
- XML Catalogs. OASIS Standard, Version 1.1. 07-October-2005. [6]

### References

- [1] http://xml.apache.org/commons
- [2] http://xerces.apache.org/mirrors.cgi
- [3] http://en.wikipedia.org/wiki/Document\_Type\_Definition
- [4] http://xml.apache.org/commons/components/resolver/resolver-article.html
- [5] http://java.sun.com/webservices/docs/1.6/jaxb/catalog.html
- [6] http://www.oasis-open.org/committees/download.php/14810/xml-catalogs.pdf

Storage Layout 274

## **Storage Layout**

This article is part of the Advanced User's Guide. It presents some low-level details on how data is stored in the database files.

## **Data Types**

The following data types are used for specifying the storage layout:

Туре	Description	Example (native → hex integers)
Num	Compressed integer (1-5 bytes), specified in Num.java [1]	15 → 0F; 511 → 41 FF
Token	Length (Num) and bytes of UTF8 byte representation	Hello → 05 48 65 6c 6c 6f
Double	Number, stored as token	123 → 03 31 32 33
Boolean	Boolean (1 byte, 00 or 01)	true $\rightarrow$ 01
Nums, Tokens, Doubles	Arrays of values, introduced with the number of entries	1,2 → 02 01 31 01 32
TokenSet	Key array (Tokens), next/bucket/size arrays (3x Nums)	

## **Database Files**

The following tables illustrate the layout of the BaseX database files. All files are suffixed with .basex.

## Meta Data, Name/Path/Doc Indexes: inf

Description	Format	Method
1. Meta Data	1. Key/value pairs, in no particular order (Token/Token):  • Examples: FNAME, TIME, SIZE,  • PERM → Number of users (Num), and name/password/permission values for each user (Token/Token/Num)  2. Empty key as finalizer	DiskData() [2] MetaData() [3] Users() [4]
2. Main memory indexes	<ol> <li>Key/value pairs, in no particular order (Token/Token):</li> <li>TAGS → Tag Index</li> <li>ATTS → Attribute Name Index</li> <li>PATH → Path Index</li> <li>NS → Namespaces</li> <li>DOCS → Document Index</li> <li>Empty key as finalizer</li> </ol>	DiskData() <sup>[2]</sup>
2 a) Name Index Tag/attribute names	1. Token set, storing all names (TokenSet) 2. One StatsKey instance per entry: 2.1. Content kind (Num): 2.1.1. Number: min/max (Doubles) 2.1.2. Category: number of entries (Num), entries (Tokens) 2.2. Number of entries (Num) 2.3. Leaf flag (Boolean) 2.4. Maximum text length (Double; legacy, could be Num)	Names() [5] TokenSet.read() [6] StatsKey() [7]

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2 b) Path Index	1. Flag for path definition (Boolean, always true; legacy) 2. PathNode:	PathSummary() [8]
	2.1. Name reference (Num)	PathNode() [9]
	2.2. Node kind (Num)	PauliNode()
	2.3. Number of occurrences (Num)	
	2.4. Number of children (Num)	
	2.5. Double; legacy, can be reused or discarded	
	2.6. Recursive generation of child nodes ( $\rightarrow$ 2)	
2 c) Namespaces	1. Token set, storing prefixes (TokenSet)	Namespaces()
	2. Token set, storing URIs (TokenSet)	[10]
	3. NSNode:	NSNode() [11]
	3.1. pre value (Num)	11511000
	3.2. References to prefix/URI pairs (Nums)	
	3.3. Number of children (Num)	
	3.4. Recursive generation of child nodes $(\rightarrow 3)$	
2 d) Document Index	Array of integers, representing the distances between all document pre values (Nums)	DocIndex() [12]

### Node Table: tbl, tbli

- tbl: Main database table, stored in blocks.
- tbli: Database directory, organizing the database blocks.

Some more information on the Node table storage is available.

### Texts: txt, atv

- txt: Heap file for text values (document names, string values of texts, comments and processing instructions)
- atv: Heap file for attribute values.

### Value Indexes: txtl, txtr, atvl, atvr

#### **Text Index:**

- txtl: Heap file with ID lists.
- txtr: Index file with references to ID lists.

The Attribute Index is contained in the files atvl and atvr; it uses the same layout.

## Full-Text Fuzzy Index: ftxx, ftxy, ftxz

...will soon be reimplemented.

Storage Layout 276

### Full-Text Trie Index: ftxa, ftxb, ftxc

...will soon be dismissed.

### References

- [1] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/util/Num.java
- [2] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/data/DiskData.java
- $[3] \ https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/MetaData.java/blob/master/src/main/java/org/basex/data/mata/blob/master/src/main/java/org/basex/data/blob/master/src/main/java/org/basex/data/blob/master/src/main/java/org/basex/data/blob/master/src/main/java/blob/main/java/blob/main/j$
- [4] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/core/Users.java
- [5] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/index/Names.java
- [6] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/util/hash/TokenSet.java
- [7] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/index/StatsKey.java

- [10] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/data/Namespaces.java
- [11] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/data/NSNode.java
- [12] https://github.com/BaseXdb/basex/blob/master/src/main/java/org/basex/index/DocIndex.java

## Node table storage

This article describes the Storage Layout of the main database table.

BaseX logically splits the tbl.basex file into blocks with length 4096 bytes, i.e. each block can have max 256 records each with length 16 bytes. The records within a block are sorted by their pre value (which, therefore, can be implicitly determined and need not be saved).

For each block BaseX stores in a separate file (tbli.basex) the smallest pre value within that block (and since the records are sorted, that will be the pre value of the first record stored in the block). These will be referred as fpre from now on. The physical address of each block is stored in tbli.basex, too.

Since these two maps will not grow excessively large, but are accessed resp. changed on each read resp. write operation, they are kept in main memory and flushed to disk on closing the database.

A newly created database with 256 + 10 records will occupy the first two blocks with physical addresses 0 and 4096. The corresponding fpre's will be 0 and 256.

If a record with pre = 12 is to be inserted, it needs to be stored in the first block, which is, however, full. In this case, a new block with physical address 8192 will be allocated, the records with pre values from 12 to 255 will be copied to the new block, the new record will be stored in the old block at pre = 12, and the two maps will look like this:

```
fpre's = 0, 13, 257
addr's = 0, 8192, 4096
```

Basically, the old records remain in the first block, but they will not be read, since the fpre's array says that only 13 records are stored in the first block. This causes redundant storage of the records with old pres from 13 to 255.

Additionally to these two maps (fpre's and addr's), BaseX maintains a bit map (which is also stored in tbli.basex) which reflects which physical blocks are free and which not, so that when a new block is needed, an already free one will be reused.

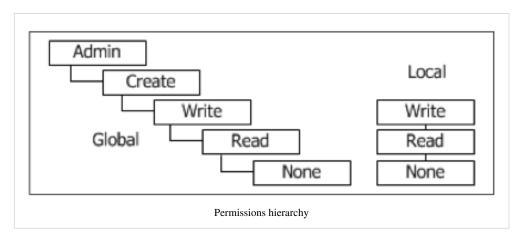
User Management 277

## **User Management**

This article is part of the Advanced User's Guide. The user management defines which permissions are required by a user to perform a specific database command.

In the permission hierarchy below, the existing permissions are illustrated. A higher permission includes all lower permissions. For example, all users who have the WRITE permission assigned will also be able to execute commands requiring READ permission. Next, local permissions exist, which can be assigned to single databases. Local permission have a higher priority and override global permissions.

All global permissions are stored in the file .basexperm, and local permissions are encoded in the database meta data (inf.basex).



User names must follow the valid names constraints.

#### **Commands**

Admin permissions are needed to execute all of the following commands:

Creating user 'test' (password will be entered on command line):

> CREATE USER test

Change user 'test' password (password will be entered on command line):

> ALTER USER test

As global permissions, you can set 'none', 'read', 'write', 'create' and 'admin':

**Grant all permissions to user 'test':** 

> GRANT admin TO test

Valid local permissions are 'none', 'read' and 'write':

Granting write permission on database 'factbook' to user 'test':

> GRANT write ON factbook TO test

Note: Local permissions overwrite global permissions. As a consequence, the 'test' user will only be allowed to access (i.e., read and write) the 'factbook' database. If no local permissions are set, the global rights are inherited.

#### Showing global permissions:

> SHOW USERS

#### Showing local permissions on database 'factbook':

> SHOW USERS ON factbook

#### **Dropping of user 'test':**

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> DROP USER test

## **Transaction Management**

This article is part of the Advanced User's Guide. The BaseX client-server architecture offers ACID safe transactions, with multiple readers and single writers. Here are some more informations about the transaction management.

### **Transaction**

In a nutshell, a transaction is equal to a command or query. So each command or query sent to the server becomes a transaction.

Incoming requests are parsed and checked for errors on the server. If the command or query is not correct, the request will not be executed, and the user will receive an error message. Otherwise the request becomes a transaction and gets into the transaction monitor.

Note: An unexpected abort of the server during a transaction, caused by a hardware failure or power cut, will probably lead to an inconsistent database state if a transaction was active at the shutdown time. So we advise to use the BACKUP command to backup your database regularly. If the worst case occurs you can restore the database with the RESTORE command.

### **Update Transactions**

Update transactions are mainly update queries. When executing a XQuery Update query, all update operations of the query are stored in a pending update list. They will be executed all at once, so the database is updated atomically. If any of the update sub-operations is erroneous, the overall transaction will be aborted.

## **Concurrency Control**

The concurrency control checks for each transaction, which will perform a read or write operation on the database, the status of the lock object and decides whether the isolation is guaranteed for that transaction or not. If the isolation can be guaranteed the transaction will be started immediately. Otherwise, the transaction enters a waiting queue and waits till the transaction monitor validates and starts the transaction. The transaction monitor starts either the next writing transaction or the next group of reading transactions (if there are any on the queue).

### **Transaction Monitor**

The transaction monitor ensures that just one writing transaction is active at the same time. This seems to be an odd mechanism, but it is needed since the complexity of updates increased and it is possible now to access multiple databases in one XQuery Update query.

To avoid starvation of any transaction and wrong execution orders the waiting queue works with the FIFO principle ('First-In First-Out'), which states that the first process that arrives at the server will be the first one that will be executed. The FIFO principle cannot be adhered in a group of reading transactions, as they run in different threads and thus can overtake each other.

The use of the monitor also prevents the system from deadlocks, because the critical resource is only assigned to one writing transaction resp. a group of reading transactions.

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## Locking

### **Update Operations**

During the term of a database update, a locking file upd.basex will reside in that database directory. If the update fails for some unexpected reason, or if the process is killed ungracefully, this file may not be deleted. In this case, the database cannot be opened anymore using the default commands, and the message "Database ... is being updated, or update was not completed" will be shown instead. If the locking file is manually removed, you may be able to reopen the database, but you should be aware that database may have got corrupt due to the interrupted update process, and you should revert to the most recent database backup.

### **Database Locks**

To avoid database corruptions caused by write operations running in different JVMs, a shared lock is requested on the database table file (tbl.basex) whenever a database is opened. If an update operation is triggered, it will be rejected with the message "Database ... is opened by another process." if no exclusive lock can be acquired.

As the standalone versions of BaseX (command-line, GUI) cannot be synchronized with other BaseX instances, we generally recommend working with the client/server architecture if concurrent write operations are to be performed.

## Changelog

Version 7.2.1

• Updated: pin files replaced with shared/exclusive filesystem locking

Version 7.2

• Added: pin files to mark open databases

Version 7.1

• Added: update lock files

Logging 280

## Logging

This article is part of the Advanced User's Guide. It describes how client operations are logged by the server. The server logs can e.g. be used to get an overview of all processes executed on your server, trace any errors or compile performance statistics.

The server logs are written in plain text. In your Database Directory, you can find a folder named .logs in which all log files are stored with the according date. Note that, depending on your OS and configuration, files and folders beinning with a . may be hidden.

Some changes have been introduced with Version 7.5:

- The logging format has been revised.
- HTTP requests are now included in the log files.
- Logging can be turned on/off via the LOG option.
- The maximum length of logging messages can be changed via LOGMSGMAXLEN.
- An Admin Module provides access to the log files from XQuery.

### **Format**

### Example 1

01:18:12.892	SERVER	admin	OK	Server was started.	
01:18:15.436	127.0.0.1:4722	jack	REQUEST	XQUERY for \$i in 1 to 5 return random:double()	
01:18:15.446	127.0.0.1:4722	jack	OK	Query executed in 2.38 ms.	2.72 ms
01:18:15.447	127.0.0.1:4722	jack	REQUEST	EXIT	
01:18:15.447	127.0.0.1:4722	jack	OK		0.39 ms

A server has been started and a user jack has connected to the server to perform a query and exit properly.

### Example 2

01:23:33.251	127.0.0.1:4736	john	OK	QUERY[0] 'hi'	0.44 ms
01:23:33.337	127.0.0.1:4736	john	OK	ITER[0]	1.14 ms
01:23:33.338	127.0.0.1:4736	john	OK	INFO[0]	0.36 ms
01:23:33.339	127.0.0.1:4736	john	OK	CLOSE[0]	0.21 ms
01:23:33.359	127.0.0.1:4736	john	REQUEST	EXIT	
01:23:33.359	127.0.0.1:4736	john	OK		0.14 ms

A user john has performed an iterative query, using one of the client APIs.

#### Example 3

01:31:51.888	127.0.0.1:4803	admin	REQUEST	[GET] http://localhost:8984/rest/factbook	
01:31:51.892	127.0.0.1:4803	admin	200		4.43 ms

An admin user has accessed the factbook database via REST.

Events 281

## **Events**

This article is part of the Advanced User's Guide. it presents how to trigger database events and notify listening clients.

### Introduction

The events feature enables users with admin permissions to create events, which can then be watched by other clients. All clients that have registered for an event will be notified if an event is triggered by another client.

### **Managing Events**

```
CREATE EVENT [name]
```

Creates an event [name].

DROP EVENT [name]

Drops the event with the specified [name].

SHOW EVENTS

Shows all events.

### Watching/Unwatching Events

The events can currently be watched by the Java [1] and C# [1] clients. See the following Java code example:

#### Watch events:

```
// name of the event
String event = "call";
// create new client
BaseXClient client = new BaseXClient("localhost", 1984, "admin", "admin");
// register for an event
client.watch(event, new EventNotifier() {
  @Override
  public void notify(final String value) {
    System.out.println("Received data: " + value);
  }
});
```

### **Unwatch events:**

```
// unregister from an event
client.unwatch(event);
```

For a complete and self-contained example in Java, you may have a look [2].

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### **Firing Events**

Events are triggered via the XQuery function db:event():

db:event(\$name as xs:string, \$query as item())

Executes a \$query and sends the resulting value to all clients watching the event with the specified \$name. No event will be sent to the client that fired the event.

### **Example Scenarios**

#### **Basic**

- 1. Client1 creates an event with the name "EVENT"
- 2. Client2 and Client3 call the watch method for event "EVENT"
- 3. Client1 executes XQuery

```
db:event("EVENT", "1 to 2")
```

- 4. Client2 and Client3 will receive the result 1 2
- 5. Client2 executes XQuery

```
db:event("EVENT", "2 to 3")
```

6. **Client3** will receive the result 2 3

### **Included in Update Expression**

- 1. **Client1** creates an event with the name "DELETED"
- 2. Client2 and Client3 call the watch method for event "DELETED"
- 3. Client1 executes XQuery

```
let $deleted := //nodes return (
  delete node $deleted,
  db:event( "DELETED", $deleted)
)
```

4. Client2 and Client3 will receive the deleted nodes.

### **Included in Update Expression with Payload**

- 1. Client1 creates an event with the name "DELETED"
- 2. Client2 and Client3 call the watch method for event "DELETED"
- 3. Client1 executes XQuery

```
let $deleted := //nodes return (
  delete node $deleted,
  db:event( "DELETED",
  <message>
    <payload>{count($deleted)} items have been deleted.</payload>
    <items>{$deleted}</items>
    </message>)
)
```

4. Client2 and Client3 will receive the message with the payload and the deleted nodes.

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### References

- [1] https://github.com/BaseXdb/basex-api/tree/master/src/main/java
- [2] https://github.com/BaseXdb/basex-examples/blob/master/src/main/java/org/basex/examples/api/EventExample.java

## **Execution Plan**

This article is part of the Advanced User's Guide. For each execution of a query, BaseX creates an execution plan. This execution plan shows you each step of the query, so that you can evaluate your query and analyse if it accesses any indexes or not. You can activate the execution plan by activating the XMLPLAN or DOTPLAN options.

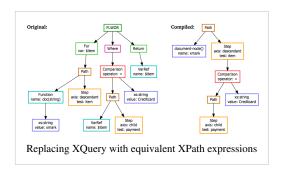
### **Examples**

### Execution plan for original and optimized query execution

Query: for \$item in doc('xmark')/descendant::item where \$item/payment =
'Creditcard' return \$item

Optimized query: doc('xmark') / descendant::item[payment = 'Creditcard']

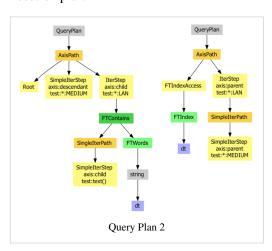
#### Execution plan:



### Execution plan for query execution with full-text index access and without

Query: //MEDIUM/LAN[text() contains text "dt"]

### Execution plan:



## **Use Cases**

## **Statistics**

This article is part of the Advanced User's Guide. It lists statistics on various XML instances that have been created with BaseX. The URLs to the original sources, if available or public, are listed below.

#### **Databases**

- FileSize is the original size of the input documents
- #Files indicates the number of stored XML documents
- #DbSize is the size of the resulting database (excluding the value index structures)
- #Nodes represents the number of XML nodes (elements, attributes, texts, etc.) stored in the database
- #Attr indicates the maximum number of attributes stored for a single element
- #ENames and #ANames reflect the number of distinct element and attribute names
- #URIs represent the number of distinct namespace URIs
- Height indicates the maximum level depth of the stored nodes

If a fixed database limit is reached, documents can be distributed in several database instances, which can then accessed from a single XQuery expression.

Instances	FileSize	#Files	DbSize	#Nodes	#Attr	#ENames	#ANames	#URIs	Height
Limits	512 GiB	536'870'912	no limit	2'147'483'648	no limit	32768	32768	256	no limit
	(2^39 Bytes)	(2^29)		(2^31)		(2^15)	(2^15)	(2^8)	
RuWikiHist	421 GiB	1	416 GiB	324'848'508	3	21	6	2	6
ZhWikiHist	126 GiB	1	120 GiB	179'199'662	3	21	6	2	6
EnWiktionary	79 GiB	1	75 GiB	134'380'393	3	21	6	2	6
XMark	55 GiB	1	64 GiB	1'615'071'348	2	74	9	0	13
EnWikiMeta	54 GiB	1	52 GiB	401'456'348	3	21	6	2	6
MedLine	38 GiB	379	36 GiB	1'623'764'254	2	84	6	0	9
iProClass	36 GiB	1	37 GiB	1'631'218'984	3	245	4	2	9
Inex2009	31 GiB	2'666'500	34 GiB	1'336'110'639	15	28'034	451	1	37
CoPhIR	29 GiB	10'000'000	31 GiB	1'104'623'376	10	42	42	0	8
EnWikipedia	26 GiB	1	25 GiB	198'546'747	3	24	21	2	6
XMark	22 GiB	1	26 GiB	645'997'965	2	74	9	0	13
InterPro	14 GiB	1	19 GiB	860'304'235	5	7	15	0	4
Genome1	13 GiB	1	13 GiB	432'628'105	12	26	101	2	6
NewYorkTimes	12 GiB	1'855'659	13 GiB	280'407'005	5	41	33	0	6
TrEMBL	11 GiB	1	14 GiB	589'650'535	8	47	30	2	7
XMark	11 GiB	1	13 GiB	323'083'409	2	74	9	0	13
IntAct	7973 MiB	25'624	6717 MiB	297'478'392	7	64	22	2	14
Freebase	7366 MiB	1	10 GiB	443'627'994	8	61	283	1	93

SDMX	6356 MiB	1	8028 MiB	395'871'872	2	22	6	3	7
OpenStreetMap	5312 MiB	1	5171 MiB	6'910'669	3	19	5	2	6
SwissProt	4604 MiB	1	5422 MiB	241'274'406	8	70	39	2	7
EURLex	4815 MiB	1	5532 MiB	167'328'039	23	186	46	1	12
Wikicorpus	4492 MiB	659'338	4432 MiB	157'948'561	12	1'257	2'687	2	50
EnWikiRDF	3679 MiB	1	3537 MiB	98'433'194	1	11	2	11	4
CoPhIR	2695 MiB	1'000'000	2882 MiB	101'638'857	10	42	42	0	8
MeSH	2091 MiB	1	2410 MiB	104'845'819	3	6	5	2	5
FreeDB	1723 MiB	1	2462 MiB	102'901'519	2	7	3	0	4
XMark	1134 MiB	1	1303 MiB	32'298'989	2	74	9	0	13
DeepFS	810 MiB	1	850 MiB	44'821'506	4	3	6	0	24
LibraryUKN	760 MiB	1	918 MiB	46'401'941	3	23	3	0	5
Twitter	736 MiB	1'177'495	767 MiB	15'309'015	0	8	0	0	3
Organizations	733 MiB	1'019'132	724 MiB	33'112'392	3	38	9	0	7
DBLP	694 MiB	1	944 MiB	36'878'181	4	35	6	0	7
Feeds	692 MiB	444'014	604 MiB	5'933'713	0	8	0	0	3
MedLineSupp	477 MiB	1	407 MiB	21'602'141	5	55	7	0	9
AirBase	449 MiB	38	273 MiB	14'512'851	1	111	5	0	11
MedLineDesc	260 MiB	1	195 MiB	10'401'847	5	66	8	0	9
ZDNET	130 MiB	95'663	133 MiB	3'060'186	21	40	90	0	13
JMNEdict	124 MiB	1	171 MiB	8'592'666	0	10	0	0	5
XMark	111 MiB	1	130 MiB	3'221'926	2	74	9	0	13
Freshmeat	105 MiB	1	86 MiB	3'832'028	1	58	1	0	6
DeepFS	83 MiB	1	93 MiB	4'842'638	4	3	6	0	21
Treebank	82 MiB	1	92 MiB	3'829'513	1	250	1	0	37
DBLP2	80 MiB	170'843	102 MiB	4'044'649	4	35	6	0	6
DDI	76 MiB	3	39 MiB	2'070'157	7	104	16	21	11
Alfred	75 MiB	1	68 MiB	3'784'285	0	60	0	0	6
University	56 MiB	6	66 MiB	3'468'606	1	28	4	0	5
MediaUKN	38 MiB	1	45 MiB	1'619'443	3	21	3	0	5
HCIBIB2	32 MiB	26'390	33 MiB	617'023	1	39	1	0	4
Nasa	24 MiB	1	25 MiB	845'805	2	61	8	1	9
MovieDB	16 MiB	1	19 MiB	868'980	6	7	8	0	4
KanjiDic2	13 MiB	1	18 MiB	917'833	3	27	10	0	6
XMark	11 MiB	1	13 MiB	324'274	2	74	9	0	13
Shakespeare	7711 KiB	1	9854 KiB	327'170	0	59	0	0	9
TreeOfLife	5425 KiB	1	7106 KiB	363'560	7	4	7	0	243
Thesaurus	4288 KiB	1	4088 KiB	201'798	7	33	9	0	7
MusicXML	3155 KiB	17	2942 KiB	171'400	8	179	56	0	8

BibDBPub	2292 KiB	3'465	2359 KiB	80'178	1	54	1	0	4
Factbook	1743 KiB	1	1560 KiB	77'315	16	23	32	0	6
XMark	1134 KiB	1	1334 KiB	33'056	2	74	9	0	13

### **Sources**

Instances	Source
AirBase	[1]
Alfred	[2]
BibDBPub	[3]
CoPhIR	http://cophir.isti.cnr.it/
DBLP	http://dblp.uni-trier.de/xml
DBLP2	[3]
DDI	http://tools.ddialliance.org/
EnWikiMeta	[4]
EnWikipedia	[5]
EnWikiRDF	http://www.xml-benchmark.org/generated with xmlgen
EnWiktionary	[6]
EURLex	http://www.epsiplatform.eu/
Factbook	[7]
Freebase	[8]
FreeDB	[9]
Freshmeat	[10]
Genome1	[11]
HCIBIB2	[3]
Inex2009	[12]
IntAct	[13]
InterPro	[14]
iProClass	[15]
JMNEdict	[16]
KanjiDic2	[17]
MedLine	http://www.nlm.nih.gov/bsd
MeSH	[18]
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NewYorkTimes	[21]
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RuWikiHist	[23]
SDMX	[24]
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SwissProt	[26]
Thesaurus	[27]
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XMark	http://www.xml-benchmark.org/generated with xmlgen
ZDNET	[3]
ZhWikiHist	[31]
LibraryUKN	generated from university library data
MediaUKN	generated from university library data
DeepFS	generated from filesystem structure
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## **Twitter**

This article is part of the Advanced User's Guide. It is about the usage of BaseX for processing and storing the live data stream of Twitter. We illustrate some statistics about the Twitter data and the performance of BaseX.

As Twitter <sup>[1]</sup> attracts more and more users (over 140 million active users in 2012) and is generating large amounts of data (over 340 millions of short messages ('tweets') daily), it became a really exciting data source for all kind of analytics. Twitter provides the developer community with a set of APIs <sup>[2]</sup> for retrieving the data about its users and their communication, including the Streaming API <sup>[3]</sup> for data-intensive applications, the Search API <sup>[4]</sup> for querying and filtering the messaging content, and the REST API <sup>[5]</sup> for accessing the core primitives of the Twitter platform.

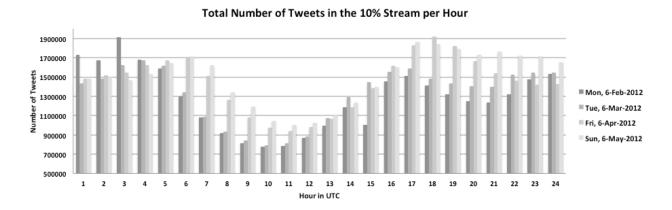
### **BaseX** as Twitter Storage

For retrieving the Twitter stream we connect with the Streaming API to the endpoint of Twitter and receive a never ending tweet stream. As Twitter delivers the tweets as JSON <sup>[1]</sup> objects the objects has to be converted into XML fragments. For this purpose the parse function of the XQuery JSON Module is used. In the examples section both versions are shown (tweet as JSON and tweet as XML). For storing the tweets including the meta-data, we use the standard *insert* function of XQuery Update.

### **Twitter's Streaming Data**

Each tweet object in the data stream contains the tweet message itself and over 60 data fields (for further information see the fields description <sup>[6]</sup>). The following section shows the amount of data, that is delivered by the Twitter Streaming API to the connected endpoints with the 10% gardenhose access per hour on the 6th of the months February, March, April and May. It is the pure public live stream without any filtering applied.

#### **Statistics**



Day	Description	Amount
Mon, 6-Feb-2012	Total tweets	30.824.976
	Average tweets per hour	1.284.374
	Average tweets per minute	21.406
	Average tweets per second	356
Tue, 6-Mar-2012	Total tweets	31.823.776
	Average tweets per hour	1.325.990
	Average tweets per minute	22.099
	Average tweets per second	368
Fri, 6-Apr-2012	Total tweets	34.638.976
	Average tweets per hour	1.443.290
	Average tweets per minute	24.054
	Average tweets per second	400
Sun, 6-May-2012	Total tweets	35.982.976
	Average tweets per hour	1.499.290
	Average tweets per minute	24.988
	Average tweets per second	416

### **Example Tweet (JSON)**

```
"contributors": null,
"text": "Using BaseX for storing the Twitter Stream",
"geo": null,
"retweeted": false,
"in_reply_to_screen_name": null,
"possibly_sensitive": false,
"truncated": false,
"entities": {
 "urls": [
 "hashtags": [
 "user_mentions": [
"in_reply_to_status_id_str": null,
"id": 1984009055807****,
"in_reply_to_user_id_str": null,
"source": "<a href=\"http:\/\/twitterfeed.com\" rel=\"nofollow\">twitterfeed<\/a>",
"favorited": false,
"in_reply_to_status_id": null,
"retweet_count": 0,
```

```
"created_at": "Fri May 04 13:17:16 +0000 2012",
"in_reply_to_user_id": null,
"possibly_sensitive_editable": true,
"id_str": "1984009055807****",
"place": null,
"user": {
    "location": "",
    "default_profile": true,
     "statuses_count": 9096,
    "profile_background_tile": false,
    "lang": "en",
    "profile_link_color": "0084B4",
    "id": 5024566**,
    "following": null,
    "protected": false,
    "favourites_count": 0,
    "profile_text_color": "333333",
     "contributors enabled": false,
    "verified": false,
    "description": "http:\/\/basex.org",
    "profile_sidebar_border_color": "CODEED",
    "name": "BaseX",
    "profile_background_color": "CODEED",
     "created_at": "Sat Feb 25 04:05:30 +0000 2012",
     "default_profile_image": true,
    "followers_count": 860,
    "geo_enabled": false,
     "profile_image_url_https": "https:\/\/si0.twimg.com\/sticky\/default_profile_images\/default_profile_0_normal.png",
    "profile_background_image_url": "http:\/\/a0.twimg.com\/images\/themes\/theme1\/bg.png",
    "profile\_background\_image\_url\_https": "https:\/\/si0.twimg.com\/images\/themes\/theme1\/bg.png", and the profile\_background\_image\_url\_https": "https:\/\/si0.twimg.com\/images\/themes\/themes\/theme1\/bg.png", and the profile\_background\_image\_url\_https": "https:\/\/si0.twimg.com\/images\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/themes\/them
     "follow_request_sent": null,
    "url": "http:\/\/adf.ly\/5ktAf",
    "utc_offset": null,
    "time_zone": null,
    "notifications": null,
    "friends_count": 2004,
    "profile_use_background_image": true,
    "profile_sidebar_fill_color": "DDEEF6",
    "screen_name": "BaseX",
    "id_str": "5024566**",
    "show_all_inline_media": false,
    "profile_image_url": "http:\/\/a0.twimg.com\/sticky\/default_profile_images\/default_profile_0_normal.png",
    "is_translator": false,
    "listed_count": 0
},
"coordinates": null
```

### **Example Tweet (XML)**

```
<json booleans="retweeted possibly__sensitive truncated favorited"</pre>
possibly_sensitive_editable default_profile
profile__background__tile protected contributors__enabled verified
default__profile__image geo__enabled profile__use__background__image
show__all__inline__media is__translator"
  numbers="id retweet__count statuses__count favourites__count
followers__count friends__count listed__count"
  nulls="contributors geo in__reply__to__screen__name
in__reply__to__status__id__str in__reply__to__user__id__str
in__reply__to__status__id in__reply__to__user__id place following
follow__request__sent utc__offset time__zone notifications coordinates"
  arrays="urls indices hashtags user__mentions"
  objects="json entities user">
  <contributors/>
  <text>Using BaseX for storing the Twitter Stream</text>
  <geo/>
  <retweeted>false</retweeted>
  <in__reply__to__screen__name/>
  <possibly__sensitive>false</possibly__sensitive>
  <truncated>false</truncated>
  <entities>
    <urls/>
    <hashtaqs/>
    <user__mentions/>
  </entities>
  <in__reply__to__status__id__str/>
  <id>1984009055807****</id>
  <in__reply__to__user__id__str/>
  <source><a href="http://twitterfeed.com"</pre>
rel="nofollow">twitterfeed</a></source>
  <favorited>false</favorited>
  <in__reply__to__status__id/>
  <retweet__count>0</retweet__count>
  <created__at>Fri May 04 13:17:16 +0000 2012</created__at>
  <in__reply__to__user__id/>
<possibly__sensitive__editable>true</possibly__sensitive__editable>
  <id__str>1984009055807*****</id__str>
  <place/>
  <user>
    <location/>
    <default__profile>true</default__profile>
    <statuses__count>9096</statuses__count>
<profile__background__tile>false</profile__background__tile>
```

```
<lang>en</lang>
   cprofile__link__color>0084B4/profile__link__color>
   <id>5024566**</id>
   <following/>
   cted>false
   <favourites__count>0</favourites__count>
   cprofile__text__color>333333
   <contributors__enabled>false</contributors__enabled>
   <verified>false</verified>
   <description>http://basex.org</description>
<profile__sidebar__border__color>C0DEED</profile__sidebar__border__color>
   <name>BaseX</name>
<profile__background__color>C0DEED</profile__background__color>
   <created__at>Sat Feb 25 04:05:30 +0000
2012</created__at>
   <default__profile__image>true</default__profile__image>
   <followers__count>860</followers__count>
   <geo__enabled>false</geo__enabled>
<profile__image__url__https>https://si0.twimg.com/sticky/default_profile_images/default_p
<profile__background__image__url>http://a0.twimg.com/images/themes/theme1/bg.png</profile
<profile_background_image_url_https>https://si0.twimg.com/images/themes/theme1/bg.png
   <follow__request__sent/>
   <url>http://adf.ly/5ktAf</url>
   <utc__offset/>
   <time__zone/>
   <notifications/>
   <friends__count>2004</friends__count>
<profile_use_background_image>true</profile_use_background_image>
<profile__sidebar__fill__color>DDEEF6</profile__sidebar__fill__color>
   <screen__name>BaseX</screen__name>
   <id__str>5024566**</id__str>
<show__all__inline__media>false</show__all__inline__media>
<profile__image__url>http://a0.twimg.com/sticky/default_profile_images/default_profile_0_
   <is__translator>false</is__translator>
   <listed__count>0</listed__count>
 </user>
 <coordinates/>
</json>
```

### **BaseX Performance**

The test show the time BaseX needs to insert large amounts of real tweets into a database. We can derive that BaseX scales very well and can keep up with the incoming amount of tweets in the stream. Some lower values can occur, cause the size of the tweets differ according to the meta-data contained in the tweet object.

Note: The AUTOFLUSH option is set to FALSE (default: SET AUTOFLUSH TRUE)

System Setup: Mac OS X 10.6.8, 3.2 GHz Intel Core i3, 8 GB 1333 MHz DDR3 RAM

BaseX Version: BaseX 7.3 beta

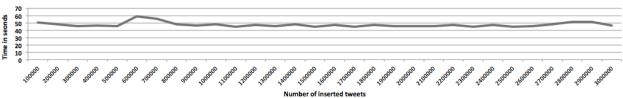
#### **Insert with XQuery Update**

These tests show the performance of BaseX performing inserts with XQuery Update as single updates per tweet or bulk updates with different amount of tweets. The initial database just contained a root node <tweets/> and all incoming tweets are inserted after converting from JSON to XML into the root node. The time needed for the inserts includes the conversion time.

#### **Single Updates**

Amount of tweets	Time in seconds	Time in minutes	Database Size (without indexes)
1.000.000	492.26346	8.2	3396 MB
2.000.000	461.87326	7.6	6997 MB
3.000.000	470.7054	7.8	10452 MB

#### Performance of BaseX - Inserting tweets into DB



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